

To,  
**The Controller of Patents**  
**Mumbai Patent Office,**  
Office of the Controller General of  
Patents, Designs & Trade Marks  
Bhoushik Sampada Bhavan,  
Near Antop Hill Head Post Office,  
S.M. Road, Antop Hill, Mumbai-400037

From  
Mr. Sandeep K. Rathod,  
Matrix Laboratories Limited,  
Formulations R & D,  
Plot No. 34A, ANRICH Industrial Estate,  
Bollaram, Jinnaram (Mandal),  
District: Medak, Andhra Pradesh,  
India PIN: 502 325  
Email: Sandeep.Rathod@Matrixlabsindia.com  
Tel: +91 800 800 1482

5979

Dear Sir,

**Sub: Filing of pre-grant Opposition to Application # 894/MUMNP/2007  
on behalf of Matrix Laboratories Limited**

I, Sandeep K. Rathod, on behalf of my employer- Matrix Laboratories Limited, am filing a pre-grant opposition u/s 25(1) against the afore mentioned application.

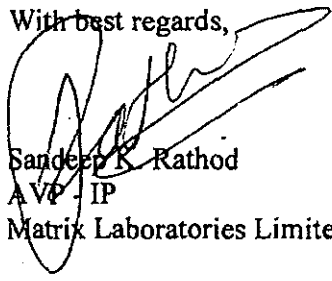
The relevant statement and accompanying evidence are attached.

Please take our opposition on record and give us an acknowledgment of the same.

Please grant us a personal hearing in this matter.

Thank you,

With best regards,

  
Sandeep K. Rathod  
AVP - IP  
Matrix Laboratories Limited



Attachments:

- a) Statement of Opposition and
- b) Exhibits 1 to 3

To,  
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District: Medak, Andhra Pradesh,  
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**on behalf of Matrix Laboratories Limited**

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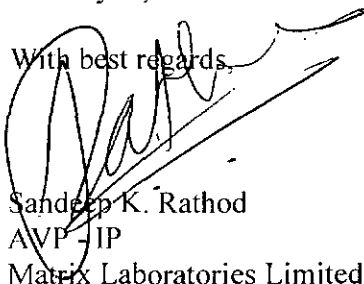
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Thank you,

With best regards,

  
Sandeep K. Rathod  
AVP-IP  
Matrix Laboratories Limited

Attachments:

- a) Statement of Opposition and
- b) Exhibits 1 to 3

10 MAR 2011

**The Patents Act, 1970**

**IN THE MATTER OF:**

**A representation under section 25(1) of The Patents Act, 1970 as amended by the Patents (Amendment) Act 2005 ("the Act") and Rule 55 of The Patents Rules, 2003 as amended by the Patents Rules, 2006 ("the Rules")**

by  
**M/s Matrix Laboratories Limited**  
... (the "Opponent")

**And**

**IN THE MATTER OF:**

**Indian Patent Application 894/MUMNP/2007,  
filed on 14<sup>th</sup> June, 2007  
by Abbott Laboratories**  
... (the "Applicant")

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**STATEMENT OF OPPOSITION**

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**1. *The Opposition in brief:***

- 1.1** The Opponent hereby files a pre-grant opposition under Section 25(1) of the Patent Act 1970, as amended by the Patents (Amendment) Act, 2005 against the Application entitled:  
"Crystalline Pharmaceutical", filed by Abbott Laboratories, dated 14/June/2007, bearing No. 894/MUMNP/2007 (the "Application").

The bibliographic details of the Application were published in the Official Journal of the Patent Office dated 03/Aug/2007. The First Examination Report was issued by the Patent Office on 28/Dec/2010. A copy of the data from the Patent office website, showing the Application's present status on IPAIRS, is attached as

(Exhibit 1).

**2. Maintainability of the present Opposition:**

**2.1** The Act states:

“25. Opposition to the patent:  
(1) Where an application for a patent has been published but a patent has not been granted, any person may, in writing, represent by way of opposition to the Controller against the grant of patent on the ground --...”  
[Emphasis supplied]

Thus, the Act clearly allows any person to file a written opposition to a published application for patent that has not matured into a patent.

**2.2** Matrix Laboratories Limited (“Matrix”), the Opponent herein, is a key player and has significant commercial interests on a global level in the business of anti-retroviral drugs [the field to which the present Application pertains]. It is a leading supplier of generic anti-retroviral drug compositions in the global market such as the US President’s Emergency Plan for AIDS Relief [PEPFAR] as well as other National tenders issued by governments and hence is directly impacted by the Application. Matrix had also filed a pre-grant opposition to the parent Application [of the present ‘894 Application] IN/PCT/2002/01243/MUM in May 2009. The Patent Office, Mumbai, in a decision dated 27/Sep/2010 rejected the parent ‘1243 Application, in its entirety.

As noted earlier, a first examination report was issued recently against this Application. No patent has been granted to the same, as of 04/Mar/2011. Hence this pre-grant opposition is covered within the framework envisaged in the Act and the Rules made there under.

**3.0 Jurisdiction of the Patent Office:**

The Application was filed at the Patent Office in Mumbai. Therefore the Mumbai

10 MAR 2011

Patent Office has the jurisdiction to hear and deliberate upon this pre-grant opposition.

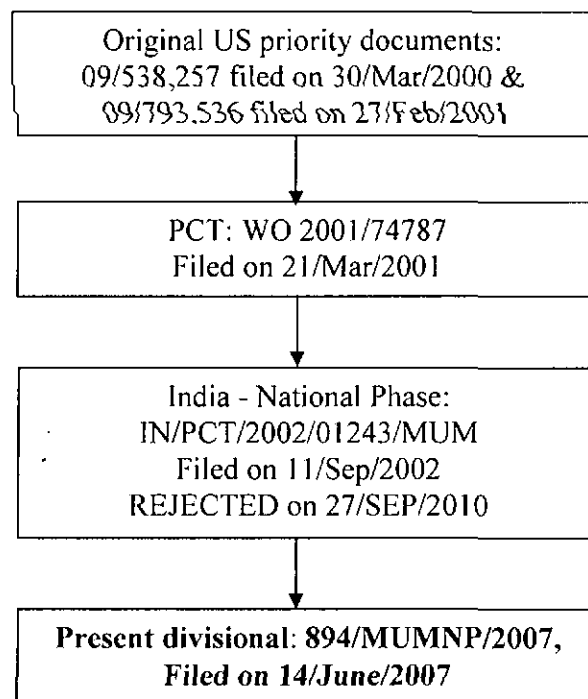
#### **4.0      *Lopinavir: In Brief***

The present Application relates to compositions of crystalline forms of Lopinavir, chemically known as (2S)-N-[(2S, 4S,5S)-5- [2-(2,6-dimethylphenoxy) acetamido]-4- hydroxy-1,6-diphenylhexan-2-yl] -3-methyl-2- (2-oxo-1,3-diazinan-1-yl) butanamide.

As Per the Applicant's own admission, Lopinavir and preparation for its process was disclosed in US 5,914,332 (**Exhibit 2**), published on 22/June/1999 [refer para 2, page 2 of the present Specification, henceforth "Specification"]. Lopinavir is commercially available only in combination with Ritonavir, under the brand name Kaletra™. The United States Food and Drug Administration (US FDA) approved capsules and oral solutions for this combination on Sep 15, 2000. A third dosage form – oral tablets were approved on Oct 28, 2005.

#### **5.0      *The Application – Filing details:***

**5.1**      The Application's genesis is graphically represented below:



5.2 In view of the above, publications or public use prior to 30/Mar/2000 will be considered as prior art against the Application and the invention[s] claimed therein.

**6.0 The Application – in brief:**

6.1 The Application claims processes to make Lopinavir compositions as well as compositions so prepared, of the above referred forms of Lopinavir.

6.2 The Specification discloses various crystalline/ hydrate/ solvated/ non solvated forms of Lopinavir as well as their preparation in examples 1 - 17. The Application divides the various forms of lopinavir in IV categories:

- Type I → Crystalline hydrate forms;
- Type II → Crystalline solvated forms;
- Type III → Crystalline solvated forms and
- Type IV → Crystalline non-solvated forms.

The Application also gives an example of a soft elastic gel capsule composition for

Lopinavir + Ritonavir. The specification defines the term “substantially pure” in the context of crystalline lopinavir as follows:

*“substantially pure”, when used in reference to crystalline form of lopinavir, refers to that crystalline form of lopinavir which is greater than about 90% pure. This means that the crystalline form of lopinavir does not contain more than about 10% of any other compound and, in particular, does not contain more than about 10% of any other form of lopinavir, such as amorphous, solvated forms, non-solvated forms and desolvated forms. More preferably, the term “substantially pure”, refers to a crystalline form of lopinavir which is greater than about 95% pure. This means that the crystalline form of lopinavir does not contain more than about 5% of any other compound and, in particular, does not contain more than about 5% of any other form of lopinavir, such as amorphous, solvated forms, non-solvated forms and desolvated forms.*

...  
*Most preferably, the term “substantially pure”, refers to a crystalline form of lopinavir which is greater than about 99% pure. This means that the crystalline form of lopinavir does not contain more than about 1% of any other compound and, in particular, does not contain more than about 1% of any other form of lopinavir, such as amorphous, solvated forms, non-solvated forms and desolvated forms.’*

There is however no data on therapeutic differences/ efficacy comparing any of the crystalline/ hydrated/ solvated/ non-solvated lopinavir forms with prior art lopinavir form of the ‘332 patent.

6.3 The Application, as filed, has a total of 43 claims. The claims, as available on IPAIR, are reproduced below:

1. **A process** for preparing a pharmaceutical composition comprising lopinavir, wherein the process comprises:
  - adding a crystalline form of lopinavir to a receptacle;
  - and converting the added lopinavir and additional ingredients in the receptacle to said pharmaceutical composition, and
  - the crystalline form of lopinavir is selected from the group consisting of:
    - a hydrated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1652-1666  $\text{cm}^{-1}$  and a peak in the solid state infrared

spectrum at a position within the range 1606-1615  $\text{cm}^{-1}$ ;

a solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1661-1673  $\text{cm}^{-1}$ , a peak in the solid state infrared spectrum at a position within the range 1645-1653  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1619-1629  $\text{cm}^{-1}$ ;

a crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1655-1662  $\text{cm}^{-1}$ ;

a crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1655-1662  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1636-1647  $\text{cm}^{-1}$ ;

a solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1655-1662  $\text{cm}^{-1}$ ;

a solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1655-1662  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1636-1647  $\text{cm}^{-1}$ ;

a non-solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1680-1685  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1625-1630  $\text{cm}^{-1}$ ;

a non-solvated crystalline form of lopinavir with characteristic peaks in the powder X-ray diffraction pattern at values of two theta of  $6.85^\circ \pm 0.1^\circ$ ,  $9.14^\circ$ ,  $12.88^\circ \pm 0.1^\circ$ ,  $15.09^\circ \pm 0.1^\circ$ ,  $17.74^\circ \pm 0.1^\circ$ ,  $18.01^\circ \pm 0.1^\circ$ , and  $18.53^\circ \pm 0.1^\circ$ ;

a non-solvated crystalline form of lopinavir with characteristic peaks in the powder X-ray diffraction pattern at values of two theta of  $6.850^\circ \pm 0.1^\circ$ ,  $9.14^\circ \pm 0.1^\circ$ ,  $10.80^\circ \pm 0.1^\circ$ ,  $12.04^\circ \pm 0.1^\circ$ ,  $12.88^\circ \pm 0.1^\circ$ ,  $15.09^\circ \pm 0.1^\circ$ ,  $17.74^\circ \pm 0.1^\circ$ ,  $18.01^\circ \pm 0.1^\circ$ ,  $18.26^\circ \pm 0.1^\circ$ ,  $18.53^\circ \pm 0.1^\circ$ ,  $20.47^\circ \pm 0.1^\circ$ , and  $25.35^\circ \pm 0.1^\circ$ ;

a non-solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1680-1685  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1668-1674  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1656-1662  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1642-1648  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1625-1630  $\text{cm}^{-1}$ ;

a desolvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range



1655-1662  $\text{cm}^{-1}$ ;

a desolvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1655-1662  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1636-1647  $\text{cm}^{-1}$ ;

a higher hydrated crystalline form of lopinavir with characteristic peaks in the powder X-ray diffraction pattern at values of two theta of  $3.89^\circ \pm 0.1^\circ$ ,  $6.55^\circ \pm 0.1^\circ$ ,  $7.76^\circ \pm 0.1^\circ$ ,  $8.55^\circ \pm 0.1^\circ$ ,  $9.70^\circ \pm 0.1^\circ$ ,  $10.56^\circ \pm 0.1^\circ$ ,  $14.76^\circ \pm 0.1^\circ$ ,  $15.57^\circ \pm 0.1^\circ$ ,  $18.30^\circ \pm 0.1^\circ$ ,  $18.95^\circ \pm 0.1^\circ$  and  $22.74^\circ \pm 0.1^\circ$ ; and

a higher hydrated crystalline form of lopinavir with characteristic peaks in the powder X-ray diffraction pattern at values of two theta of  $3.89^\circ \pm 0.1^\circ$ ,  $6.55^\circ \pm 0.1^\circ$ ,  $7.76^\circ \pm 0.1^\circ$ ,  $8.55^\circ \pm 0.1^\circ$ ,  $9.70^\circ \pm 0.1^\circ$ ,  $10.56^\circ \pm 0.1^\circ$ ,  $14.76^\circ \pm 0.1^\circ$ ,  $15.06^\circ \pm 0.1^\circ$ ,  $15.57^\circ \pm 0.1^\circ$ ,  $16.49^\circ \pm 0.1^\circ$ ,  $17.51^\circ \pm 0.1^\circ$ ,  $18.30^\circ \pm 0.1^\circ$ ,  $18.95^\circ \pm 0.1^\circ$ ,  $21.73^\circ \pm 0.1^\circ$  and  $22.74^\circ \pm 0.1^\circ$ .

2. The process according to claim 1, wherein the receptacle is a mixing tank.

3. The process according to claim 1, further comprising adding the additional ingredients to the receptacle.

4. The process according to claim 1, wherein the converting comprises mixing the added lopinavir and the additional ingredients in the receptacle.

5. The process according to claim 1, wherein the pharmaceutical composition is a solution.

**6. A process** for preparing a pharmaceutical composition comprising lopinavir, wherein the process comprises: adding a crystalline form of lopinavir to a receptacle; and mixing the added lopinavir and additional ingredients in the receptacle, and the crystalline form of lopinavir is selected from the group consisting of:

a hydrated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1652-1666  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1606-1615  $\text{cm}^{-1}$ ;

a solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1661-1673  $\text{cm}^{-1}$ , a peak in the solid state infrared spectrum at a position within the range 1645-1653  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range

1619-1629  $\text{cm}^{-1}$ ;

a crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1655-1662  $\text{cm}^{-1}$ ;

a crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1655-1662  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1636-1647  $\text{cm}^{-1}$ ;

a solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1655-1662  $\text{cm}^{-1}$ ;

a solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1655-1662  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1636-1647  $\text{cm}^{-1}$ ;

a non-solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1680-1685  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1625-1630  $\text{cm}^{-1}$ ;

a non-solvated crystalline form of lopinavir with characteristic peaks in the powder X-ray diffraction pattern at values of two theta of  $6.85^\circ \pm 0.1^\circ$ ,  $9.14^\circ$ ,  $12.88^\circ \pm 0.1^\circ$ ,  $15.09^\circ \pm 0.1^\circ$ ,  $17.74^\circ \pm 0.1^\circ$ ,  $18.01^\circ \pm 0.1^\circ$ , and  $18.53^\circ \pm 0.1^\circ$ ;

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a non-solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1680-1685  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1668-1674  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1656-1662  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1642-1648  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1625-1630  $\text{cm}^{-1}$ ;

a desolvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1655-1662  $\text{cm}^{-1}$ ;

a desolvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1655-1662  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1636-1647  $\text{cm}^{-1}$ ;

a higher hydrated crystalline form of lopinavir with

characteristic peaks in the powder X-ray diffraction pattern at values of two theta of  $3.89^{\circ} \pm 0.1^{\circ}$ ,  $6.55^{\circ} \pm 0.1^{\circ}$ ,  $7.76^{\circ} \pm 0.1^{\circ}$ ,  $8.55^{\circ} \pm 0.1^{\circ}$ ,  $9.70^{\circ} \pm 0.1^{\circ}$ ,  $10.56^{\circ} \pm 0.1^{\circ}$ ,  $14.76^{\circ} \pm 0.1^{\circ}$ ,  $15.57^{\circ} \pm 0.1^{\circ}$ ,  $18.30^{\circ} \pm 0.1^{\circ}$ ,  $18.95^{\circ} \pm 0.1^{\circ}$  and  $22.74^{\circ} \pm 0.1^{\circ}$ ; and

a higher hydrated crystalline form of lopinavir with characteristic peaks in the powder X-ray diffraction pattern at values of two theta of  $3.89^{\circ} \pm 0.1^{\circ}$ ,  $6.55^{\circ} \pm 0.1^{\circ}$ ,  $7.76^{\circ} \pm 0.1^{\circ}$ ,  $8.55^{\circ} \pm 0.1^{\circ}$ ,  $9.70^{\circ} \pm 0.1^{\circ}$ ,  $10.56^{\circ} \pm 0.1^{\circ}$ ,  $14.76^{\circ} \pm 0.1^{\circ}$ ,  $15.06^{\circ} \pm 0.1^{\circ}$ ,  $15.57^{\circ} \pm 0.1^{\circ}$ ,  $16.49^{\circ} \pm 0.1^{\circ}$ ,  $17.51^{\circ} \pm 0.1^{\circ}$ ,  $18.30^{\circ} \pm 0.1^{\circ}$ ,  $18.95^{\circ} \pm 0.1^{\circ}$ ,  $21.73^{\circ} \pm 0.1^{\circ}$  and  $22.74^{\circ} \pm 0.1^{\circ}$ .

**7. A process** for preparing a pharmaceutical composition comprising lopinavir, wherein the process comprises:

adding lopinavir that comprises a crystalline form of lopinavir to a receptacle; and

converting the added lopinavir and additional ingredients in the receptacle into the pharmaceutical composition, and

the crystalline form of lopinavir is selected from the group consisting of:

a hydrated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range  $1652\text{-}1666\text{ cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range  $1606\text{-}1615\text{ cm}^{-1}$ ;

a solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range  $1661\text{-}1673\text{ cm}^{-1}$ , a peak in the solid state infrared spectrum at a position within the range  $1645\text{-}1653\text{ cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range  $1619\text{-}1629\text{ cm}^{-1}$ ;

a crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range  $1655\text{-}1662\text{ cm}^{-1}$ ;

a crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range  $1655\text{-}1662\text{ cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range  $1636\text{-}1647\text{ cm}^{-1}$ ;

a solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range  $1655\text{-}1662\text{ cm}^{-1}$ ;

a solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range  $1655\text{-}1662\text{ cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range  $1636\text{-}1647\text{ cm}^{-1}$ ;

a non-solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range  $1680\text{-}1685\text{ cm}^{-1}$  and a peak in the solid state infrared

spectrum at a position within the range 1625-1630  $\text{cm}^{-1}$ ;

a non-solvated crystalline form of lopinavir with characteristic peaks in the powder X-ray diffraction pattern at values of two theta of  $6.85^\circ \pm 0.1^\circ$ ,  $9.14^\circ$ ,  $12.88^\circ \pm 0.1^\circ$ ,  $15.09^\circ \pm 0.1^\circ$ ,  $17.74^\circ \pm 0.1^\circ$ ,  $18.01^\circ \pm 0.1^\circ$ , and  $18.53^\circ \pm 0.1^\circ$ ;

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a non-solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1680-1685  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1668-1674  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1656-1662  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1642-1648  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1625-1630  $\text{cm}^{-1}$ ;

a desolvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1655-1662  $\text{cm}^{-1}$ ;

a desolvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1655-1662  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1636-1647  $\text{cm}^{-1}$ ;

a higher hydrated crystalline form of lopinavir with characteristic peaks in the powder X-ray diffraction pattern at values of two theta of  $3.89^\circ \pm 0.1^\circ$ ,  $6.55^\circ \pm 0.1^\circ$ ,  $7.76^\circ \pm 0.1^\circ$ ,  $8.55^\circ \pm 0.1^\circ$ ,  $9.70^\circ \pm 0.1^\circ$ ,  $10.56^\circ \pm 0.1^\circ$ ,  $14.76^\circ \pm 0.1^\circ$ ,  $15.57^\circ \pm 0.1^\circ$ ,  $18.30^\circ \pm 0.1^\circ$ ,  $18.95^\circ \pm 0.1^\circ$  and  $22.74^\circ \pm 0.1^\circ$ ; and

a higher hydrated crystalline form of lopinavir with characteristic peaks in the powder X-ray diffraction pattern at values of two theta of  $3.89^\circ \pm 0.1^\circ$ ,  $6.55^\circ \pm 0.1^\circ$ ,  $7.76^\circ \pm 0.1^\circ$ ,  $8.55^\circ \pm 0.1^\circ$ ,  $9.70^\circ \pm 0.1^\circ$ ,  $10.56^\circ \pm 0.1^\circ$ ,  $14.76^\circ \pm 0.1^\circ$ ,  $15.06^\circ \pm 0.1^\circ$ ,  $15.57^\circ \pm 0.1^\circ$ ,  $16.49^\circ \pm 0.1^\circ$ ,  $17.51^\circ \pm 0.1^\circ$ ,  $18.30^\circ \pm 0.1^\circ$ ,  $18.95^\circ \pm 0.1^\circ$ ,  $21.73^\circ \pm 0.1^\circ$  and  $22.74^\circ \pm 0.1^\circ$ .

8. The process according to claim 7, wherein the receptacle is a mixing tank.

9. The process according to claim 7, further comprising adding the additional ingredients to the receptacle.

10. The process according to claim 7, wherein the converting

comprises mixing the added lopinavir and the additional ingredients in the receptacle.

**11. A process** for preparing a pharmaceutical composition comprising lopinavir, wherein the process comprises: adding lopinavir that comprises a crystalline form of lopinavir to a receptacle; and mixing the added lopinavir and additional ingredients in the receptacle, and the crystalline form of lopinavir is selected from the group consisting of:

- a hydrated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range  $1652\text{-}1666\text{ cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range  $1606\text{-}1615\text{ cm}^{-1}$ ;

- a solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range  $1661\text{-}1673\text{ cm}^{-1}$ , a peak in the solid state infrared spectrum at a position within the range  $1645\text{-}1653\text{ cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range  $1619\text{-}1629\text{ cm}^{-1}$ ;

- a crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range  $1655\text{-}1662\text{ cm}^{-1}$ ;

- a crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range  $1655\text{-}1662\text{ cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range  $1636\text{-}1647\text{ cm}^{-1}$ ;

- a solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range  $1655\text{-}1662\text{ cm}^{-1}$ ;

- a solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range  $1655\text{-}1662\text{ cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range  $1636\text{-}1647\text{ cm}^{-1}$ ;

- a non-solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range  $1680\text{-}1685\text{ cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range  $1625\text{-}1630\text{ cm}^{-1}$ ;

- a non-solvated crystalline form of lopinavir with characteristic peaks in the powder X-ray diffraction pattern at values of two theta of  $6.85^\circ \pm 0.1^\circ$ ,  $9.14^\circ$ ,  $12.88^\circ \pm 0.1^\circ$ ,  $15.09^\circ \pm 0.1^\circ$ ,  $17.74^\circ \pm 0.1^\circ$ ,  $18.01^\circ \pm 0.1^\circ$ , and  $18.53^\circ \pm 0.1^\circ$ ;

- a non-solvated crystalline form of lopinavir with characteristic peaks in the powder X-ray diffraction pattern at values of two theta of  $6.85^\circ \pm 0.1^\circ$ ,  $9.14^\circ \pm 0.1^\circ$ ,  $10.80^\circ \pm 0.1^\circ$ ,  $12.04^\circ \pm 0.1^\circ$ ,  $12.88^\circ \pm 0.1^\circ$ ,  $15.09^\circ \pm 0.1^\circ$ ,  $17.74^\circ \pm 0.1^\circ$ ,

18.01° ±0.1°, 18.26° ±0.1°, 18.53° ±0.1°, 20.47° ±0.1°, and 25.35° ±0.1°;

a non-solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1680-1685 cm<sup>-1</sup> and a peak in the solid state infrared spectrum at a position within the range 1668-1674 cm<sup>-1</sup> and a peak in the solid state infrared spectrum at a position within the range 1656-1662 cm<sup>-1</sup> and a peak in the solid state infrared spectrum at a position within the range 1642-1648 cm<sup>-1</sup> and a peak in the solid state infrared spectrum at a position within the range 1625-1630 cm<sup>-1</sup>;

a desolvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1655-1662 cm<sup>-1</sup>;

a desolvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1655-1662 cm<sup>-1</sup> and a peak in the solid state infrared spectrum at a position within the range 1636-1647 cm<sup>-1</sup>;

a higher hydrated crystalline form of lopinavir with characteristic peaks in the powder X-ray diffraction pattern at values of two theta of 3.89° ±0.1°, 6.55° ±0.1°, 7.76° ±0.1°, 8.55° ±0.1°, 9.70° ±0.1°, 10.56° ±0.1°, 14.76° ±0.1°, 15.57° ±0.1°, 18.30° ±0.1°, 18.95° ±0.1° and 22.74° ±0.1°; and

a higher hydrated crystalline form of lopinavir with characteristic peaks in the powder X-ray diffraction pattern at values of two theta of 3.89° ±0.1°, 6.55° ±0.1°, 7.76° ±0.1°, 8.55° ±0.1°, 9.70° ±0.1°, 10.56° ±0.1°, 14.76° ±0.1°, 15.06° ±0.1°, 15.57° ±0.1°, 16.49° ±0.1°, 17.51° ±0.1°, 18.30° ±0.1°, 18.95° ±0.1°, 21.73° ±0.1° and 22.74° ±0.1°.

**12. A process** for preparing a pharmaceutical composition comprising lopinavir, wherein the process comprises:

adding a crystalline form of lopinavir to a reactor to produce the pharmaceutical composition, and

the crystalline form of lopinavir is selected from the group consisting of:

a hydrated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1652-1666 cm<sup>-1</sup> and a peak in the solid state infrared spectrum at a position within the range 1606-1615 cm<sup>-1</sup>;

a solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1661-1673 cm<sup>-1</sup>, a peak in the solid state infrared spectrum at a position within the range 1645-1653 cm<sup>-1</sup> and a peak in the solid state infrared spectrum at a position within the range 1619-1629 cm<sup>-1</sup>;

a crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1655-1662  $\text{cm}^{-1}$ ;

a crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1655-1662  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1636-1647  $\text{cm}^{-1}$ ;

a solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1655-1662  $\text{cm}^{-1}$ ;

a solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1655-1662  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1636-1647  $\text{cm}^{-1}$ ;

a non-solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1680-1685  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1625-1630  $\text{cm}^{-1}$ ;

a non-solvated crystalline form of lopinavir with characteristic peaks in the powder X-ray diffraction pattern at values of two theta of  $6.85^\circ \pm 0.1^\circ$ ,  $9.14^\circ$ ,  $12.88^\circ \pm 0.1^\circ$ ,  $15.09^\circ \pm 0.1^\circ$ ,  $17.74^\circ \pm 0.1^\circ$ ,  $18.01^\circ \pm 0.1^\circ$ , and  $18.53^\circ \pm 0.1^\circ$ ;

a non-solvated crystalline form of lopinavir with characteristic peaks in the powder X-ray diffraction pattern at values of two theta of  $6.85^\circ \pm 0.1^\circ$ ,  $9.14^\circ \pm 0.1^\circ$ ,  $10.80^\circ \pm 0.1^\circ$ ,  $12.04^\circ \pm 0.1^\circ$ ,  $12.88^\circ \pm 0.1^\circ$ ,  $15.09^\circ \pm 0.1^\circ$ ,  $17.74^\circ \pm 0.1^\circ$ ,  $18.01^\circ \pm 0.1^\circ$ ,  $18.26^\circ \pm 0.1^\circ$ ,  $18.53^\circ \pm 0.1^\circ$ ,  $20.47^\circ \pm 0.1^\circ$ , and  $25.35^\circ \pm 0.1^\circ$ ;

a non-solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1680-1685  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1668-1674  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1656-1662  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1642-1648  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1625-1630  $\text{cm}^{-1}$ ;

a desolvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1655-1662  $\text{cm}^{-1}$ ;

a desolvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1655-1662  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1636-1647  $\text{cm}^{-1}$ ;

a higher hydrated crystalline form of lopinavir with characteristic peaks in the powder X-ray diffraction pattern at

values of two theta of  $3.89^{\circ} \pm 0.1^{\circ}$ ,  $6.55^{\circ} \pm 0.1^{\circ}$ ,  $7.76^{\circ} \pm 0.1^{\circ}$ ,  $8.55^{\circ} \pm 0.1^{\circ}$ ,  $9.70^{\circ} \pm 0.1^{\circ}$ ,  $10.56^{\circ} \pm 0.1^{\circ}$ ,  $14.76^{\circ} \pm 0.1^{\circ}$ ,  $15.57^{\circ} \pm 0.1^{\circ}$ ,  $18.30^{\circ} \pm 0.1^{\circ}$ ,  $18.95^{\circ} \pm 0.1^{\circ}$  and  $22.74^{\circ} \pm 0.1^{\circ}$ ; and

a higher hydrated crystalline form of lopinavir with characteristic peaks in the powder X-ray diffraction pattern at values of two theta of  $3.89^{\circ} \pm 0.1^{\circ}$ ,  $6.55^{\circ} \pm 0.1^{\circ}$ ,  $7.76^{\circ} \pm 0.1^{\circ}$ ,  $8.55^{\circ} \pm 0.1^{\circ}$ ,  $9.70^{\circ} \pm 0.1^{\circ}$ ,  $10.56^{\circ} \pm 0.1^{\circ}$ ,  $14.76^{\circ} \pm 0.1^{\circ}$ ,  $15.06^{\circ} \pm 0.1^{\circ}$ ,  $15.57^{\circ} \pm 0.1^{\circ}$ ,  $16.49^{\circ} \pm 0.1^{\circ}$ ,  $17.51^{\circ} \pm 0.1^{\circ}$ ,  $18.30^{\circ} \pm 0.1^{\circ}$ ,  $18.95^{\circ} \pm 0.1^{\circ}$ ,  $21.73^{\circ} \pm 0.1^{\circ}$  and  $22.74^{\circ} \pm 0.1^{\circ}$ .

13. The process according to any one of claims 1, 6 or 12, wherein the added crystalline form of Lopinavir is a hydrated crystalline form of Lopinavir with a peak in the solid state infrared spectrum at a position within the range  $1652\text{-}1666\text{ cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range  $1606\text{-}1615\text{ cm}^{-1}$ .

14. The process according to any one of claims 1, 6 or 12, *wherein the added crystalline form of Lopinavir is a solvated* form of Lopinavir with a peak in the solid state infrared spectrum at a position within the range  $1661\text{-}1673\text{ cm}^{-1}$ , a peak in the solid state infrared spectrum at a position within the range  $1645\text{-}1653\text{ cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range  $1619\text{-}1629\text{ cm}^{-1}$ .

15. The process according to any one of claims 1, 6 or 12, wherein the added crystalline form of Lopinavir is a crystalline form of Lopinavir with a peak in the solid state infrared spectrum at a position within the range  $1652\text{-}1666\text{ cm}^{-1}$ .

16. The process according to any one of claims 1, 6 or 12, wherein the added crystalline form of Lopinavir is a crystalline form of Lopinavir with a peak in the solid state infrared spectrum at a position within the range  $1652\text{-}1666\text{ cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range  $1636\text{-}1647\text{ cm}^{-1}$ .

17. The process according to any one of claims 1, 6 or 12, wherein the added crystalline form of Lopinavir is a solvated crystalline form of Lopinavir with a peak in the solid state infrared spectrum at a position within the range  $1652\text{-}1666\text{ cm}^{-1}$ .

18. The process according to any one of claims 1, 6 or 12,



wherein the added crystalline form of Lopinavir is a solvated crystalline form of Lopinavir with a peak in the solid state infrared spectrum at a position within the range 1652-1666  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1636-1647  $\text{cm}^{-1}$ .

19. The process according to any one of claims 1, 6 or 12, wherein the added crystalline form of Lopinavir is a non-solvated crystalline form of Lopinavir with a peak in the solid state infrared spectrum at a position within the range 1680-1685  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1625-1630  $\text{cm}^{-1}$ .

20. The process according to any one of claims 1, 6 or 12, wherein the added crystalline form of lopinavir is a non-solvated crystalline form of lopinavir with characteristic peaks in the powder X-ray diffraction pattern at values of two theta of  $6.85^\circ \pm 0.1^\circ$ ,  $9.14^\circ \pm 0.1^\circ$ ,  $12.88^\circ \pm 0.1^\circ$ ,  $15.09^\circ \pm 0.1^\circ$ ,  $17.74^\circ \pm 0.1^\circ$ ,  $18.01^\circ \pm 0.1^\circ$ , and  $18.53^\circ \pm 0.1^\circ$ .

21. The process according to any one of claims 1, 6 or 12, wherein the added crystalline form of lopinavir is a non-solvated crystalline form of lopinavir with characteristic peaks in the powder X-ray diffraction pattern at values of two theta of  $6.85^\circ \pm 0.1^\circ$ ,  $9.14^\circ \pm 0.1^\circ$ ,  $10.80^\circ \pm 0.1^\circ$ ,  $12.04^\circ \pm 0.1^\circ$ ,  $12.88^\circ \pm 0.1^\circ$ ,  $15.09^\circ \pm 0.1^\circ$ ,  $17.74^\circ \pm 0.1^\circ$ ,  $18.01^\circ \pm 0.1^\circ$ ,  $18.26^\circ \pm 0.1^\circ$ ,  $18.53^\circ \pm 0.1^\circ$ ,  $20.47^\circ \pm 0.1^\circ$ , and  $25.35^\circ \pm 0.1^\circ$ .

22. The process according to any one of claims 1, 6 or 12, wherein the added crystalline form of lopinavir is a non-solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1680-1685  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1668-1674  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1656-1662  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1642-1648  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1625-1630  $\text{cm}^{-1}$ .

23. The process according to any one of claims 1, 6 or 12, wherein the added crystalline form of lopinavir is a desolvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1655-1662  $\text{cm}^{-1}$ .

24. The process according to any one of claims 1, 6 or 12, wherein the added crystalline form of lopinavir is a desolvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range  $1655\text{-}1662\text{ cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range  $1636\text{-}1646\text{ cm}^{-1}$ .
25. The process according to any one of claims 1, 6 or 12, wherein the added crystalline form of lopinavir is a higher hydrated crystalline form of lopinavir with characteristic peaks in the powder X-ray diffraction pattern at values of two theta of  $3.89^\circ \pm 0.1^\circ$ ,  $6.55^\circ \pm 0.1^\circ$ ,  $7.76^\circ \pm 0.1^\circ$ ,  $8.55^\circ \pm 0.1^\circ$ ,  $9.70^\circ \pm 0.1^\circ$ ,  $10.56^\circ \pm 0.1^\circ$ ,  $14.76^\circ \pm 0.1^\circ$ ,  $15.57^\circ \pm 0.1^\circ$ ,  $18.30^\circ \pm 0.1^\circ$ ,  $18.95^\circ \pm 0.1^\circ$ , and  $22.74^\circ \pm 0.1^\circ$ .
26. The process according to any one of claims 1, 6 or 12, wherein the added crystalline form of lopinavir is a higher hydrated crystalline form of lopinavir with characteristic peaks in the powder X-ray diffraction pattern at values of two theta of  $3.89^\circ \pm 0.1^\circ$ ,  $6.55^\circ \pm 0.1^\circ$ ,  $7.76^\circ \pm 0.1^\circ$ ,  $8.55^\circ \pm 0.1^\circ$ ,  $9.70^\circ \pm 0.1^\circ$ ,  $10.56^\circ \pm 0.1^\circ$ ,  $14.76^\circ \pm 0.1^\circ$ ,  $15.06^\circ \pm 0.1^\circ$ ,  $15.57^\circ \pm 0.1^\circ$ ,  $16.49^\circ \pm 0.1^\circ$ ,  $17.51^\circ \pm 0.1^\circ$ ,  $18.30^\circ \pm 0.1^\circ$ ,  $18.95^\circ \pm 0.1^\circ$ ,  $21.73^\circ \pm 0.1^\circ$  and  $22.74^\circ \pm 0.1^\circ$ .
27. The process according to any one of claims 1, 6 or 12, wherein the added lopinavir is a substantially pure crystalline form of lopinavir.
28. The process according to claims 7 or 11, wherein the added lopinavir comprises a crystalline form of lopinavir that is a hydrated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range  $1652\text{-}1666\text{ cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range  $1606\text{-}1615\text{ cm}^{-1}$ .
29. The process according to claims 7 or 11, wherein the added lopinavir comprises a crystalline form of lopinavir that is a solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range  $1661\text{-}1673\text{ cm}^{-1}$ , a peak in the solid state infrared spectrum at a position within the range  $1645\text{-}1653\text{ cm}^{-1}$ , and a peak in the solid state infrared spectrum at a position within the range  $1619\text{-}1629\text{ cm}^{-1}$ .
30. The process according to claims 7 or 11, wherein the added lopinavir comprises a crystalline form of lopinavir with

a peak in the solid state infrared spectrum at a position within the range 1655-1662  $\text{cm}^{-1}$ .

31. The process according to claims 7 or 11, wherein the added lopinavir comprises a crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1655-1662  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1636-1647  $\text{cm}^{-1}$ .

32. The process according to claims 7 or 11, wherein the added lopinavir comprises a crystalline form of lopinavir that is a solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1655-1662  $\text{cm}^{-1}$ .

33. The process according to claims 7 or 11, wherein the added lopinavir comprises a crystalline form of lopinavir that is a solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1655-1662  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1636-1647  $\text{cm}^{-1}$ .

34. The process according to claims 7 or 11, wherein the added lopinavir comprises a crystalline form of lopinavir that is a non-solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range 1680-1685  $\text{cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range 1625-1630  $\text{cm}^{-1}$ .

35. The process according to claims 7 or 11, wherein the added lopinavir comprises a crystalline form of lopinavir that is a non-solvated crystalline form of lopinavir with characteristic peaks in the powder X-ray diffraction pattern at values of two theta of  $6.85^\circ \pm 0.1^\circ$ ,  $9.14^\circ \pm 0.1^\circ$ ,  $12.88^\circ \pm 0.1^\circ$ ,  $15.09^\circ \pm 0.1^\circ$ ,  $17.74^\circ \pm 0.1^\circ$ ,  $18.01^\circ \pm 0.1^\circ$ , and  $18.53^\circ \pm 0.1^\circ$ .

36. The process according to claims 7 or 11, wherein the added lopinavir comprises a crystalline form of lopinavir that is a non-solvated crystalline form of lopinavir with characteristic peaks in the powder X-ray diffraction pattern at values of two theta of  $6.85^\circ \pm 0.1^\circ$ ,  $9.14^\circ \pm 0.1^\circ$ ,  $10.80^\circ \pm 0.1^\circ$ ,  $12.04^\circ \pm 0.1^\circ$ ,  $12.88^\circ \pm 0.1^\circ$ ,  $15.09^\circ \pm 0.1^\circ$ ,  $17.74^\circ \pm 0.1^\circ$ ,  $18.01^\circ \pm 0.1^\circ$ ,  $18.26^\circ \pm 0.1^\circ$ ,  $18.53^\circ \pm 0.1^\circ$ ,  $20.47^\circ \pm 0.1^\circ$ , and  $25.35^\circ \pm 0.1^\circ$ .

37. The process according to claims 7 or 11, wherein the

added lopinavir comprises a crystalline form of lopinavir that is a non-solvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range  $1680\text{-}1685\text{ cm}^{-1}$ , a peak in the solid state infrared spectrum at a position within the range  $1668\text{-}1674\text{ cm}^{-1}$ , a peak in the solid state infrared spectrum at a position within the range  $1656\text{-}1662\text{ cm}^{-1}$ , a peak in the solid state infrared spectrum at a position within the range  $1642\text{-}1648\text{ cm}^{-1}$ , and a peak in the solid state infrared spectrum at a position within the range  $1625\text{-}1630\text{ cm}^{-1}$ .

38. The process according to claims 7 or 11, wherein the added lopinavir comprises a crystalline form of lopinavir that is a desolvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range  $1655\text{-}1662\text{ cm}^{-1}$ .

39. The process according to claims 7 or 11, wherein the added lopinavir comprises a crystalline form of lopinavir that is a desolvated crystalline form of lopinavir with a peak in the solid state infrared spectrum at a position within the range  $1655\text{-}1662\text{ cm}^{-1}$  and a peak in the solid state infrared spectrum at a position within the range  $1636\text{-}1647\text{ cm}^{-1}$ .

40. The process according to claims 7 or 11, wherein the added lopinavir comprises a crystalline form of lopinavir that is a higher hydrated crystalline form of lopinavir with characteristic peaks in the powder X-ray diffraction pattern at values of two theta of  $3.89^\circ \pm 0.1^\circ$ ,  $6.55^\circ \pm 0.1^\circ$ ,  $7.76^\circ \pm 0.1^\circ$ ,  $8.55^\circ \pm 0.1^\circ$ ,  $9.70^\circ \pm 0.1^\circ$ ,  $10.56^\circ \pm 0.1^\circ$ ,  $14.76^\circ \pm 0.1^\circ$ ,  $15.57^\circ \pm 0.1^\circ$ ,  $18.30^\circ \pm 0.1^\circ$ ,  $18.95^\circ \pm 0.1^\circ$ , and  $22.74^\circ \pm 0.1^\circ$ .

41. The process according to claims 7 or 11, wherein the added lopinavir comprises a crystalline form of lopinavir that is a higher hydrated crystalline form of lopinavir with characteristic peaks in the powder X-ray diffraction pattern at values of two theta of  $3.89^\circ \pm 0.1^\circ$ ,  $6.55^\circ \pm 0.1^\circ$ ,  $7.76^\circ \pm 0.1^\circ$ ,  $8.55^\circ \pm 0.1^\circ$ ,  $9.70^\circ \pm 0.1^\circ$ ,  $10.56^\circ \pm 0.1^\circ$ ,  $14.76^\circ \pm 0.1^\circ$ ,  $15.06^\circ \pm 0.1^\circ$ ,  $15.57^\circ \pm 0.1^\circ$ ,  $16.49^\circ \pm 0.1^\circ$ ,  $17.51^\circ \pm 0.1^\circ$ ,  $18.30^\circ \pm 0.1^\circ$ ,  $18.95^\circ \pm 0.1^\circ$ ,  $21.73^\circ \pm 0.1^\circ$  and  $22.74^\circ \pm 0.1^\circ$ .

**42. A pharmaceutical composition** prepared according to the process of any one of claims 1, 6, 7, 12 or 16.

43. The pharmaceutical composition according to claim 42, wherein the composition is encapsulated in a soft gelatine

capsule.

**7.0      *Grounds for Opposition:***

**7.1**      The Opponent opposes the present Application on the following grounds under section 25(1):

25. Opposition to the patent: –

(1) Where an application for a patent has been published but a patent has not been granted, any person may, in writing, represent by way of opposition to the Controller against the grant of patent on the ground –

(b) that the invention so far as claimed in any claim of the complete specification has been published before the priority date of the claim –

... (ii) in India or elsewhere, in any document:...

...

(e) that the invention so far as claimed in any claim of the complete specification is obvious and clearly does not involve any inventive step,

having regard to the matter published as mentioned in clause (b)

or

having regard to what was used in India before the priority date of the applicant's claim;

(f) that the subject of any claim of the complete specification is not an invention within the meaning of this Act, or is not patentable under this Act;

...

(h) that the applicant failed to disclose to the Controller the information required by section 8 or has furnished the information which in any material particular was false to his knowledge;

...

**8.0      *Discussion of above grounds for opposition:***

The Opponent opposes the Application, in its entirety. The grounds stated above are distinct and independent of each other. Each ground provides sufficient reason to bar the issuance of a patent from the Application.

9.0 S. 25(1)(b) *Invention is published in any document [lack of novelty]:*

9.1 S. 25(1)(b) states:

(b) that the invention so far as claimed in any claim of the complete specification has been published before the priority date of the claim –

(i) in any specification filed in pursuance of an application for a patent made in India on or after the 1<sup>st</sup> day of January 1912; or

(ii) in India or elsewhere, in any document:

Provided that the ground specified in sub-clause (ii) shall not be available where such publication does not constitute an anticipation of the invention by virtue of sub-section (2) or sub-section (3) of section 29;

(emphasis ours)

9.2 The present Specification has a total of 43 claims, each of the independent claims 1, 6, 7, 11 and 12- though worded slightly different from one another- seem to be focussed on following concept – a lopinavir composition with the following parameters:

- a) Using Lopinavir [in its various polymorphic forms] as the starting drug;
- b) Adding additional ingredients;
- c) Taking items [a] and [b] in a receptacle; and
- d) Making a pharmaceutical composition.

The entire claim-set does not have any specific limitation/ mention of:

- a) What is the quantity of Lopinavir?
- b) What is/ are the additional ingredients and quantity of these additional ingredients?
- c) What is the process employed – for instance- is it granulation/ compaction/

plain mixing etc?

d) What is the final composition – injection/ tablet/ capsule/ suppository etc?

With this claim position for all relevant claims, let's look at Applicant's own prior publication- the '332 Patent, which discloses Lopinavir and process to make Lopinavir compositions.

Example 38E of the '332 Patent discloses that Lopinavir was crystallised from an ethyl acetate/ heptane system [Example 38 at column 68, lines 1 through 7]. The Opponent states that this Lopinavir form disclosed in e.g. 38E of '332 is the same as type II solvated crystal form of lopinavir of the present Application. The opponent has discussed this aspect of anticipation of type II solvated crystal in detail, in our opposition to the parent application i.e. IN/PCT/2002/01243/MUM. For the sake of brevity, the Opponent does not repeat the arguments therein, but incorporates the same in entirety.

While there is a plurality of independent claims, as noted earlier, the present specification provides only one example of a composition – a soft elastic gelatin capsule, mentioned on pg 45 and on pg.46, describes a process to make soft gelatin composition. The composition mentioned on page 45, is as below:

"The preferred pharmaceutical composition for administering Lopinavir comprising a 4:1 ratio of Lopinavir : ritonavir has the following composition , encapsulated in soft gelatin capsule"

Lopinavir	133.3 mg
Ritonavir	33.3 mg
Oleic acid, NF	598.6 mg
Propylene Glycol, USP	64.1 mg
Polyoxyl 35 Castor Oil, NF	21.4 mg
(Cremophor EL <sup>®</sup> )	
Water, purified, USP (distilled)	4.3 mg

"A mixing tank and suitable container are purged with nitrogen. 578.6 g of oleic acid is then charged into the mixing tank. The

mixing tank is heated to 28 °C (not to exceed 31 °C) and mixing is started. 33.3 g of ritonavir is then added to the oleic acid with mixing. The propylene glycol and water are added to the mixing tank and mixing is continued until the solution is clear. 133.3 g of lopinavir is then added into the mixing tank and mixing is continued. 10 g of oleic acid is then charged into the tank and mixed until the solution is clear. 21.4 g of polyoxyl 35 castor oil, NF is added to the mixing tank and mixing is continued, followed by the addition of 10 g of oleic acid. The solution is stored at 2-8 °C until encapsulation. 0.855 g of the solution is filled into each soft gelatin capsule and the soft gelatin capsules are then dried, and stored at 2-8 °C.”

Whatever be the verbiage, the scope of the term “composition” in the present claims is enabled in the Specification, only to the extent of the above example for a soft gelatin capsule, and hence has to be restricted to such a soft gelatin capsule composition. If the term “composition” is given any broader interpretation, then the current Specification does not support such broader interpretation and hence the Opponent argues that only the soft gelatin capsule is the composition that needs to be debated, for the purposes of patentability of the claims, here on forward.

9.3 At this juncture, the Opponent states that a composition and process of making composition is disclosed in the ‘332 Patent (**Exhibit 2, col 74, line 35-56**), categorically destroys the novelty of the soft gelatin capsule composition (SEC) provided in the present Application. Composition is pasted below:

Component	% By Weight
Compound of Example 2B (free base)	30
Ethanol (USP, 200 proof)	10
Polyoxyl 35 castor oil (Cremophor <sup>®</sup> EL)	10
Oleic acid, 6321, NF	50
Butylated hydroxyl toluene (BHT), NF	0.01

“The mixing tank was purged with nitrogen. Oleic acid (499.9 g) and ethanol (100 g) were mixed in the tank. The butylated



hydroxytoluene (0.1 g) was charged into the tank and mixed until the solution was clear. The Compound of Example 2B (300 g) was slowly charged into the tank and mixed until the solution was clear. The polyoxyl 35 castor oil (100 g) was added to the tank and mixed. The resulting solution was filled into soft elastic capsules (0.333 g of solution/SEC) to provide a dosage of 100 mg of compound of Example 2B/SEC or 0.667 g/SEC to provide a dosage of 200 mg of compound of Example 2B/SEC.”

Compound of example 2B is Lopinavir- identified by its chemical name as described above. The ‘332 Patent discloses crystalline as well as amorphous form of Lopinavir exemplified in example 38(E) and example 39 respectively.

While comparing composition disclosed in ‘332 Patent and the claims of the present Specification, it is important to note that:

- a) the current ‘hydrates/ solvates/ crystalline Lopinavir’ are also in ‘free base’ form; and
- b) the current ‘Lopinavir crystals/ crystalline/ hydrate/ solvated/ non solvated forms of Lopinavir’ will lose its polymorphic identity once it is dissolved in a solvent, to make a solution to be filled in the soft gelatin capsule composition.

Hence, in both cases (‘332 as well as present Specification’s single example), the final composition will have the drug in same state- dissolved in the solvent and devoid of its polymorphic identity.

It is worth noting that the ‘332 includes technical details for making soft gelatin lopinavir capsules. Example covers steps / components – like adding Lopinavir, adding excipients to a receptacle and making a composition (soft gelatin capsule) – the key features of the current claims and hence the current claims are clearly anticipated by the ‘332 document and are therefore liable to be rejected, in entirety.

10.0 S. 25(1)(e) *Lack of inventive step/ invention obvious:*

10.1 S. 25(1)(e) states:

(e) that the invention so far as claimed in any claim of the complete specification is obvious and clearly does not involve any inventive step,  
*having regard to the matter published as mentioned in clause (b)*  
or  
*having regard to what was used in India before the priority date of the applicant's claim;*

The following definitions from the Act, are important for the present argument:

'S. 2(j) "invention" means a new product or process involving an inventive step and capable of industrial application;

S. 2(ja) "inventive step" means a feature of an invention that involves technical advance as compared to existing knowledge or having economic significance or both and makes the invention not obvious to a person skilled in the art; '

S. 2(l) "new invention" means any invention or technology which has not been anticipated by publication in any document or used in the country or elsewhere in the world before the date of filing of patent application with complete specification, i.e. the subject matter has not fallen in public domain or that it does not form part of the state of the art;'

10.2 The invention in claims 1 through 41, is a process invention, while claims 42/ 43 are product claims based on the earlier process claims. Hence, the alleged invention that needs to be assessed is a 'process' to make a composition. The critical/ necessary steps of all the independent process claims are:

- a) *adding crystalline or similar (hydrate/ solvate etc.) form of lopinavir to a receptacle;*
- b) *adding additional ingredients in the receptacle; and*
- c) *converting lopinavir + ingredients into a pharmaceutical composition.*

As can be seen, there is no specific process step/ sequence/ conversion method mentioned in the claim.

The Opponent states that the present claims, while categorically anticipated by the disclosure of '332 Patent, are also obvious and lack an inventive step on the face of the same document in conjunction with the state of the art, when seen through the

eyes of a person skilled in the art. The Opponent reiterates the argument made under the ground of novelty/ prior publication to highlight the lack of inventive step in the alleged invention. The present claims cover a process of making a Lopinavir composition by mixing it with excipients in a receptacle (no specific process step/ sequence mentioned in the claim). As noted earlier, the '332 Patent discloses making Lopinavir soft gelatin composition using the same active agent, excipients and process.

A person skilled in the art can easily substitute the present 'Crystalline Lopinavir/ crystalline/ hydrate/ solvated/ non solvated forms of Lopinavir' as the starting drug with Lopinavir as disclosed in example 38(E) of '332 Patent and still make the same soft gelatin capsule composition with process disclosure in '332, with more than a reasonable expectation of success. Indeed, the Opponent submits that this is what the Applicant has done, with no inventive merit in the underlying process or composition *per se* and leading to a composition. In a composition invention, the process steps and claimed excipients are crucial to the invention and since the present process claims are exactly the same as disclosed in '332 Patent, there is lack of new knowledge/ inventive process step, which renders the entire set of claims non-patentable under the Act.

The basic premise of patent law is that the inventor discloses his 'new' information/ *claimed invention in order to broaden the scientific knowledge as a whole, in return* for a limited time monopoly. The *quid pro quo* here is the increase in human knowledge for such limited time monopoly. Granting a monopoly for claims that do not increase the scope of human knowledge goes against the very foundation of patent law. As noted earlier, the process in the present claim is the same as the prior art and no differentiation / new knowledge has been added on the composition' elements. Based on the above arguments, the Opponent's contends that all the claims of the Application are devoid of inventive merit and obvious to a person skilled in the art; hence deserve to be rejected in their entirety.

10.3 Additionally, Crystal forms/ hydrates/ solvates of a known compound [and specifically, anti-retro protease inhibitors] can be prepared by using techniques well known in art. Many Journal Articles and Standard textbooks in the field have taught crystallisation techniques and solvent selection methods long before in the prior art, for instance:

1. Vogels' Textbook of practical organic chemistry [Wiley].
2. Theory and origin of polymorphism - article by D. J. W. Grant.
3. Methods for the characterization of polymorphs and solvates – article by H. G. Brittain and E. F. Fieser

Articles 2 and 3 appear in *Polymorphism in Pharmaceutical Solids* edited by H. G. Brittain and published by Marcel Dekker, Inc., New York, 1999.

10.4 As mentioned earlier, prior art document the '332 Patent discloses Lopinavir. *Prima-facie*, the only 'alleged' difference in the earlier form versus the present crystalline/ hydrate/ solvated/ non solvated forms seems to be in the crystalline state of the present forms. However, lopinavir, in the present Specification, has been crystallised from known solvents using standard crystallisation methods. Such crystallisation will lead to formation of various hydrated crystals [when water was used for crystallisation] and solvates, when non-aqueous solvents were used.

For instance, example 1 of the Specification discloses making hydrated lopinavir [Type I] by precipitation of lopinavir from an ethanol/ water mixture; while example 3 discloses making the same hydrated lopinavir from water/ ethanol system using filtration/ crystallisation method. Similarly, solvated forms of lopinavir [Type II] are

prepared by precipitating lopinavir from isopropanol – example 6.

10.5 Solvents used as well as the processes employed to arrive at various crystalline/hydrated forms is well known in the art and routine laboratory work used for producing solvates/ crystalline/hydrate forms of a known substance. There is no inventive merit in creating crystalline forms/ hydrate forms/ solvates etc., of a known substance by applying well known crystallisation techniques in general and crystalline products *per se* of similar protease inhibitor drugs were well known in the prior art.

10.6 Apart from the specific discussion above, the Opponent would also like to point out the following text from various decisions related to crystalline forms/hydrates/solvates etc. issued by the Patent Office.

For instance, in a case where an amorphous form of a crystalline macrolide was disclosed in prior art and the applicant sought an Indian patent for its crystalline forms (including its solvated and hydrated forms), the Patent Office while admitting novelty for the crystalline forms, rejected the application based on lack of inventive step. The reasoning from the case is presented below:

‘After a careful study of the present specification I understood that the applicant is the first person to identify the crystalline structures of a known amorphous form of a known pharmaceutical compound. Being the first person to prepare the crystalline compound of a known amorphous form does not fulfill the requirement of inventive step under the Indian Patents Act. Nowhere in the description of the present application the object of the invention is mentioned and there no hint is given with respect to the problem, if any, persisting with the prior art and the solution offered to solve such problem.

...

Therefore it is understood from the description as understood by me that the applicant has discovered the formation of crystalline forms as a routine experimentation and the additional properties or uses associated with such crystalline forms. Hence I agree with the opinion given under IPER and with arguments put forward by the agent for the opponent with respect to the discovery of the crystalline forms. As admitted by the applicant the process of preparing the crystals is known and can be prepared by any conventional manner. And therefore I conclude that the alleged invention does not involve any inventive step.'  
Patent Office decision [1440/MAS/1998]; emphasis supplied.

**10.7** In yet another case, where the hydrate form was known and the claims were for a novel tri-hydrate form, the Patent Office held:

'... discloses the procedure for preparation of magnesium salt of S-omeprazole wherein the wet cake formation of magnesium salt has been referred. From there to arrive to the trihydrate form of the present invention and then to its pharmaceutical preparation based on the knowledge and teaching available in the prior art, appears to me, to be quite obvious to a person skilled in the art.'  
Patent Office decision [1344/DEL/1998]; emphasis supplied

**10.8** Formation of crystalline pure forms/ solvates/ hydrates from a known substance is a matter of routine experimentation [refer above discussion] and the Patent Office has repeatedly upheld this reasoning. Based on the prior art teachings of crystallisation in general [both solvent and process selection] and the fact that similar protease inhibitor drugs were crystallised, the Opponent contends that invention of solvates/ hydrates/ crystalline forms of the Application is obvious and does not involve any inventive merit. The Opponent, based on arguments laid above, asserts that the complete set of claims in the Application is not inventive, under the Patent Act and hence liable for rejection *in toto*.

11 S. 25(1)(f) Not an invention:

11.1 S. 25(1)(f) states:

(f) that the subject of any claim of the complete specification is not an invention within the meaning of this Act, or is not patentable under this Act;

Chapter II of the Act is titled 'Inventions not patentable' and specifically enumerates categories of developments that are, by statute, not considered to be patentable inventions. Claims 1 through 43 of the Application fall squarely within such categories and hence are not patentable in India. The relevant section is set forth below:

'The following inventions are not inventions within the meaning of this Act, -....

(d) the mere discovery of a new form of a known substance which does not result in the enhancement of the known efficacy of that substance

Or the mere discovery of any new property

Or new use of a known substance

Or of the mere use of a known process, machine or apparatus unless such known process results in a new product or employs at least one new reactant.

Explanation: For the purposes of this clause, salts, esters, ethers, polymorphs, metabolites, pure form, particle size, isomers, mixtures of isomers, complexes, combinations and other derivatives of known substance shall be considered to be the same' (emphasis ours)

11.2 The Application, as noted previously, relates to 'crystalline forms' and 'crystal forms of lopinavir that is substantially pure, by virtue of exclusion of other lopinavir forms'. The Applicant has acknowledged that lopinavir *per se* was known from the '332 Patent. Hence the invention of Application is nothing but merely multiple new forms of a previously known substance or purer forms of a known substance. It

should also be noted that the entire Specification does not contain any data regarding the therapeutic efficacy of any of the 'new' crystalline or solvated or non solvated form(s) of lopinavir; much less any comparative data on enhanced therapeutic efficacy of 'crystalline lopinavir' or lopinavir crystalline/solvates/ hydrates versus its earlier known form of the '332 patent.

Section 3(d) requires that the new form of a known substance should show improvement in the therapeutic efficacy [i.e. demonstrate difference in therapeutic efficacy of the new entity versus known entity], which has not been proven/ clarified by the Applicant in the present instance.

**11.3** The Applicant has admitted that Lopinavir and its compositions are already disclosed in '332 Patent. The present specification goes on to say that the (alleged) invention in the present invention is a substantially pure crystalline/hydrates/ solvates of Lopinavir. This means that the present broad and vague 'process to make composition' claim are only a masked way of getting claims that protect the underlying polymorphs/ hydrates. Since, Indian law does not allow patenting of polymorphs, unless enhancement of efficacy is shown against the earlier known form (which has not been shown in the present Specification), the Applicant has worded his claims in a round about manner of process/ composition claims to stop others from using Lopinavir polymorphs/ hydrates in a pharmaceutical composition.

**11.4** The patent office has consistently held that crystal forms/ hydrates etc. of known substances are patentable only when they pass the enhanced efficacy criterion. For instance, the Controller has held:

".. there has to be an improvement in the therapeutic content or capacity in a same amount of drug compound of the present invention vis-à-vis prior art compound.

...  
(the present application) claims only a new form of a already known compound without any significant enhancement in the known therapeutic efficacy and therefore the said new form is not



patentable under section 3(d) of the Patents (Amendment) Act 2005.”

Patent Office decision [1577/DEL/1996]

In another case, the Controller has noted:

“A mere difference in physical property is a well known conventional variation of the same pure substance not showing any unobvious properties. Therefore the change alleged by the applicant is in the physical properties and not in the therapeutic efficacy. I therefore conclude that the instant invention claim 1&2 are not patentable under section 3(d) of the Patent (Amendment) Act.”

Patent Office decision [IN/PCT/2002/507/DEL]

Likewise, in yet another case, the Controller has gone on to hold:

“The requirement of section 3(d) is that the new form of a known substances should show improvement in the therapeutic efficacy, which has not been proved by the Applicants and they are not ready to prove the difference in therapeutic efficacy of the different entities.

Hence, I conclude that the subject matter of this application is not patentable Under section 3(d) of the Patents Act...”

Patent Office decision [799/CHE/2004]

- 11.5 The claims have flimsy language pertaining to a process for a composition, but the real intention is to block all compositions using Lopinavir crystalline/ hydrates/ solvates as the starting material. The end result of the present claims (if granted) would be the same as the claims of now rejected parent – public would be stopped from using the various physical forms of lopinavir, which *per se*, are specifically not patentable u/s 3(d) as the efficacy requirement for these new forms is not fulfilled in the present Specification. As can be readily seen, there really is no novel underlying formulation/ composition or process to make the same. The Applicant has tried to protect polymorph claims in a process/ composition format, without specifying the process or the composition aspects! Since the Specification does not disclose enhanced efficacy of a Lopinavir crystalline / solvates/ hydrates with respect to earlier known Lopinavir / composition in ‘332 patent nor any advantages of using this ‘Lopinavir crystalline / solvates/ hydrates over the earlier Lopinavir; the claims

are nothing but masked attempt to claim/ block Lopinavir being used as the starting drug, hence the claims fall short of passing the benchmark laid down by S.3(d).

Additionally, the process comprises only known steps and composition claims are only for admixture of known substances, since the '332 patent already discloses Lopinavir and same process to make soft gelatin capsules. There is no synergy shown/ explained in connection with what is the benefit of using Lopinavir crystalline / solvates/ hydrates as the starting drug versus Lopinavir known in prior art and hence the present claims do not pass the burden of S. 3(e).

**11.6** Hence, in absence of data regarding enhanced therapeutic efficacy for any of the crystalline/ hydrate/ solvate/ non solvate forms of Lopinavir or any showing of synergy for current 'composition', all the claims of the present application are unpatentable and liable to be rejected in entirety.

**12.0** *S. 25(1)(g) Invention not sufficiently and clearly described*

**12.1** S. 25(1)(g) states:

(g) that the complete specification does not sufficiently and clearly describe the invention or the method by which it is to be performed;

The Opponent further opposes all the claims on the ground that process and composition resultant there from is not sufficiently and clearly described in the Application.

**12.2** The only example for a composition in the specification is a soft gel capsule formulation for Lopinavir + Ritonavir (not just Lopinavir). There are no examples/ guidance for making any other types of solid oral dosage forms such as tablets/ capsules/ pellets or other pharmaceutical compositions such as parenterals or topical compositions etc. The disclosed soft gelatin capsule was already disclosed in the prior art'332 Patent and there is no discussion of how the present soft gelatin capsule is inventive/ different from the '332 soft gelatin capsule.

12.3 As has been noted in the earlier part of the present representation, the claims also are vague and lack specificity. Since the presently worded process claims cover all compositions without the Specification sufficiently and clearly describing any other alternative compositions besides the soft gel capsule, the Opponent asserts that all the claims are extremely broad and seek a monopoly that is not warranted – all compositions, when nothing but a soft gelatin capsule is described. The premise of patent law is that the inventor discloses sufficient and complete scientific knowledge to make the invention available to the public at large, in return for a limited time monopoly, so that the public can utilise the invention, post expiry of the patent. In the present Specification, the Inventor has not disclosed any data/ guidance/ technical method to make different types of lopinavir compositions, except the lone soft gel capsule. This point is very important since the same Applicant has a whole host of patent applications filed across the world on various types of lopinavir and lopinavir + ritonavir compositions – for instance separate applications for soft gel capsule, separate applications for tablets etc. In the Mumbai patent office itself (apart from other patent offices in India), it has the following applications pertaining to lopinavir and lopinavir + ritonavir compositions [not a comprehensive list]:

- a) IN/PCT/2002/01243/MUM
- b) 676/MUMNP/2007 [composition]
- c) 677/MUMNP/2007
- d) 1638/MUMNP/2007
- e) 339/MUMNP/2006 [composition]
- f) 726/MUMNP/2009 [composition]

On the other hand, the Applicant's present claims are unrestricted in scope and seek to cover all forms of pharmaceutical compositions, not just soft gel capsules.

12.4 The Applicant uses terms like “receptacle” or “reactor” in the claims of the present Specification which are nowhere defined in the Specification, and hence render the present claims unclear and opaque to a person skilled in the art to practice the

alleged invention. Additionally, the lack of description/ method to prepare other lopinavir compositions like tablets, pellets etc. renders the present specification completely insufficient to enable a person possessing average skills in, and average knowledge of, the art of formulation development in making any lopinavir compositions, besides the soft gelatin capsule. Granting a monopoly for such a non-enabled, but claimed invention (that would cover all types of compositions, including public domain prior art as well as non-enabled dosage forms), goes against the very foundation of patent law.

**12.5** Moreover, Claims 1 through 43 seem to be the same – rather a repetition of the same concept– with very minor edits in the claim language. Such repetition only creates further ambiguity. Hence, the Opponent contends that all the present claims are not patentable in India on the ground of claimed invention not being sufficiently and clearly described in the specification and hence liable for outright rejection.

**13.0** *S. 25(1)(h) Non intimation of information under S. 8 to the Controller:*

**13.1** The Opponent also opposes the present Application, in its entirety, under S.25(1)(h) pertaining to non-disclosure of information required under S. 8 of the Act. The section states:

*(h) that the applicant has failed to disclose to the Controller the information required by section 8 or has furnished the information which in any material particular was false to his knowledge;*

**13.2** Immediately following are some of corresponding National phase entries for original PCT parent patent application:

AU5092001;

BR0109433;

**EP1268442;**

MXPA02009559;

US6608198;  
US6864369;  
US2007027172 and  
ZA200206962

- 13.3** In the above European filing [EP '442], the European Patent Office [EPO] rejected the entire set of claims vide its Examination report. The Applicant then went on to file an appeal but the appeal too was rejected in entirety, on the ground of subject matter of claims lacking an inventive step. The Applicant was supposed to respond within three months to this appeal rejection – which it failed to do. Finally, the EPO issued a 'Notice of loss of rights' on 13/July/2010- indicating that all appeal proceedings are terminated and that the European Application is 'deemed withdrawn'. Later, a confirmation of 'termination of appeal procedure' indicating complete 'withdrawal' was dispatched on 02/Feb/2011. A copy of the EPO Notice is attached as **(Exhibit 3)**. This means that the Applicant has abandoned the European Application, based on the EPO' Appeal rejection.

The Opponent believes that above details for National phase entries as well as the critical EPO appeal rejection and its complete abandonment were not submitted by the Applicant to the patent office. This is, by itself, an independent ground for rejecting the present application in its entirety, and such action is respectfully requested by the Opponent.

- 13.4** The Opponents' arguments are based on the Specification and claims as originally filed. The Opponent prays that based on the above arguments and the evidence attached in Exhibits 1 to 3; the claims of present Application [894/MUMNP/2007] are liable to be rejected in entirety.

**14.0 Additional submissions:**

- 14.1** The Opponent also would like to emphasize the fact that the present divisional

application is not a valid divisional filing, under the scheme of the Patents Act, 1970. As can be seen from the file history of the parent '1243, the Examiner never issued a 'multiplicity of invention' rejection. Without this rejection, the very basis for filing the present divisional is lost. While the Applicant may argue that the present divisional is different in scope than the rejected parent ('1243), we submit that this is mere hog wash! The '1243 Application was also focused on protecting the various 'forms' of Lopinavir – hydrates/ solvates etc. The present divisional ('894), while using a round about language of 'process to make composition' is actually a masked attempt at protecting the very same 'forms' of Lopinavir. By comparing the present Application' claims – in practical terms- to that of originally filed claims of the parent application, it is seen that the Applicant is trying to keep the rejected claims of the parent Application alive by filing this divisional application.

- 14.2 Any situation where the divisional application contains substantially the same claims (which is the case here) as the parent application may be considered an abuse of the patent application process by the applicant for the sole purpose of resurrecting claims lapsed / rejected in an earlier patent, or for allowing substantially similar claims to remain pending, indefinitely, with the Patent Office. Therefore, it is clear, that in the present situation, the Applicant has merely filed the present divisional application not as a way to divide the subject matter of the parent application, but to keep the *similar claims and trying to cover the objected claims of the parent application* filed at the Patent Office. Hence, the present application is in complete contravention of Sec 16 of Indian Patent Act and liable to be rejected, as such.

15 PRAYERS:

The Opponent now prays for the following:

- That the Controller take the present Opposition on record;
- Reject the claims of the present application, *in toto*;
- Leave to file further evidence and additional grounds, if necessary;

- Revert with arguments, additional evidence and additional grounds, should the Applicant choose to amend the claims;
- Grant of hearing to the Opponent; and
- Grant such additional relief as the Controller may deem fit.

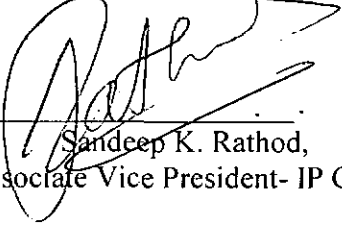
15.1 Our address for service in connection with these proceedings is:-

Sandeep K. Rathod,  
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Dated this 08<sup>th</sup> day of March, 2011

For Matrix Laboratories Limited

  
Sandeep K. Rathod,  
Associate Vice President- IP Counsel

To:  
The Controller of Patents  
The Patent Office,  
Mumbai

### LIST OF EXHIBITS

<b>Exhibit 1</b>	IPAIR status
<b>Exhibit 2</b>	US 5914332
<b>Exhibit 3</b>	EPO status

10 MAR 2011



**EXHIBIT - 1**

## Detail

APPLICATION NUMBER	894/MUMNP/2007
APPLICANT NAME	<b>ABBOTT LABORATORIES</b>
DATE OF FILING	14/06/2007
DATE OF COMPLETE SPECIFICATION	14/06/2007
PCT INTERNATIONAL FILING DATE	21/03/2001
PRIORITY DATE	30/03/2000
TITLE OF INVENTION	CRYSTALLINE PHARMACEUTICAL
PUBLICATION DATE (U/S 11A)	03/08/2007

## Application Status

Request For Examination Date	14/06/2007
First Examination Report Date	28/12/2010
Status	<b>Application is under Examination Group: 1 (Chemistry And Allied Subjects)</b>

[Print](#) [Back Report](#) [View Complete Specification](#) [View E-register](#) [View Examination Report\(s\)](#)[View Decision\(s\)](#)[View Documents](#)**10 MAR 2011**



US005914332A

**United States Patent** [19]**Sham et al.**[11] **Patent Number:** **5,914,332**[45] **Date of Patent:** **Jun. 22, 1999**[54] **RETROVIRAL PROTEASE INHIBITING COMPOUNDS**

[75] **Inventors:** **Hing Leung Sham, Mundelein; Daniel W. Norbeck, Crystal Lake; Xiaoli Chen, Libertyville; David A. Betebenner, Gurnee, all of Ill.**

[73] **Assignee:** **Abbott Laboratories, Abbott Park, Ill.**

[21] **Appl. No.:** **08/753,201**

[22] **Filed:** **Nov. 21, 1996**

**Related U.S. Application Data**

[63] **Continuation-in-part of application No. 08/572,226, Dec. 13, 1995, abandoned.**

[51] **Int. Cl.<sup>6</sup>** ..... **A61K 31/415; A61K 31/505; A61K 31/535; C07D 239/10**

[52] **U.S. Cl.** ..... **514/274; 514/211; 514/218; 514/228.8; 514/256; 514/376; 514/377; 514/392; 540/488; 540/492; 544/88; 544/97; 544/316; 544/332; 548/229; 548/233; 548/324.1; 548/331.5**

[58] **Field of Search** ..... **548/229, 324.5, 548/233, 324.1, 331.5; 544/88, 316, 97, 332; 540/488, 492; 514/211, 218, 228.8, 274, 376, 392, 256, 377**

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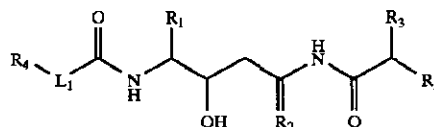
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*Primary Examiner*—Richard L. Raymond  
*Attorney, Agent, or Firm*—Steven R. Crowley

[57] **ABSTRACT**

A compound of the formula:



is disclosed as an HIV protease inhibitor. Methods and compositions for inhibiting an HIV infection are also disclosed.

**17 Claims, No Drawings**

# RETROVIRAL PROTEASE INHIBITING COMPOUNDS

This is a continuation-in-part of U.S. patent application Ser. No. 08/572,226, filed Dec. 13, 1995, now abandoned.

## TECHNICAL FIELD

The present invention relates to novel compounds and a composition and method for inhibiting retroviral proteases and in particular for inhibiting human immunodeficiency virus (HIV) protease, a composition and method for inhibiting a retroviral infection and in particular an HIV infection, processes for making the compounds and synthetic intermediates employed in the processes.

## BACKGROUND OF THE INVENTION

Retroviruses are those viruses which utilize a ribonucleic acid (RNA) intermediate and a RNA-dependent deoxyribonucleic acid (DNA) polymerase, reverse transcriptase, during their life cycle. Retroviruses include, but are not limited to, the RNA viruses of the Retroviridae family, and also the DNA viruses of the Hepadnavirus and Caulimovirus families. Retroviruses cause a variety of disease states in man, animals and plants. Some of the more important retroviruses from a pathological standpoint include human immunodeficiency viruses (HIV-1 and HIV-2), which cause acquired immune deficiency syndrome (AIDS) in man, human T-cell lymphotropic viruses I, II, IV and V, which cause human acute cell leukemia, and bovine and feline leukemia viruses which cause leukemia in domestic animals.

Proteases are enzymes which cleave proteins at specific peptide bonds. Many biological functions are controlled or mediated by proteases and their complementary protease inhibitors. For example, the protease renin cleaves the peptide angiotensinogen to produce the peptide angiotensin I. Angiotensin I is further cleaved by the protease angiotensin converting enzyme (ACE) to form the hypotensive peptide angiotensin II. Inhibitors of renin and ACE are known to reduce high blood pressure in vivo. An inhibitor of a retroviral protease will provide a therapeutic agent for diseases caused by the retrovirus.

The genomes of retroviruses encode a protease that is responsible for the proteolytic processing of one or more polyprotein precursors such as the pol and gag gene products. See Wellink, Arch. Virol. 981 (1988). Retroviral proteases most commonly process the gag precursor into core proteins, and also process the pol precursor into reverse transcriptase and retroviral protease. In addition, retroviral proteases are sequence specific. See Pearl, Nature 328 482 (1987).

The correct processing of the precursor polyproteins by the retroviral protease is necessary for the assembly of infectious virions. It has been shown that in vitro mutagenesis that produces protease-defective virus leads to the production of immature core forms which lack infectivity. See Crawford, J. Virol. 53 899 (1985); Katoh, et al., Virology 145 280 (1985). Therefore, retroviral protease inhibition provides an attractive target for antiviral therapy. See Mitsuya, Nature 325 775 (1987).

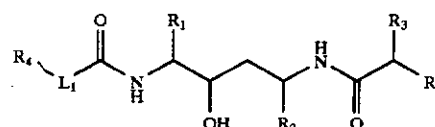
Current treatments for viral diseases usually involve administration of compounds that inhibit viral DNA synthesis. Current treatments for AIDS involve administration of compounds such as 3'-azido-2'-deoxythymidine (AZT), 2',3'-dideoxycytidine (DDC), 2',3'-dideoxyinosine (DDI), d4T and 3TC and compounds which treat the opportunistic infections caused by the immunosuppression resulting from

HIV infection. None of the current AIDS treatments have proven to be totally effective in treating and/or reversing the disease. In addition, many of the compounds currently used to treat AIDS cause adverse side effects including low platelet count, renal toxicity and bone marrow cytopenia.

Recently the HIV protease inhibitors ritonavir, saquinavir and indinavir have been approved in the U.S. for treatment of HIV infections. However, there is a continuing need for improved HIV protease inhibitors.

## DISCLOSURE OF THE INVENTION

In accordance with the present invention, there is a compound of the formula I:

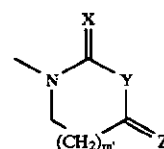
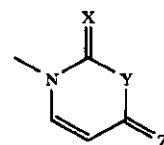
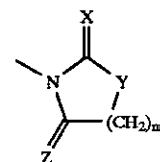
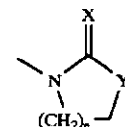


wherein  $R_1$  and  $R_2$  are independently selected from the group consisting of loweralkyl, cycloalkylalkyl and arylalkyl;

$R_3$  is loweralkyl, hydroxyalkyl or cycloalkylalkyl;

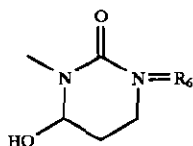
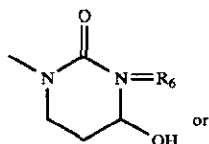
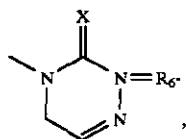
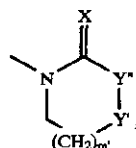
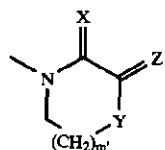
$R_4$  is aryl or heterocyclic;

$R_5$  is



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wherein n is 1, 2 or 3, m is 1, 2 or 3, m' is 1 or 2, X is O, S or NH, Y is —CH<sub>2</sub>—, —O—, —S— or —N(R<sub>6</sub>)— wherein R<sub>6</sub> is hydrogen, loweralkyl, cycloalkyl, cycloalkylalkyl, aryl or arylalkyl, Y'' is —CH<sub>2</sub>— or —N(R<sub>6</sub>)— wherein R<sub>6</sub> is hydrogen, loweralkyl, cycloalkyl, cycloalkylalkyl, aryl or arylalkyl, Y' is —N(R<sub>6</sub>)— wherein R<sub>6</sub> is hydrogen, loweralkyl, cycloalkyl, cycloalkylalkyl, aryl or arylalkyl, and Z is O, S or NH; and

L<sub>1</sub> is

a) —O—,

b) —S—,

c) —N(R<sub>7</sub>)— wherein R<sub>7</sub> is hydrogen, loweralkyl, cycloalkyl or cycloalkylalkyl,

d) —O-alkylenyl—,

e) —S-alkylenyl—,

f) —S(O)-alkylenyl—,

g) —S(O)<sub>2</sub>-alkylenyl—,h) —N(R<sub>7</sub>)-alkylenyl— wherein R<sub>7</sub> is defined as above,

i) -alkylenyl-O—,

j) -alkylenyl-S—,

k) alkylenyl-N(R<sub>7</sub>)— wherein R<sub>7</sub> is defined as above,

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l) alkylenyl or

m) alkenylenyl;

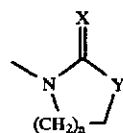
or a pharmaceutically acceptable salt, ester or prodrug thereof.

Preferred compounds are compounds of the formula I wherein R<sub>1</sub> and R<sub>2</sub> are arylalkyl, R<sub>3</sub> is loweralkyl, R<sub>4</sub> is aryl, R<sub>5</sub> is

f) 10

a)

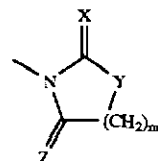
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g)

b)

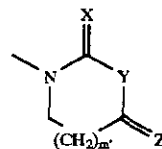
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h) 25

c)

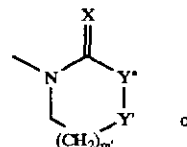
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i)

d)

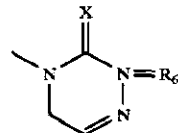
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e)

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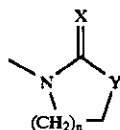


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wherein X, Y, Y', Y'', Z, R<sub>6</sub>, n, m and m' are defined as above and L<sub>1</sub> is —O-alkylenyl.

More preferred compounds are compounds of the formula I wherein R<sub>1</sub> and R<sub>2</sub> are benzyl or R<sub>1</sub> is benzyl and R<sub>2</sub> is loweralkyl, R<sub>3</sub> is loweralkyl, R<sub>4</sub> is (a) phenyl which is substituted with two loweralkyl groups and which is optionally substituted with a third substituent selected from the group consisting of loweralkyl, hydroxy, amino and halo or (b) pyridyl or pyrimidinyl either of which is substituted with two loweralkyl groups and which is optionally substituted with a third substituent selected from the group consisting of loweralkyl, hydroxy, amino and halo, R<sub>5</sub> is

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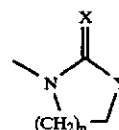


wherein  $n$  is 1 or 2,  $X$  is O or S and  $Y$  is  $-\text{CH}_2-$  or  $-\text{NH}-$ ,

a)

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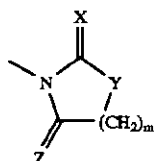


wherein  $n$  is 1 or 2,  $X$  is O or S and  $Y$  is  $-\text{CH}_2-$  or  $-\text{NH}-$ ,

a)

b)

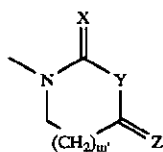
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wherein  $m$  is 1 or 2,  $X$  is O,  $Y$  is  $-\text{CH}_2-$  and  $Z$  is O,

c)

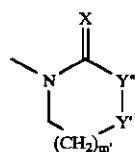
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wherein  $m'$  is 1,  $X$  is O,  $Z$  is O and  $Y$  is  $-\text{NH}-$ ,

d)

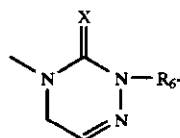
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wherein  $m'$  is 1,  $X$  is O,  $Y''$  is  $-\text{NH}-$  and  $Y'$  is  $-\text{NH}-$  or

e)

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wherein  $X$  is O and  $R_{6'}$  is hydrogen and

$L_1$  is  $-\text{O}-\text{CH}_2-$ .

Even more preferred compounds are compounds of the formula I wherein  $R_1$  and  $R_2$  are benzyl or  $R_1$  is benzyl and  $R_2$  is isopropyl,  $R_3$  is loweralkyl,  $R_4$  is 2,6-dimethylphenyl which is optionally substituted with a third substituent selected from the group consisting of loweralkyl and halo,  $R_5$  is

60 and

$L_1$  is  $-\text{O}-\text{CH}_2-$ .

Most preferred compounds are compounds of the formula I wherein  $R_1$  and  $R_2$  are benzyl or  $R_1$  is benzyl and  $R_2$  is isopropyl,  $R_3$  is loweralkyl,  $R_4$  is 2,6-dimethylphenyl which is optionally substituted with a third substituent selected from the group consisting of loweralkyl and halo,  $R_5$  is

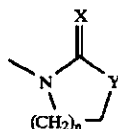
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c)

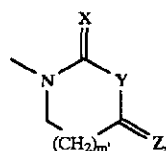
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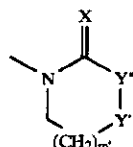
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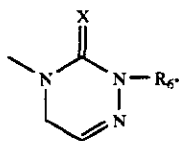
wherein n is 1 or 2, X is O or S and Y is  $-\text{CH}_2-$  or  $-\text{NH}-$ ,



wherein m' is 1, X is O, Z is O and Y is  $-\text{NH}-$ ,



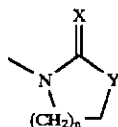
wherein m' is 1, X is O, Y'' is  $-\text{NH}-$  and Y' is  $-\text{NH}-$  or



wherein X is O and R<sub>6</sub> is hydrogen and

L<sub>3</sub> is  $-\text{O}-\text{CH}_2-$ .

Most highly preferred compounds are compounds of the formula I wherein R<sub>1</sub> and R<sub>2</sub> are benzyl or R<sub>1</sub> is benzyl and R<sub>2</sub> is isopropyl, R<sub>3</sub> is loweralkyl, R<sub>4</sub> is 2,6-dimethylphenyl which is optionally substituted with a third substituent selected from the group consisting of loweralkyl and halo, R<sub>5</sub> is



wherein n is 1 or 2, X is O or S and Y is  $-\text{CH}_2-$  or  $-\text{NH}-$  and

L<sub>1</sub> is  $-\text{O}-\text{CH}_2-$ .

Examples of highly and most highly preferred compounds of the formula I are selected from the group consisting of: (2S,3S,5S)-2-(2,6-dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(1-tetrahydro-pyrimid-2-onyl)-3-methyl butanoyl]amino-1,6-diphenylhexane; (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-imidazolidin-2-onyl)-3,3-dimethyl butanoyl)amino-1,6-diphenylhexane;

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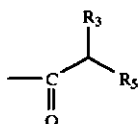
- a) (2S,3S,5S)-2-(2,6-dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-imidazolidin-2-thionyl)-3-methyl butanoyl)amino-1,6-diphenylhexane;
- 5 (2S,3S,5S)-2-(2,4,6-trimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-imidazolidin-2-onyl)-3-methylbutanoyl)amino-1,6-diphenylhexane;
- (2S,3S,5S)-2-(4-fluoro-2,6-dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-imidazolidin-2-onyl)-3-methylbutanoyl)amino-1,6-diphenylhexane;
- 10 (2S,3S,5S)-2-(2,6-dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-pyrrolidin-2-onyl)-3-methylbutanoyl)amino-1,6-diphenylhexane;
- b) (2S,3S,5S)-2-(2,6-dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-pyrrolidin-2,5-dionyl)-3-methylbutanoyl)amino-1,6-diphenylhexane;
- 15 (2S,3S,5S)-2-(trans-3-(2,6-dimethylphenyl)propenoyl)amino-3-hydroxy-5-(2S-1-tetrahydropyrimidin-2-onyl)-3-methylbutanoyl)amino-1,6-diphenylhexane;
- (2S,3S,5S)-2-(3-(2,6-dimethylphenyl)propanoyl)amino-3-hydroxy-5-(2S-(1-tetrahydropyrimidin-2-onyl)-3-methylbutanoyl)amino-1,6-diphenylhexane;
- 20 (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-tetrahydro-pyrimid-2,4-dionyl)-3-methylbutanoyl)amino-1,6-diphenylhexane;
- c) (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(4-aza-1-tetrahydro-pyrimid-2-onyl)-3-methylbutanoyl)amino-1,6-diphenylhexane;
- 25 (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-tetrahydro-pyrimid-2-onyl)-3-methylbutanoyl)amino-1-phenyl-6-methylheptane;
- (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-tetrahydro-pyrimid-2,4-dionyl)-3-methylbutanoyl)amino-1-phenyl-6-methylheptane; and
- (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(4-aza-4,5-dehydro-1-pyrimid-2-onyl)-3-methylbutanoyl)amino-1,6-diphenylhexane;
- 35 or a pharmaceutically acceptable salt, ester or prodrug thereof.

The most highly preferred compound of the formula I is (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(1-tetrahydro-pyrimid-2-onyl)-3-methyl butanoyl]amino-1,6-diphenylhexane; or a pharmaceutically acceptable salt, ester or prodrug thereof.

In some circumstances it is preferred to be able to prepare (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(1-tetrahydro-pyrimid-2-onyl)-3-methyl butanoyl]amino-1,6-diphenylhexane (or a pharmaceutically acceptable salt, ester or prodrug thereof) as an amorphous solid. Such an amorphous solid can be prepared by dissolving (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(1-tetrahydro-pyrimid-2-onyl)-3-methyl butanoyl]amino-1,6-diphenylhexane in an organic solvent (for example, ethanol, isopropanol, acetone, acetonitrile and the like) and then adding the solution to water. Preferably, (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(1-tetrahydro-pyrimid-2-onyl)-3-methyl butanoyl]amino-1,6-diphenylhexane is dissolved in ethanol (from about 2 to about 4 mL/g) and the ethanolic solution is added with stirring to water (from about 10 to about 100 mL/g) to provide amorphous (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(1-tetrahydro-pyrimid-2-onyl)-3-methyl butanoyl]amino-1,6-diphenylhexane.

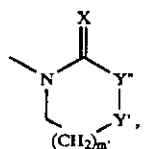
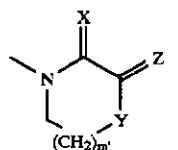
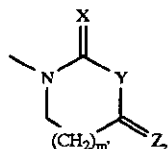
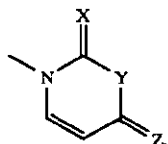
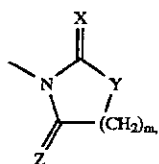
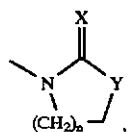
Another embodiment of the present invention comprises an HIV protease inhibiting compound comprising a substituent of the formula II:

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wherein  $R_3$  is loweralkyl, hydroxyalkyl or cycloalkylalkyl;  
and

$R_5$  is



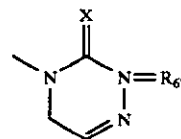
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II

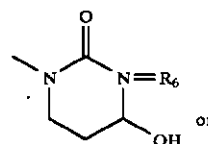
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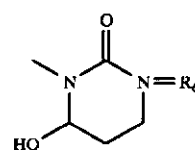
b)



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i)

a)



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b)

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wherein  $n$  is 1, 2 or 3,  $m$  is 1, 2 or 3,  $m'$  is 1 or 2,  $X$  is O, S or NH,  $Y$  is  $-\text{CH}_2-$ ,  $-\text{O}-$ ,  $-\text{S}-$  or  $-\text{N}(\text{R}_6)-$  wherein  $\text{R}_6$  is hydrogen, loweralkyl, cycloalkyl, cycloalkylalkyl,

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aryl or arylalkyl,  $Y''$  is  $-\text{CH}_2-$  or  $-\text{N}(\text{R}_6)-$  wherein  $\text{R}_6$  is hydrogen, loweralkyl, cycloalkyl, cycloalkylalkyl, aryl or arylalkyl,  $Y'$  is  $-\text{N}(\text{R}_6)-$  wherein  $\text{R}_6$  is hydrogen, loweralkyl, cycloalkyl, cycloalkylalkyl, aryl or arylalkyl, and  $Z$  is O, S or NH.

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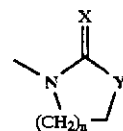
Preferred compounds are HIV protease inhibiting compounds comprising a substituent of the formula II wherein  $\text{R}_3$  is loweralkyl and  $\text{R}_5$  is

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a)

d)

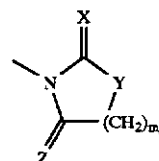
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b)

e)

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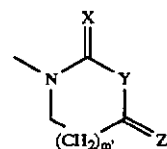


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c)

f)

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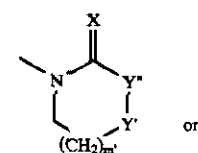


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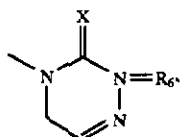
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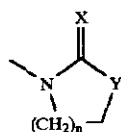


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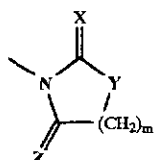


wherein X, Y, Y', Y'', Z, R<sub>6''</sub>, n, m and m' are defined as above.

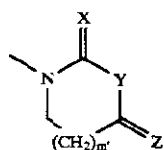
More preferred compounds are HIV protease inhibiting compounds comprising a substituent of the formula II wherein R<sub>3</sub> is loweralkyl and R<sub>5</sub> is



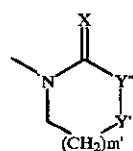
wherein n is 1 or 2, X is O or S and Y is —CH<sub>2</sub>— or —NH—,



wherein m is 1 or 2, X is O, Y is —CH<sub>2</sub>— and Z is O,



wherein m' is 1, X is O, Z is O and Y is —NH—,



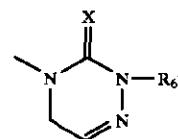
12

wherein m' is 1, X is O, Y'' is —NH— and Y' is —NH— or

d)

e)

5



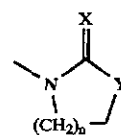
e) 10

wherein X is O and R<sub>6''</sub> is hydrogen.

Even more preferred compounds are HIV protease inhibiting compounds comprising a substituent of the formula II wherein R<sub>3</sub> is isopropyl and R<sub>5</sub> is

15

a)

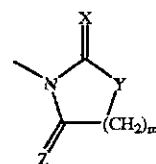


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wherein n is 1 or 2, X is O or S and Y is —CH<sub>2</sub>— or —NH—,

a) 25

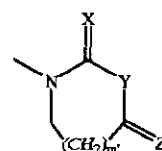
b)



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b) 35 wherein m is 1 or 2, X is O, Y is —CH<sub>2</sub>— and Z is O,

c)



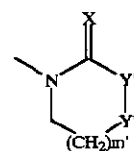
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wherein m' is 1, X is O, Z is O and Y is —NH—,

c)

d)

50



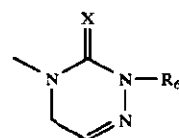
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wherein m' is 1, X is O, Y'' is —NH— and Y' is —NH— or

d)

e)

60



65

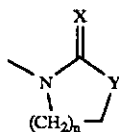
wherein X is O and R<sub>6''</sub> is hydrogen.

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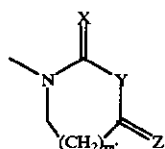


## 13

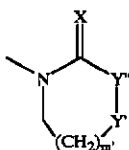
Most preferred compounds are HIV protease inhibiting compounds comprising a substituent of the formula II wherein  $R_3$  is isopropyl and  $R_5$  is



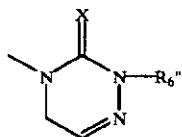
wherein  $n$  is 1 or 2,  $X$  is O or S and  $Y$  is  $-\text{CH}_2-$  or  $-\text{NH}-$ ,



wherein  $m'$  is 1,  $X$  is O,  $Z$  is O and  $Y$  is  $-\text{NH}-$ ,

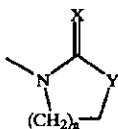


wherein  $m'$  is 1,  $X$  is O,  $Y''$  is  $-\text{NH}-$  and  $Y'$  is  $-\text{NH}-$  or



wherein  $X$  is O and  $R_6$  is hydrogen.

Most highly preferred compounds are HIV protease inhibiting compounds comprising a substituent of the formula II wherein  $R_3$  is isopropyl and  $R_5$  is



wherein  $n$  is 1 or 2,  $X$  is O or S and  $Y$  is  $-\text{CH}_2-$  or  $-\text{NH}-$ .

Examples of such HIV protease inhibiting compounds include:

cis-N-tert-butyl-decahydro-2-[2(R)-hydroxy-4-phenyl-3(S)-(2S-(1-tetrahydropyrimid-2-onyl)-3-methylbutanoyl)aminobutyl]-(4aS,8aS)-isoquinoline-3(S)-carboxamide;

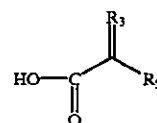
cis-N-tert-butyl-decahydro-2-[2(R)-hydroxy-4-thiophenyl-3(S)-(2S-(1-tetrahydropyrimid-2-onyl)-3-methylbutanoyl)aminobutyl]-(4aS,8aS)-isoquinoline-3(S)-carboxamide; and

4-Amino-N-((2syn,3S)-2-hydroxy-4-phenyl-3-(2S-(1-tetrahydropyrimid-2-onyl)-3-methylbutanoyl)aminobutyl)-N-isobutyl-benzenesulfonamide; and the like;

## 14

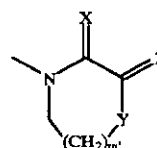
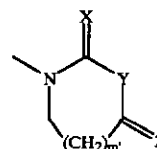
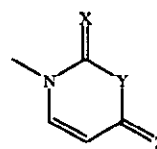
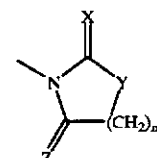
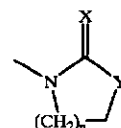
or pharmaceutically acceptable salts thereof

Such HIV protease inhibiting compounds comprising a substituent of the formula II can be prepared by coupling a suitable intermediate or precursor having an amino group ( $-\text{NH}_2$  or  $-\text{NHR}^*$  wherein  $R^*$  is loweralkyl), a hydroxyl group ( $-\text{OH}$ ) or a thiol group ( $-\text{SH}$ ) to the compound of the formula III or a salt or an activated ester derivative thereof:



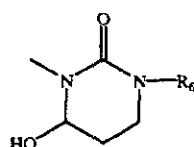
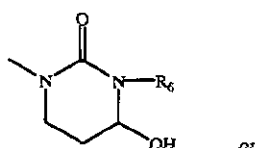
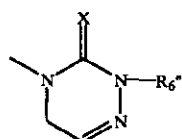
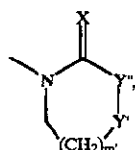
wherein  $R_3$  is loweralkyl, hydroxyalkyl or cycloalkylalkyl; and

$R_5$  is



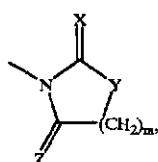
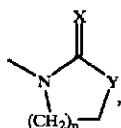
15

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wherein  $n$  is 1, 2 or 3,  $m$  is 1, 2 or 3,  $m'$  is 1 or 2,  $X$  is O, S or NH,  $Y$  is  $-\text{CH}_2-$ ,  $-\text{O}-$ ,  $-\text{S}-$  or  $-\text{N}(\text{R}_6)-$  wherein  $\text{R}_6$  is hydrogen, loweralkyl, cycloalkyl, cycloalkylalkyl, aryl or arylalkyl,  $Y''$  is  $-\text{CH}_2-$  or  $-\text{N}(\text{R}_6)-$  wherein  $\text{R}_6$  is hydrogen, loweralkyl, cycloalkyl, cycloalkylalkyl, aryl or arylalkyl,  $Y'$  is  $-\text{N}(\text{R}_6)-$  wherein  $\text{R}_6$  is hydrogen, loweralkyl, cycloalkyl, cycloalkylalkyl, aryl or arylalkyl, and  $Z$  is O, S or NH.

Preferred compounds are compounds of the formula III or an activated ester derivative thereof wherein  $\text{R}_3$  is loweralkyl and  $\text{R}_5$  is

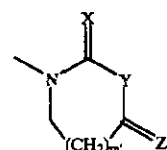


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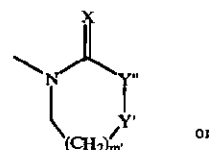
f)

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g)

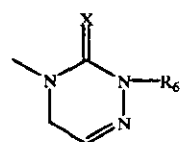
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h)

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i)

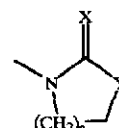
wherein  $X$ ,  $Y$ ,  $Y'$ ,  $Y''$ ,  $Z$ ,  $\text{R}_6$ ,  $n$ ,  $m$  and  $m'$  are defined as above.

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More preferred compounds are compounds of the formula III or an activated ester derivative thereof wherein  $\text{R}_3$  is loweralkyl and  $\text{R}_5$  is

35

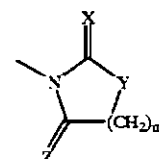
a)



45

wherein  $n$  is 1 or 2,  $X$  is O or S and  $Y$  is  $-\text{CH}_2-$  or  $-\text{NH}-$ ,

b)



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a)

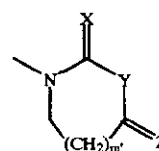
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wherein  $m$  is 1 or 2,  $X$  is O,  $Y$  is  $-\text{CH}_2-$  and  $Z$  is O,

b)

c)

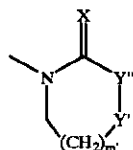
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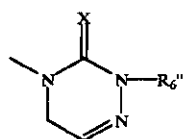
65

17

wherein  $m'$  is 1, X is O, Z is O and Y is  $\text{—NH—}$ ,

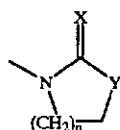


wherein  $m'$  is 1, X is O,  $Y''$  is  $\text{—NH—}$  and  $Y'$  is  $\text{—NH—}$  or

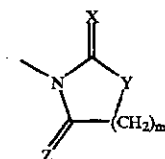


wherein X is O and  $R_{6''}$  is hydrogen.

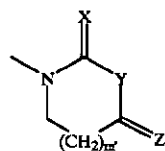
Even more preferred compounds are compounds of the formula III or an activated ester derivative thereof wherein  $R_3$  is isopropyl and  $R_5$  is



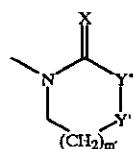
wherein n is 1 or 2, X is O or S and Y is  $\text{—CH}_2\text{—}$  or  $\text{—NH—}$ ,



wherein m is 1 or 2, X is O, Y is  $\text{—CH}_2\text{—}$  and Z is O,

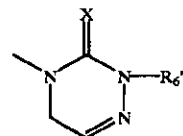


wherein  $m'$  is 1, X is O, Z is O and Y is  $\text{—NH—}$ ,



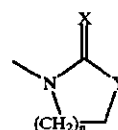
18

wherein  $m'$  is 1, X is O,  $Y''$  is  $\text{—NH—}$  and  $Y'$  is  $\text{—NH—}$  or

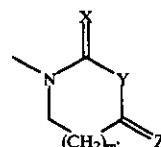


wherein X is O and  $R_{6''}$  is hydrogen.

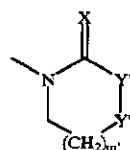
Most preferred compounds are compounds of the formula III or an activated ester derivative thereof wherein  $R_3$  is isopropyl and  $R_5$  is



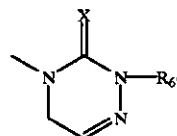
wherein n is 1 or 2, X is O or S and Y is  $\text{—CH}_2\text{—}$  or  $\text{—NH—}$ ,



wherein  $m'$  is 1, X is O, Z is O and Y is  $\text{—NH—}$ ,

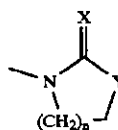


wherein  $m'$  is 1, X is O,  $Y''$  is  $\text{—NH—}$  and  $Y'$  is  $\text{—NH—}$  or



wherein X is O and  $R_{6''}$  is hydrogen.

Most highly preferred compounds are compounds of the formula III or an activated ester derivative thereof wherein  $R_3$  is isopropyl and  $R_5$  is



wherein n is 1 or 2, X is O or S and Y is  $\text{—CH}_2\text{—}$  or  $\text{—NH—}$ .

The compounds of the invention can comprise asymmetrically substituted carbon atoms. As a result, all stereoisomers of the compounds of the invention are meant to be included in the invention, including racemic mixtures, mixtures of diastereomers, as well as single diastereomers of the compounds of the invention.

The terms "S" and "R" configuration are as defined by the IUPAC 1974 Recommendations for Section E, Fundamental Stereochemistry, Pure Appl. Chem. (1976) 45, 13-30.

The term "N-protecting group" or "N-protected" as used herein refers to those groups intended to protect the N-terminus of an amino acid or peptide or to protect an amino group against undesirable reactions during synthetic procedures. Commonly used N-protecting groups are disclosed in Greene and Wuts, "Protective Groups In Organic Synthesis," (John Wiley & Sons, New York (1991)), which is hereby incorporated by reference. N-protecting groups comprise acyl groups such as formyl, acetyl, propionyl, pivaloyl, t-butylacetyl, 2-chloroacetyl, 2-bromoacetyl, trifluoroacetyl, trichloroacetyl, phthalyl, o-nitrophenoxycarbonyl,  $\alpha$ -chlorobutyryl, benzoyl, 4-chlorobenzoyl, 4-bromobenzoyl, 4-nitrobenzoyl, and the like; sulfonyl groups such as benzenesulfonyl, p-toluenesulfonyl and the like; carbamate forming groups such as benzyloxycarbonyl, p-chlorobenzyloxycarbonyl, p-methoxybenzyloxycarbonyl, p-nitrobenzyloxycarbonyl, 2-nitrobenzyloxycarbonyl, p-bromobenzyloxycarbonyl, 3,4-dimethoxybenzyloxycarbonyl, 3,5-dimethoxybenzyloxycarbonyl, 2,4-dimethoxybenzyloxycarbonyl, 4-methoxybenzyloxycarbonyl, 2-nitro-4,5-dimethoxybenzyloxycarbonyl, 3,4,5-trimethoxybenzyloxycarbonyl, 1-(p-biphenyl)-1-methylethoxycarbonyl,  $\alpha,\alpha$ -dimethyl-3,5-dimethoxybenzyloxycarbonyl, benzhydryloxycarbonyl, t-butyloxycarbonyl, diisopropylmethoxycarbonyl, isopropoxyloxycarbonyl, ethoxycarbonyl, methoxycarbonyl, allyloxycarbonyl, 2,2,2-trichloroethoxycarbonyl, phenoxycarbonyl, 4-nitrophenoxycarbonyl, fluorenyl-9-methoxycarbonyl, cyclopentylloxycarbonyl, adamantylloxycarbonyl, cyclohexylloxycarbonyl, phenylthiocarbonyl and the like; alkyl groups such as benzyl, triphenylmethyl, benzyloxymethyl and the like; and silyl groups such as trimethylsilyl and the like. Preferred N-protecting groups are formyl, acetyl, benzoyl, pivaloyl, t-butylacetyl, phenylsulfonyl, benzyl, t-butyloxycarbonyl (Boc) and benzyloxycarbonyl (Cbz).

The term "activated ester derivative" as used herein refers to acid halides such as acid chlorides, and activated esters including, but not limited to, formic and acetic acid derived anhydrides, anhydrides derived from alkoxycarbonyl halides such as isobutyloxycarbonylchloride and the like, N-hydroxysuccinimide derived esters, N-hydroxyphthalimide derived esters, N-hydroxybenzotriazole derived esters, N-hydroxy-5-norbornene-2,3-dicarboxamide derived esters, 2,4,5-trichlorophenol derived esters, thiophenol derived esters, propylphosphonic acid derived anhydrides and the like.

The term "alkanoyl" as used herein refers to  $R_{19}C(O)-$  wherein  $R_{19}$  is a loweralkyl group.

The term "alkenyl" as used herein refers to a divalent group derived from a straight or branched chain hydrocarbon containing from 2 to 10 carbon atoms and also containing at least one carbon-carbon double bond. Examples of alkenylene include  $-CH=CH-$ ,  $-CH_2CH=CH-$ ,  $-C(CH_3)=CH-$ ,  $-CH_2CH=CHCH_2-$ , and the like.

The terms "alkoxy" and "thioalkoxy" as used herein refer to  $R_{15}O-$  and  $R_{15}S-$ , respectively, wherein  $R_{15}$  is a loweralkyl group.

The term "alkoxyalkoxy" as used herein refers to  $R_{22}O-R_{23}O-$  wherein  $R_{22}$  is loweralkyl as defined above and  $R_{23}$  is an alkylenyl group. Representative examples of alkoxyalkoxy groups include methoxymethoxy, ethoxymethoxy, t-butoxymethoxy and the like.

The term "alkoxyalkyl" as used herein refers to an alkoxy group appended to a loweralkyl radical.

The term "alkoxycarbonyl" as used herein refers to  $R_{20}C(O)-$  wherein  $R_{20}$  is an alkoxy group.

The term "alkylamino" as used herein refers to  $-NHR_{16}$  wherein  $R_{16}$  is a loweralkyl group.

The term "alkylaminocarbonyl" as used herein refers to  $R_{21}C(O)-$  wherein  $R_{21}$  is an alkylamino group.

The term "alkylenyl" as used herein refers to a divalent group derived from a straight or branched chain saturated hydrocarbon having from 1 to 10 carbon atoms by the removal of two hydrogen atoms, for example methylene ( $-CH_2-$ ), 1,2-ethylene ( $-CH_2CH_2-$ ), 1,1-ethylene ( $=CH-CH_3$ ), 1,3-propylene ( $-CH_2CH_2CH_2-$ ), 2,2-dimethylpropylene ( $-CH_2C(CH_3)_2CH_2-$ ), and the like.

The term "aminocarbonyl" as used herein refers to  $-C(O)NH_2$ .

The term "aryl" as used herein refers to a mono- or bicyclic carbocyclic ring system comprising 6 to 12 carbon atoms and having one or two aromatic rings including, but not limited to, phenyl, naphthyl, tetrahydronaphthyl, indanyl, indenyl and the like. Aryl groups can be unsubstituted or substituted with one, two or three substituents independently selected from loweralkyl, halo, haloalkyl, haloalkoxy, alkoxy, alkoxycarbonyl, thioalkoxy, amino, alkylamino, dialkylamino, aminocarbonyl, mercapto, nitro, carboxaldehyde, carboxy and hydroxy.

The term "arylalkyl" as used herein refers to an aryl group as previously defined, appended to a loweralkyl radical, for example, benzyl and the like.

The term "cycloalkyl" as used herein refers to an aliphatic ring system having 3 to 8 carbon atoms including, but not limited to, cyclopropyl, cyclopentyl, cyclohexyl, and the like.

The term "cycloalkylalkyl" as used herein refers to a cycloalkyl group appended to a loweralkyl radical, including but not limited to cyclohexylmethyl.

The term "dialkylamino" as used herein refers to  $-NR_{16}R_{17}$  wherein  $R_{16}$  and  $R_{17}$  are independently selected from loweralkyl groups.

The term "dialkylaminocarbonyl" as used herein refers to  $R_{22}C(O)-$  wherein  $R_{22}$  is a dialkylamino group.

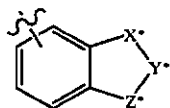
The term "halo" or "halogen" as used herein refers to  $-Cl$ ,  $-Br$ ,  $-I$  or  $-F$ .

The term "haloalkoxy" as used herein refers to  $R_{18}O-$  wherein  $R_{18}$  is a haloalkyl group.

The term "haloalkyl" as used herein refers to a loweralkyl group in which one or more hydrogen atoms are replaced by halogen, for example, chloromethyl, chloroethyl, trifluoromethyl and the like.

The term "heterocyclic ring" or "heterocyclic" or "heterocycle" as used herein refers to any 3- or 4-membered ring containing a heteroatom selected from oxygen, nitrogen and sulfur; or a 5-, 6- or 7-membered ring containing one, two or three heteroatoms independently selected from the group consisting of nitrogen, oxygen and sulfur or a 5-membered ring containing 4 nitrogen atoms; and includes a 5-, 6- or 7-membered ring containing one, two or three nitrogen atoms; one oxygen atom; one sulfur atom; one nitrogen and one sulfur atom; one nitrogen and one oxygen atom; two oxygen atoms in non-adjacent positions; one oxygen and one sulfur atom in non-adjacent positions; two sulfur atoms

in non-adjacent positions; two sulfur atoms in adjacent positions and one nitrogen atom; two adjacent nitrogen atoms and one sulfur atom; two non-adjacent nitrogen atoms and one sulfur atom; two non-adjacent nitrogen atoms and one oxygen atom. The 5-membered ring has 0-2 double bonds and the 6- and 7-membered rings have 0-3 double bonds. The nitrogen heteroatoms can be optionally quaternized. The term "heterocyclic" also includes bicyclic groups in which any of the above heterocyclic rings is fused to a benzene ring or a cyclohexane ring or another heterocyclic ring (for example, indolyl, quinolyl, isoquinolyl, tetrahydroquinolyl, benzofuryl, bistetrahydrofuryl or benzothienyl and the like). Heterocyclics include: azetidyl, pyrrolyl, pyrrolinyl, pyrrolidinyl, pyrazolyl, pyrazolinyl, pyrazolidinyl, imidazolyl, imidazolinyl, imidazolidinyl, pyridyl, piperidyl, homopiperidyl, pyrazinyl, piperazinyl, pyrimidinyl, pyridazinyl, oxazolyl, oxazolidinyl, isoxazolyl, isoxazolidinyl, morpholinyl, thiazolyl, thiazolidinyl, isothiazolyl, isothiazolidinyl, indolyl, quinolinyl, isoquinolinyl, benzimidazolyl, benzothiazolyl, benzoxazolyl, furyl, thienyl, tetrahydrofuryl, tetrahydrothienyl, thiazolidinyl, isothiazolyl, triazolyl, isoxazolyl, oxadiazolyl, thiadiazolyl, pyrrolyl, pyrimidyl and benzothienyl. Heterocyclics also include compounds of the formula



wherein  $X^*$  is  $-\text{CH}_2-$ ,  $-\text{NH}-$  or  $-\text{O}-$ ,  $Y^*$  is  $-\text{C}(\text{O})-$  or  $[-\text{C}(\text{R})_2-]$ , wherein  $\text{R}^*$  is hydrogen or  $\text{C}_1-\text{C}_4$ -alkyl and  $v$  is 1, 2 or 3 and  $Z^*$  is  $-\text{O}-$  or  $-\text{NH}-$ ; such as 1,3-benzodioxolyl, 1,4-benzodioxanyl and the like.

Heterocyclics can be unsubstituted or substituted with one, two, three or four substituents independently selected from the group consisting of hydroxy, halo, oxo ( $=\text{O}$ ), alkylimino ( $\text{R}^*\text{N}=\text{}$  wherein  $\text{R}^*$  is a loweralkyl group), amino, alkylamino, dialkylamino, alkoxy, alkoxyalkoxy, haloalkyl, cycloalkyl, aryl, arylalkyl,  $-\text{COOH}$ ,  $-\text{SO}_3\text{H}$  and loweralkyl. In addition, nitrogen containing heterocycles can be N-protected.

The term "hydroxyalkyl" as used herein refers to a loweralkyl radical to which is appended an hydroxy group.

The term "loweralkyl" as used herein refers to a straight or branched chain alkyl radical containing from 1 to 6 carbon atoms including, but not limited to, methyl, ethyl, n-propyl, iso-propyl, n-butyl, iso-butyl, sec-butyl, t-butyl, n-pentyl, 1-methylbutyl, 2,2-dimethylbutyl, 2-methylpentyl, 2,2-dimethylpropyl, n-hexyl and the like.

The term "thioalkoxyalkyl" as used herein refers to a thioalkoxy group appended to a loweralkyl radical.

The compound of the invention of formula I can be prepared as shown in Schemes I-IV. As outlined in Scheme I, intermediates 1 and 2 (wherein  $\text{P}_1$  is an N-protecting group, for example, t-butyloxycarbonyl) can be coupled using standard peptide coupling reagents and methods, for example, reaction of 1 and 2 in the presence of 1-hydroxybenzotriazole and a diimide such as dicyclohexylcarbodiimide (DCC) or N-ethyl-N'-dimethylaminopropyl carbodiimide (EDAC) and the like to give 3. Alternatively, a salt or an activated ester derivative of intermediate 1 (for example, the acid chloride, prepared by reaction of the carboxylic acid with thionyl chloride) can be reacted with intermediate 2.

Compound 3 can be N-deprotected to give compound 4. N-deprotection of 3 wherein  $\text{P}_1$  (especially wherein  $\text{P}_1$  is t-butyloxycarbonyl) is an acid labile N-protecting group can lead to formation of impurities resulting from migration of the acyl group  $\text{R}_4-\text{L}_1-\text{C}(\text{O})-$  from the amino group to the hydroxyl group. The formation of this impurity can be minimized or eliminated by performing the deprotection using (1) trifluoroacetic acid in methylene chloride or (2) concentrated hydrochloric acid (from about 2 molar equivalents to about 6 molar equivalents, preferably, from about 2 molar equivalents to about 4 molar equivalents) in acetic acid at about room temperature. A preferred N-deprotection method comprises reacting compound 3 (wherein  $\text{P}_1$  is t-butyloxycarbonyl) with concentrated hydrochloric acid (from about 10 to about 20 molar equivalents) in acetonitrile (from about 2 to about 10 liters/kilogram of compound 3) at a temperature of from about  $0^\circ\text{C}$ . to about  $5^\circ\text{C}$ . Compound 5 or an activated ester derivative thereof can then be coupled to compound 4 to give the compound of the formula I (i.e., 6).

An alternative process is shown in Scheme IIA. Compound 7 (wherein  $\text{P}_2$  is an N-protecting group, for example, benzyloxycarbonyl) can be coupled to compound 5, or a salt or an activated ester derivative thereof (for example, the acid chloride, prepared by reaction of the carboxylic acid with thionyl chloride), to give 8. Compound 8 can be N-deprotected to give 9. Compound 9 can be coupled with compound 1, or an activated ester derivative thereof, to give the compound of the formula I (i.e., 6).

Scheme IIB shows a preferred alternative process wherein the N-protected amino alcohol 7a ( $\text{P}_3$  is hydrogen and  $\text{P}_4$  is an N-protecting group or both  $\text{P}_3$  and  $\text{P}_4$  are N-protecting groups, preferably,  $\text{P}_3$  and  $\text{P}_4$  are benzyl) is reacted with from about 1 to about 1.3 molar equivalents of carboxylic acid 5 or a salt or an activated ester derivative thereof (for example, the acid chloride, prepared by reaction of the carboxylic acid with thionyl chloride in ethyl acetate or THF or oxalyl chloride in toluene/DMF and the like) in the presence of from about 1.0 to about 4.0 molar equivalents (preferably, from about 2.5 to about 3.5 molar equivalents) of an organic amine base (for example, imidazole, 1-methylimidazole, 2-methylimidazole, 2-isopropylimidazole, 4-methylimidazole, 4-nitroimidazole, pyridine, N,N-dimethylaminopyridine, 1,2,4-triazole, pyrrole, 3-methylpyrrole, triethylamine or N-methylmorpholine and the like) or from about 1 to about 20 molar equivalents of an inorganic base (for example, sodium carbonate or sodium bicarbonate and the like) in an inert solvent (for example, ethyl acetate, dimethylformamide, THF, acetonitrile, isopropyl acetate or toluene and the like) at a temperature of from about  $0^\circ\text{C}$ . to about  $50^\circ\text{C}$ . to provide compound 8a. Preferred organic amine bases include imidazole and 1,2,4-triazole.

N-Debenzylation of 8a (for example, using hydrogen and a hydrogenation catalyst or Pd/C and a formic acid salt (for example, ammonium formate and the like) or Pd/C and formic acid and the like) provides 9. Compound 9 can be advantageously purified by crystallization with an organic carboxylic acid (for example, S-pyrogutamic acid, succinic acid or fumaric acid and the like). A preferred organic carboxylic acid is S-pyrogutamic acid.

Compound 9 (or an organic carboxylic acid salt of compound 9) is reacted with from about 1.0 to about 1.3 molar equivalents of carboxylic acid 1 or a salt or an activated ester derivative thereof (for example, the acid chloride) in the presence of (1) from about 4 to about 8 molar equivalents (preferably, from about 5 to about 7 molar equivalents) of an

inorganic base (for example,  $\text{NaHCO}_3$ ,  $\text{Na}_2\text{CO}_3$ ,  $\text{KHCO}_3$ ,  $\text{K}_2\text{CO}_3$ ,  $\text{NaOH}$  or  $\text{KOH}$  and the like) in an inert solvent (for example, 1:1 ethyl acetate/water or isopropyl acetate/water or toluene/water or THF/water and the like) at about room temperature or (2) from about 1.0 to about 4.0 molar equivalents (preferably, from about 2.5 to about 3.5 molar equivalents) of an organic amine base (for example, imidazole, 1-methylimidazole, 2-methylimidazole, 2-isopropylimidazole, 4-methylimidazole, 4-nitroimidazole, pyridine, N,N-dimethylaminopyridine, 1,2,4-triazole, pyrrole, 3-methylpyrrole, triethylamine or N-methylmorpholine and the like) in an inert solvent (for example, ethyl acetate, isopropyl acetate, THF, toluene, acetonitrile, dimethylformamide and the like) at a temperature of from about 0° C. to about 50° C. to provide compound 6.

In a preferred embodiment of the invention (shown in Scheme III), intermediate compound 5 has the formula of compound 10 ( $R_3$  is as defined for the compound of formula I and is preferably isopropyl). Compound 10 can be prepared in variety ways as shown in Scheme III. In one method, amino acid 11 (either as the free carboxylic acid or as the carboxylic acid ester (i.e., loweralkyl ester)) is converted to carbamate 12 ( $R''$  is phenyl, loweralkyl-substituted phenyl, halo-substituted phenyl, nitro-substituted phenyl, trifluoromethylphenyl and the like) by reaction with the appropriate chloroformate ester and the like. Reaction of carbamate 12 with from about 1.0 to about 1.5 molar equivalents of amine 13 or an acid addition salt thereof ( $Q$  is a leaving group, for example, Cl, Br or I, or a sulfonate such as methanesulfonate, triflate, p-toluenesulfonate, benzenesulfonate and the like) in an inert solvent (for example, THF, methyl t-butyl ether, dimethoxyethane, THF/water, dimethoxyethane/water, toluene or heptane and the like) in the presence of a base (for example, LiOH, NaOH,  $\text{Li}_2\text{CO}_3$ ,  $\text{Na}_2\text{CO}_3$ , lithium phenoxide or sodium phenoxide and the like) in the amount of from about 2.5 to about 3.5 molar equivalents provides urea 14. Urea 14 can be isolated and reacted further or can be converted in situ to cyclic urea 10 by reaction in an inert solvent (for example, THF, dimethoxyethane, methyl t-butyl ether, toluene or heptane and the like) with a base (for example, potassium t-butoxide, sodium hydride, potassium hydride or dimethylaminopyridine and the like) in the amount of from about 2.0 to about 5.0 molar equivalents. If the amino acid ester of 11 was the starting material, the ester is then hydrolyzed to provide the carboxylic acid 10.

Alternatively, amino acid 11 (either as the free carboxylic acid or as the carboxylic acid ester) is converted to urea 14 by reaction with from about 1.0 to about 1.5 molar equivalents of isocyanate 15 ( $Q$  is a leaving group, for example, Cl, Br or I, or a sulfonate such as methanesulfonate, triflate, p-toluenesulfonate, benzenesulfonate and the like) in an inert solvent (for example, THF, dimethoxyethane, methyl t-butyl ether, toluene or heptane and the like) in the presence of a base.

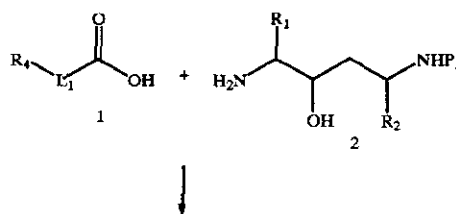
In yet another alternative, amino acid 11 (either as the free carboxylic acid or as the carboxylic acid ester) is converted to diamine 16 by reaction with from about 1.0 to about 1.5 molar equivalents of amine 13 or an N-protected derivative thereof ( $Q$  is a leaving group, for example, Cl, Br or I, or a sulfonate such as methanesulfonate, triflate, p-toluenesulfonate, benzenesulfonate and the like) in an inert solvent (for example, THF, dimethoxyethane, methyl t-butyl ether, toluene or heptane and the like) in the presence of a base (for example, NaH or potassium t-butoxide and the like) in the amount of from about 1.0 to about 4.0 molar

equivalents. N-deprotection is required if the N-protected derivative of 13 was used. Reaction of diamine 16 with a carbonyl equivalent 17 (for example, phosgene, carbonyl-diimidazole and the like wherein  $Q'$  and  $Q''$  are leaving groups such as Cl, Br, I, —O-loweralkyl, —O-aryl or imidazolyl and the like) in an inert solvent (for example, THF, dimethoxyethane, methyl t-butyl ether, toluene or heptane and the like) in the presence of a base (for example, NaH or potassium t-butoxide and the like and the like) in the amount of from about 2.0 to about 4.0 molar equivalents provides cyclic urea 10. If the amino acid ester of 11 was the starting material, the ester is then hydrolyzed to provide the carboxylic acid 10.

In yet another alternative shown in Scheme IV, compound 11 (either as the free carboxylic acid or as the carboxylic acid ester (i.e., loweralkyl ester)) is reacted with acrylonitrile according to J. Am. Chem. Soc. 72, 2599 (1950) to give aminonitrile 18. Alternatively, acrylonitrile can be replaced with 3-chloropropionitrile to provide 18. N-protection of aminonitrile 18 as the carbamate ( $R_{30}$  is loweralkyl or phenyl or haloalkyl (for example, 2-chloroethyl, 2-bromoethyl and the like) and the like) using standard conditions (for example, reaction of the amine with the appropriate chloroformate ester ( $\text{ClC(O)OR}_{30}$  wherein  $R_{30}$  is loweralkyl, phenyl, haloalkyl and the like) neat or in an inert solvent (for example, water, THF and the like) in the presence of an inorganic base (for example, NaOH, KOH,  $\text{K}_2\text{CO}_3$  and the like) or an organic base (for example, an alkylamine or dialkylamine and the like) and the like) provides compound 19. Hydrogenation of 19 in the presence of a catalyst (for example, Ni—Al alloy (basic) or Raney nickel (neutral or basic) or  $\text{PtO}_2$  (acidic) and the like) in an inert solvent (for example, water or methanol or ethanol or THF and the like) provides cyclic urea 10. In a preferred process, compound 19 is hydrogenated in the presence of a Ni—Al alloy catalyst in an inert solvent (for example, water or methanol or ethanol or THF and the like) in the presence of a base (for example, KOH or NaOH or LiOH or an organic amine base and the like) in the amount of from about 1.1 to about 5 molar equivalents to provide cyclic urea 10. If the amino acid ester of 11 was the starting material, the ester is then hydrolyzed to provide the carboxylic acid 10.

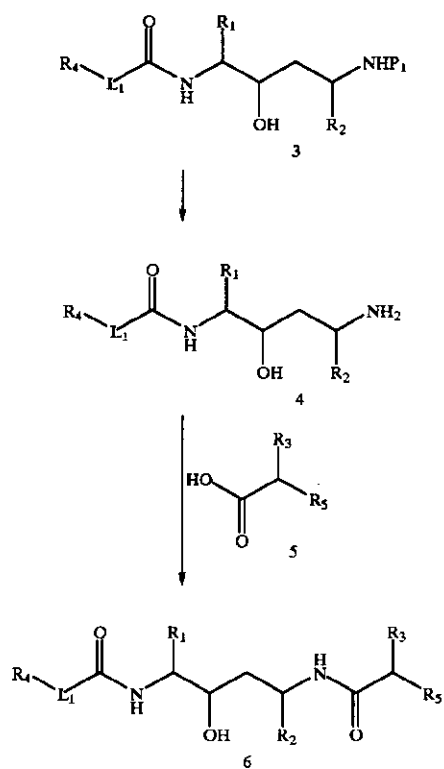
Alternatively, hydrogenation of compound 18 (as described above for compound 19) provides diamine 16 which can be converted to compound 10 as previously described. If the amino acid ester of 11 was the starting material, the ester is then hydrolyzed to provide the carboxylic acid 10.

Scheme I

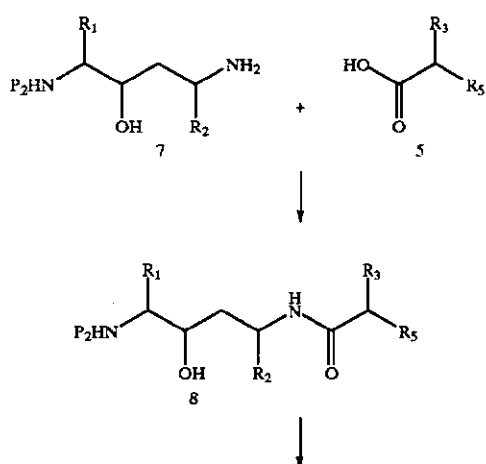


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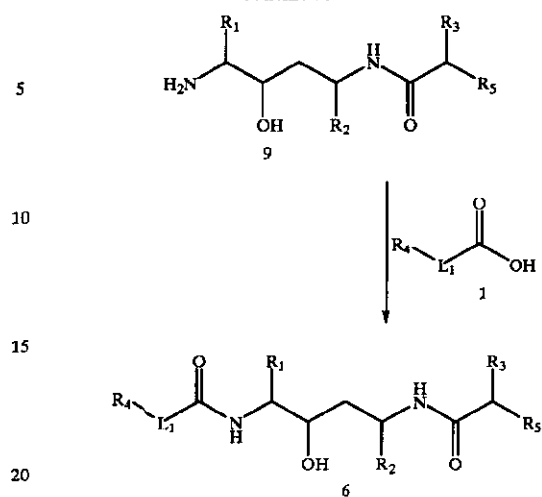


Scheme IIA

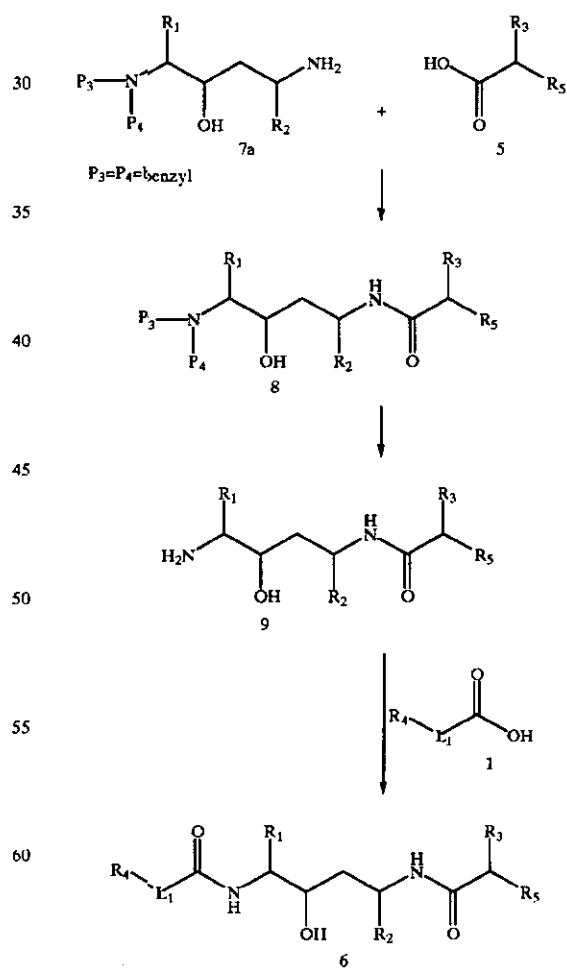


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Scheme IIB



The reaction scheme illustrates the synthesis of cyclic peptide derivatives 10 and 16 from starting material 11.

**Starting Material 11:** A substituted amino acid derivative with a primary amine ( $\text{H}_2\text{N}$ ), a side chain ( $\text{R}_3$ ), and a carboxylic acid group ( $\text{OC}_2\text{H}$ ).

**Reaction Pathways:**

- Pathway 1 (Top):** Reaction of 11 with  $\text{R}'\text{O}-(\text{O})\text{C}-\text{HN}-$  yields intermediate 12, where the carboxylic acid is converted to an ester.
- Pathway 2 (Middle):** Reaction of 11 with isocyanate 15 ( $\text{O}=\text{C}=\text{N}-\text{CH}_2\text{CH}_2\text{CH}_2\text{Q}$ ) yields intermediate 14, a linear peptide derivative.
- Pathway 3 (Bottom):** Reaction of 11 with a long-chain amine 13 ( $\text{H}_2\text{N}-\text{CH}_2\text{CH}_2\text{CH}_2\text{CH}_2\text{Q}$ ) yields intermediate 16, a linear peptide derivative.

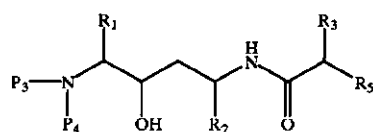
**Final Cyclization:** Intermediate 14 is cyclized using reagent 17 ( $\text{Q}'-\text{C}(=\text{O})-\text{Q}''$ ) to form the cyclic peptide derivative 10.

The reaction scheme illustrates the synthesis of compound 10 from compound 11. Compound 11,  $\text{H}_2\text{N}-\text{CH}(\text{R}_3)-\text{CO}_2\text{H}$ , reacts with acrylonitrile ( $\text{CH}_2=\text{CH}-\text{CN}$ ) to form compound 18,  $\text{NC}-\text{CH}_2-\text{CH}_2-\text{NH}-\text{CH}(\text{R}_3)-\text{CO}_2\text{H}$ . Compound 18 then reacts with an ester  $\text{OR}_{30}-\text{C}(=\text{O})-\text{NH}-$  to form compound 19,  $\text{NC}-\text{CH}_2-\text{CH}_2-\text{N}(\text{CH}(\text{R}_3)-\text{CO}_2\text{H})-\text{C}(=\text{O})-\text{OR}_{30}$ . Compound 19 is then converted to compound 16,  $\text{H}_2\text{N}-\text{CH}_2-\text{CH}_2-\text{NH}-\text{CH}(\text{R}_3)-\text{CO}_2\text{H}$ , which is a diaminocarboxylic acid. Finally, compound 16 undergoes cyclization to form compound 10, a cyclic diurea derivative,  $\text{HN}(\text{C}(=\text{O})\text{NH}-\text{CH}(\text{R}_3)-\text{CO}_2\text{H})_2$ .



## 29

Key intermediates for the preparation of the compounds of the invention include compounds of the formula III as described above and compounds of the formula IV:



IV 5

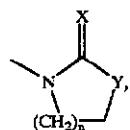
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or a salt thereof,

wherein  $P_3$  and  $P_4$  are independently selected from hydrogen or an N-protecting group;

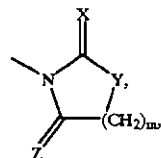
$R_1$  and  $R_2$  are independently selected from the group consisting of loweralkyl, cycloalkylalkyl and arylalkyl;

$R_3$  is loweralkyl, hydroxyalkyl or cycloalkylalkyl; and  $R_5$  is



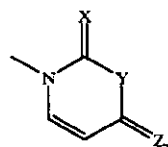
a)

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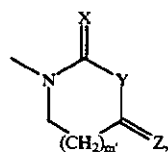
b)

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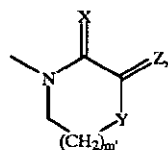
c)

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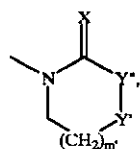
d)

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e)

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f)

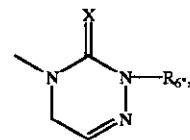
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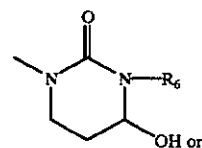
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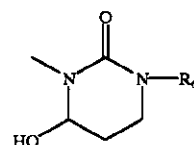
g)



h)



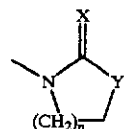
i)



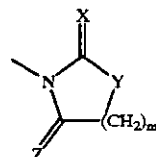
wherein  $n$  is 1, 2 or 3,  $m$  is 1, 2 or 3,  $m'$  is 1 or 2,  $X$  is O, S or NH,  $Y$  is  $-\text{CH}_2-$ ,  $-\text{O}-$ ,  $-\text{S}-$  or  $-\text{N}(\text{R}_6)-$  wherein  $\text{R}_6$  is hydrogen, loweralkyl, cycloalkyl, cycloalkylalkyl, aryl or arylalkyl,  $Y'$  is  $-\text{CH}_2-$  or  $-\text{N}(\text{R}_6)-$  wherein  $\text{R}_6$  is hydrogen, loweralkyl, cycloalkyl, cycloalkylalkyl, aryl or arylalkyl,  $Y''$  is  $-\text{N}(\text{R}_6)-$  wherein  $\text{R}_6$  is hydrogen, loweralkyl, cycloalkyl, cycloalkylalkyl, aryl or arylalkyl, and  $Z$  is O, S or NH.

Preferred compounds are compounds of the formula IV wherein  $P_3$  and  $P_4$  are hydrogen or benzyl,  $R_1$  and  $R_2$  are arylalkyl,  $R_3$  is loweralkyl and  $R_5$  is

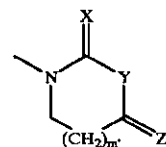
a)



b)



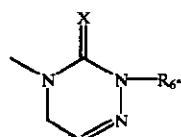
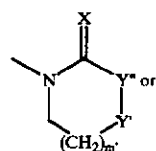
c)



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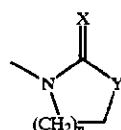
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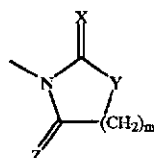


wherein X, Y, Y', Y'', Z, R<sub>6</sub>, n, m and m' are defined as above.

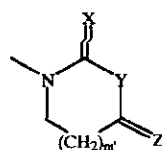
More preferred compounds are compounds of the formula IV wherein R<sub>1</sub> and R<sub>2</sub> are benzyl or R<sub>1</sub> is benzyl and R<sub>2</sub> is loweralkyl, R<sub>3</sub> is loweralkyl and R<sub>5</sub> is



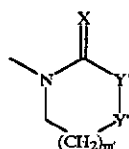
wherein n is 1 or 2, X is O or S and Y is —CH<sub>2</sub>— or —NH—,



wherein n is 1 or 2, X is O, Y is —CH<sub>2</sub>— and Z is O,



wherein m' is 1, X is O, Z is O and Y is —NH—,

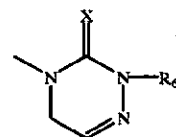


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wherein m' is 1, X is O, Y'' is —NH— and Y' is —NH— or

d)

5



e)

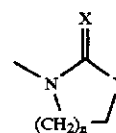
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wherein X is O and R<sub>6</sub> is hydrogen.

Even more preferred compounds are compounds of the formula IV wherein R<sub>1</sub> and R<sub>2</sub> are benzyl or R<sub>1</sub> is benzyl and R<sub>2</sub> is isopropyl, R<sub>3</sub> is loweralkyl and R<sub>5</sub> is

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a)



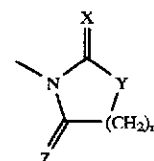
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wherein n is 1 or 2, X is O or S and Y is —CH<sub>2</sub>— or —NH—,

a)

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b)



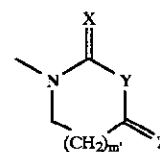
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wherein m is 1 or 2, X is O, Y is —CH<sub>2</sub>— and Z is O,

b)

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c)



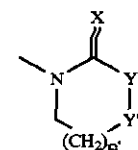
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wherein m' is 1, X is O, Z is O and Y is —NH—,

c)

45

d)



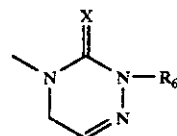
50

wherein m' is 1, X is O, Y'' is —NH— and Y' is —NH— or

d)

60

e)



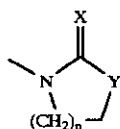
65

wherein X is O and R<sub>6</sub> is hydrogen.

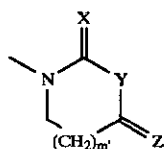
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## 33

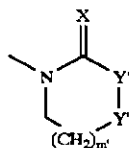
Most preferred compounds are compounds of the formula IV wherein  $R_1$  and  $R_2$  are benzyl or  $R_1$  is benzyl and  $R_2$  is isopropyl,  $R_3$  is loweralkyl and  $R_5$  is



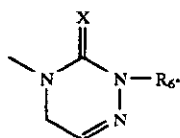
wherein  $n$  is 1 or 2,  $X$  is O or S and  $Y$  is  $-\text{CH}_2-$  or  $-\text{NH}-$ ,



wherein  $m'$  is 1,  $X$  is O,  $Z$  is O and  $Y$  is  $-\text{NH}-$ ,

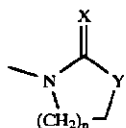


wherein  $m'$  is 1,  $X$  is O,  $Y''$  is  $-\text{NH}-$  and  $Y'$  is  $-\text{NH}-$  or



wherein  $X$  is O and  $R_6$  is hydrogen.

Most highly preferred compounds are compounds of the formula IV wherein  $R_1$  and  $R_2$  are benzyl or  $R_1$  is benzyl and  $R_2$  is isopropyl,  $R_3$  is loweralkyl and  $R_5$  is



wherein  $n$  is 1 or 2,  $X$  is O or S and  $Y$  is  $-\text{CH}_2-$  or  $-\text{NH}-$ .

Preferred salts of the compound of formula IV are organic carboxylic acid salts, especially the (S)-pyroglutamic acid salt.

The following examples will serve to further illustrate the preparation of the novel compounds of the invention.

## 34

## EXAMPLE 1

(2S,3S,5S)-2-(2,6-dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(1-imidazolidin-2-onyl)-3-methylbutanoyl]amino-1,6-diphenylhexane

## a) 5 A. N,N-Dibenzyl-(L)-phenylalanine Benzyl Ester

A solution containing L-phenylalanine (161 kg, 975 moles), potassium carbonate (445 kg, 3220 moles), water (675 L), ethanol (340 L), and benzyl chloride (415 kg, 3275 moles) was heated to  $90 \pm 15^\circ \text{C}$ . for 10–24 hours. The reaction mixture was cooled to  $60^\circ \text{C}$ . and the lower aqueous layer was removed. Heptane (850 L) and water (385 L) were added to the organics, stirred, and the layers separated. The organics were then washed once with a water/methanol mixture (150 L/150 L). The organics were then stripped to give the desired product as an oil, which was carried on in the next step without purification.

## b)

IR (neat) 3090, 3050, 3030, 1730, 1495, 1450, 1160  $\text{cm}^{-1}$ ,  $^1\text{H}$  NMR (300 MHz,  $\text{CDCl}_3$ )  $\delta$  7.5–7.0 (m, 20H), 5.3 (d, 1H,  $J=13.5$  Hz), 5.2 (d, 1H,  $J=13.5$  Hz), 4.0 (d, 2H,  $J=15$  Hz), 3.8 (t, 2H,  $J=8.4$  Hz), 3.6 (d, 2H,  $J=15$  Hz), 3.2 (dd, 1H,  $J=8.4$ , 14.4 Hz),  $^{13}\text{C}$  NMR (300 MHz,  $\text{CDCl}_3$ )  $\delta$  172.0, 139.2, 138.0, 135.98, 128.1, 128.1, 126.9, 126.2, 66.0, 62.3, 54.3, 35.6.  $[\alpha]_D -79^\circ$  ( $c=0.9$ , DMF).

## B. (4S)-4-(N,N-Dibenzylamino)-3-oxo-5-phenylpentanonitrile

A solution containing the product of Example 1A (i.e., benzyl ester) (approx. 0.45 moles) in 520 mL tetrahydrofuran and 420 mL acetonitrile was cooled to  $-40^\circ \text{C}$ . under nitrogen. A second solution containing sodium amide (48.7 g, 1.25 moles) in 850 mL tetrahydrofuran was cooled to  $-40^\circ \text{C}$ . To the sodium amide solution was slowly added 75 mL acetonitrile and the resulting solution was stirred at  $-40^\circ \text{C}$ . for more than 15 minutes. The sodium amide/acetonitrile solution was then slowly added to the benzyl ester solution at  $-40^\circ \text{C}$ . The combined solution was stirred at  $-40^\circ \text{C}$ . for one hour and then quenched with 1150 mL of a 25% (w/v) citric acid solution. The resulting slurry was warmed to ambient temperature and the organics separated. The organics were then washed with 350 mL of a 25% (w/v) sodium chloride solution, then diluted with 900 mL heptane. The organics were then washed three times with 900 mL of a 5% (w/v) sodium chloride solution, two times with 900 mL of a 10% methanolic water solution, one time with 900 mL of a 15% methanolic water solution, and then one time with 900 mL of a 20% methanolic water solution. The organics were stripped and the resulting material dissolved into 700 mL of hot ethanol. Upon cooling to room temperature, the desired product precipitated. Filtration gave the desired product in 59% yield from the L-phenylalanine. IR ( $\text{CHCl}_3$ ) 3090, 3050, 3030, 2250, 1735, 1600, 1490, 1450, 1370, 1300, 1215  $\text{cm}^{-1}$ ,  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  7.3 (m, 15H), 3.9 (d, 1H,  $J=19.5$  Hz), 3.8 (d, 2H,  $J=13.5$  Hz), 3.6 (d, 2H,  $J=13.5$  Hz), 3.5 (dd, 1H,  $J=4.0$ , 10.5 Hz), 3.2 (dd, 1H,  $J=10.5$ , 13.5 Hz), 3.0 (dd, 1H,  $J=4.0$ , 13.5 Hz), 3.0 (d, 1H,  $J=19.5$  Hz),  $^{13}\text{C}$  NMR (300 MHz,  $\text{CDCl}_3$ )  $\delta$  197.0, 138.4, 138.0, 129.5, 129.0, 128.8, 128.6, 127.8, 126.4, 68.6, 54.8, 30.0, 28.4.  $[\alpha]_D -95^\circ$  ( $c=0.5$ , DMF).

## d)

C. (5S)-2-Amino-5-(N,N-dibenzylamino)-4-oxo-1,6-diphenylhex-2-ene

To a  $-5^\circ \text{C}$ . solution of the nitrile product of Example 1B (90 Kg, 244 moles) in tetrahydrofuran (288 L), was added benzylmagnesium chloride (378 Kg, 2M in THF, 708 moles). The solution was warmed to ambient temperature and stirred until analysis showed no starting material. The solution was then recooled to  $5^\circ \text{C}$ . and slowly transferred to a solution of 15% citric acid (465 kg). Additional tetrahydrofuran (85 L) was used to rinse out the original container

and the rinse was added to the citric acid quench container. The organics were separated and washed with 10% sodium chloride (235 kg) and stripped to a solid. The product was stripped again from ethanol (289 L) and then dissolved in 80° C. ethanol (581 L). After cooling to room temperature and stirring for 12 hours, the resulting product was filtered and dried in a vacuum oven at 30° C. to give approx. 95 kg of the desired product. mp 101–102° C., IR (CDCl<sub>3</sub>) 3630, 3500, 3110, 3060, 3030, 2230, 1620, 1595, 1520, 1495, 1450 cm<sup>-1</sup>, <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ 9.8 (br s, 1H), 7.2 (m, 20H), 5.1 (s, 1H), 4.9 (br s, 1H), 3.8 (d, 2H, J=14.7 Hz), 3.6 (d, 2H, J=14.7 Hz), 3.5 (m, 3H), 3.2 (dd, 1H, J=7.5, 14.4 Hz), 3.0 (dd, 1H, J=6.6, 14.4 Hz), <sup>13</sup>C NMR (CDCl<sub>3</sub>) δ 198.0, 162.8, 140.2, 140.1, 136.0, 129.5, 129.3, 128.9, 128.7, 128.1, 128.0, 127.3, 126.7, 125.6, 96.9, 66.5, 54.3, 42.3, 32.4. [α]<sub>D</sub><sup>25</sup> -147° (c=0.5, DMF).  
D. (2S,3S,5S)-5-Amino-2-(N,N-dibenzylamino)-3-hydroxy-1,6-diphenylhexane

i) A suspension of sodium borohydride (6.6 kg, 175 moles) in tetrahydrofuran (157 L) was cooled to less than -10±5° C. Methanesulfonic acid (41.6 kg, 433 moles) was slowly added and the temperature kept below 0° C. during the addition. Once the addition was complete, a solution of water (6 L, 333 moles), the product of Example 1C (20 kg, 43 moles) and tetrahydrofuran (61 L) was slowly added while maintaining the temperature below 0° C. during the addition. The mixture was stirred for not less than 19 h at 0±5° C.

ii) To a separate flask was added sodium borohydride (6.6 kg, 175 moles) and tetrahydrofuran (157 L). After cooling to -5±5° C., trifluoroacetic acid (24.8 kg, 218 moles) was added while maintaining the temperature below 15° C. The solution was stirred 30 min at 15±5° C. and was then added to the reaction mixture resulting from step i, keeping the temperature at less than 20° C. This was stirred at 20±5° C. until reaction was complete. The solution was then cooled to 10±5° C. and quenched with 3N NaOH (195 kg). After agitating with tert-butyl methyl ether (162 L), the organic layer was separated and washed one time with 0.5N NaOH (200 kg), one time with 20% w/v aqueous ammonium chloride (195 kg), and two times with 25% aqueous sodium chloride (160 kg). The organics were stripped to give the desired product as an oil which was used directly in the next step.

IR (CHCl<sub>3</sub>) 3510, 3400, 3110, 3060, 3030, 1630, <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ 7.2 (m, 20H), 4.1 (d, 2H, J=13.5 Hz), 3.65 (m, 1H), 3.5 (d, 2H, J=13.5 Hz), 3.1 (m, 2H), 2.8 (m, 1H), 2.65 (m, 3H), 1.55 (m, 1H), 1.30 (m, 1H), <sup>13</sup>C NMR (300 MHz, CDCl<sub>3</sub>) δ 140.8, 140.1, 138.2, 129.4, 129.4, 128.6, 128.4, 128.3, 128.2, 126.8, 126.3, 125.7, 72.0, 63.6, 54.9, 53.3, 46.2, 40.1, 30.2.

E. (2S,3S,5S)-2-(N,N-Dibenzylamino)-3-hydroxy-5-(t-butyloxycarbonylamino)-1,6-diphenylhexane

To a solution of the [2S,3S,5S]-2-N,N-dibenzylamino-3-hydroxy-5-amino-1,6-diphenylhexane (approx. 105 kg, 226 moles) in MTBE (1096 L), was added BOC Anhydride (65 kg, 373 moles) and 10% potassium carbonate (550 kg). This mixture was stirred until reaction was complete (approx. 1 hour). The bottom layer was removed and the organics were washed with water (665 L). The solution was then stripped to give the desired product as an oil. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ 1.40 (s, 9H), 1.58 (s, 2H), 2.45–2.85 (m, 4H), 3.05 (m, 1H), 3.38 (d, 2H), 3.6 (m, 1H), 3.79 (m, 1H), 3.87 (d, 2H), 4.35 (s, 1H), 4.8 (s, broad, 1H), 7.0–7.38 (m, 20H).

F-1. (2S,3S,5S)-2-Amino-3-hydroxy-5-(t-butyloxycarbonylamino)-1,6-diphenylhexane

To a stirred solution of [2S,3S,5S]-2-N,N-dibenzylamino-3-hydroxy-5-t-butyloxycarbonylamino-1,6-diphenylhexane

(12 g, 21.3 mmol) in methanol (350 mL) was charged ammonium formate (8.05 g, 128 mmol, 6.0 eq) and 10% palladium on carbon (2.4 g). The solution was stirred under nitrogen at 60° C. for three hours and then at 75° C. for 12 hours. An additional amount of ammonium formate (6 g) and 10% palladium on carbon (1.5 g) was added as well as 1 mL of glacial acetic acid. The reaction was driven to completion within 2 hours at a reflux temperature. The reaction mixture was then cooled to room temperature and then filtered through a bed of celite. The filter cake was washed with methanol (75 mL) and the combined filtrates were concentrated under reduced pressure. The residue was taken up in 1N NaOH (300 mL) and extracted into methylene chloride (2×200 mL). The combined organic layers were washed with brine (250 mL) and dried over sodium sulfate. Concentration of the solution under reduced pressure provided the desired product as a light colored oil which slowly crystallized upon standing (5 g). Further purification of the product could be accomplished by flash chromatography (silica gel, 5% methanol in methylene chloride). 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ 1.42 (s, 9H), 1.58 (m, 1H), 1.70 (m, 1H), 2.20 (s, broad, 2H), 2.52 (m, 1H), 2.76–2.95 (m, 4H), 3.50 (m, 1H), 3.95 (m, 1H), 4.80 (d, broad, 1H), 7.15–7.30 (m, 10H).

F-2. [2S,3S,5S]-2-Amino-3-hydroxy-5-(t-butyloxycarbonylamino)-1,6-diphenylhexane succinate salt

To a solution of [2S,3S,5S]-2-N,N-dibenzylamino-3-hydroxy-5-t-butyloxycarbonylamino-1,6-diphenylhexane (approx. 127 kg, 225 moles) in methanol (437 L), was added a methanolic (285 L) slurry of 5% palladium on carbon (24 kg). To this was added a solution of ammonium formate (84 kg, 1332 moles) in methanol (361 L). The solution was heated to 75° C. for 6–12 hours and then cooled to room temperature. Solids were filtered from the reaction mixture using a filter coated with filteraid (Celite) and the methanol was stripped from the reaction mixture using heat and vacuum (up to 70° C.). The residue was dissolved in isopropyl acetate (4400 kg) with heat (40° C.) and then washed with a 10% sodium carbonate solution (725 kg), and finally with water (665 L). Both of the washes were performed at 40° C. to keep the product in solution. The solvent was removed under vacuum with heat (up to 70° C.). Isopropyl alcohol (475 L) was then added and stripped off to remove residual solvents. Isopropanol (1200 L) was added to the residue and stirred until homogeneous. To this solution was added a solution of succinic acid (15–40 kg) in isopropanol (1200 L). The solution jacket was heated to 70° C. to dissolve all of the solids and then allowed to slowly cool to room temperature and stir for 6 hours. The solution was then filtered to give the desired product as a white solid (55–80 kg).

mp: 145–146° C. <sup>1</sup>H NMR: (Me<sub>2</sub>SO-d<sub>6</sub>, 300 MHz) δ 0.97 (d, 3H, IPA), 1.20 (s, 9H), 1.57 (t, 2H), 2.20 (s, 2H, succinic acid), 2.55 (m, 2H), 2.66 (m, 2H), 2.98 (m, 1H), 3.42 (m, 1H), 3.70 (m, 1H), 3.72 (m, 1H, IPA), 6.60 (d, 1H, amide NH), 7.0–7.3 (m, 10H).

<sup>1</sup>H NMR: (CD<sub>3</sub>OD, 300 MHz) δ 1.11 (d, 3H, J=7 Hz, IPA), 1.29 (s, 9H), 1.70 (m, 2H), 2.47 (s, 2H, succinic acid), 2.65 (m, 2H), 2.85 (m, 2H), 3.22 (m, 1H), 3.64 (m, 1H), 3.84 (m, 1H), 7.05–7.35 (m, 10H).

G. Ethyl 2,6-dimethylphenoxy acetate

To a solution of 2,6-dimethylphenol (8.0 g, 66 mmole) in dioxane (600 mL) was added ethyl bromoacetate (18.2 mL, 164 mmole) and cesium carbonate (58 g, 176 mmole). The reaction mixture was heated at reflux for 18 h, cooled to room temperature, filtered and concentrated in vacuo. Purification by silica gel column chromatography (5% to 20%

ether in hexane) provided the desired compound (80%). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$ 1.35 (t,  $J=7.5$  Hz, 3H), 2.30 (s, 6H), 4.31 (q,  $J=7.5$  Hz, 2H), 4.40 (s, 2H), 7.0 (m, 3H).

#### H. 2,6-Dimethylphenoxy acetic acid

To a solution of the compound from Example 1G (5.15 g, 24.7 mmole) in methanol (170 ml) and water (56 ml) was added 5.3 g of lithium hydroxide at  $0^\circ\text{C}$ ., the solution was stirred for 1.5 h at RT and concentrated in vacuo. The residue was acidified with 0.5M HCl and extracted with ethyl acetate (300 ml). The organic layer was dried and concentrated to give a white solid (4.05 g, 91%). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$ 2.30 (s, 6H), 4.48 (s, 2H), 7.0 (m, 3H).

#### I. (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-(*t*-butyloxycarbonylamino)-1,6-diphenylhexane

Coupling of the amine from Example 1F with the acid from Example 1H using standard EDAC coupling procedure provided the desired compound (78%). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$ 1.40 (s, 9H), 1.65 (m, 3H), 2.18 (s, 6H), 2.78 (m, 2H), 2.98 (d,  $J=9$  Hz, 2H), 3.75 (m, 1H), 3.90 (m, 1H), 4.15 (m, 1H), 4.20 (s, 2H), 4.60 (m, 1H), 7.0 (m, 3H), 7.25 (m, 10H). Mass spectrum:  $(\text{M}+\text{H})^+=547$ .

#### J. 2-N-(Benzyloxycarbonyl)amino-acetaldehyde

To a solution of 1.45 ml of DMSO in 20 ml of  $\text{CH}_2\text{Cl}_2$  at  $-78^\circ\text{C}$ ., was added dropwise 1.34 ml of oxalyl chloride. After 15 minutes at  $-78^\circ\text{C}$ ., a solution of N-Cbz-aminoethanol in 40 ml of  $\text{CH}_2\text{Cl}_2$  was added. After 15 minutes at  $-78^\circ\text{C}$ ., and 2 minutes at  $0^\circ\text{C}$ ., the solution was cooled to  $-78^\circ\text{C}$ ., and triethylamine (6.14 ml) was added dropwise. The solution was stirred at  $-78^\circ\text{C}$ ., for 30 minutes and poured into 50 ml of cold 10% aq. citric acid and extracted with ether (150 ml). The combined organic layer was washed with brine and dried with anhydrous  $\text{Na}_2\text{SO}_4$ ; filtered and concentrated in vacuo. Purification of the crude product by silica gel column chromatography (10% EtOAc/ $\text{CH}_2\text{Cl}_2$ ) provided the desired compound (42%). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$ 4.17 (d,  $J=6$  Hz, 2H), 5.15 (s, 2H), 5.40 (br s, 1H), 7.36 (m, 5H), 9.66 (s, 1H). Mass spectrum:  $(\text{M}+\text{NH}_4)^+=211$ .

#### K. N-(Benzyloxycarbonylamino)-ethyl valine methyl ester

To a solution of the aldehyde from Example 1J (0.829 g, 4.29 mmole) in 17 ml of methanol was added valine methyl ester hydrochloride (0.72 g, 4.29 mmole), sodium acetate (0.7 g, 8.58 mmole), and sodium cyanoborohydride (0.54 g, 8.58 mmole). The mixture was stirred at RT overnight and the solvent was evaporated in vacuo. The residue was taken up in ethyl acetate (100 ml) and washed with satd.  $\text{NaHCO}_3$  (10 ml) and the aq. layer was extracted with ethyl acetate (2x50 ml). The combined organic layer was washed with brine and dried with anhy. sodium sulfate, filtered and concentrated in vacuo. The residue was purified by silica gel column chromatography (20% EtOAc/ $\text{CH}_2\text{Cl}_2$ ) to provide the desired compound (60%). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$ 0.91 (d,  $J=3$  Hz, 3H), 0.94 (d,  $J=3$  Hz, 3H), 1.90 (m, 1H), 2.55 (m, 1H), 2.80 (m, 1H), 2.98 (d,  $J=6$  Hz, 1H), 3.20 (m, 1H), 3.30 (m, 1H), 3.71 (s, 3H), 5.10 (s, 2H), 5.27 (br s, 1H), 7.37 (m, 5H). Mass spectrum:  $(\text{M}+\text{H})^+=309$ .

#### L. 2S-(1-Imidazolidin-2-onyl)-3-methyl butanoic acid methyl ester

The Cbz-protecting of the compound in Example 1K was removed by hydrogenolysis and the crude product was treated with one equivalent of 1,1-carbonyldiimidazole in  $\text{CH}_2\text{Cl}_2$  to provide the desired compound (64%), 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$ 0.95 (d,  $J=7.5$  Hz, 3H), 0.98 (d,  $J=7.5$  Hz, 3H), 2.15 (m, 1H), 3.47 (m, 3H), 3.71 (s, 3H), 3.73 (m, 1H), 4.23 (d,  $J=10.5$  Hz, 1H), 4.81 (br s, 1H). Mass spectrum:  $(\text{M}+\text{H})^+=201$ .

#### M. 2S-(1-Imidazolidin-2-onyl)-3-methyl butanoic acid

To a solution of the compound from Example 1L (151 mg, 0.75 mmole) in 2.5 ml of water and 5 ml of dioxane was added at  $0^\circ\text{C}$ ., lithium hydroxide monohydrate (2.0 eq.). The solution was stirred at  $0^\circ\text{C}$ ., for 1.5 h and RT for 1 h. Acidification with 1N HCl, extraction with EtOAc (100 ml+2x50 ml), dried with sodium sulfate and evaporation of the filtered solution in vacuo provided the desired compound (88%). 300 MHz  $^1\text{H}$  NMR ( $\text{DMSO}-d_6$ )  $\delta$ 0.85 (d,  $J=12$  Hz, 3H), 0.92 (d,  $J=12$  Hz, 3H), 2.05 (m, 1H), 3.25 (m, 2H), 3.30 (m, 1H), 3.50 (m, 1H), 3.90 (d,  $J=15$  Hz, 1H), 6.40 (br s, 1H), 12.60 (br s, 1H). Mass spectrum:  $(\text{M}+\text{H})^+=187$ .

#### N. (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-amino-1,6-diphenylhexane

To 4.5 g of the compound from Example 1I was added 40 ml each of  $\text{CH}_2\text{Cl}_2$  and trifluoroacetic acid. The solution was left at RT for 1 h. Concentration of the solution in vacuo provided the desired compound (100%). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$ 1.48 (m, 1H), 1.62 (m, 1H), 2.05 (m, 1H), 2.24 (s, 6H), 2.50 (m, 1H), 2.80 (m, 1H), 3.0-3.10 (m, 4H), 3.90 (d,  $J=10$  Hz, 1H), 4.17 (m, 1H), 4.26 (ABq,  $J=13.5$  Hz, 2H), 7.0 (m, 3H), 7.10 (m, 2H), 7.30 (m, 7H), 7.41 (d,  $J=10$  Hz, 1H). Mass spectrum:  $(\text{M}+\text{H})^+=447$ .

#### O. (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(1-imidazolidin-2-onyl)-3-methyl-butanoyl]amino-1,6-diphenylhexane

Coupling of the amino compound from Example 1N with the acid from Example 1M using standard coupling procedure [1-(3-dimethylaminopropyl)-3-ethylcarbodiimide in DMF] provided the desired compound. (80%). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$ 0.83 (d,  $J=6$  Hz, 3H), 0.86 (d,  $J=6$  Hz, 3H), 1.75 (m, 2H), 2.16 (m, 1H), 2.18 (s, 6H), 2.76 (m, 2H), 2.97 (d,  $J=7.5$  Hz, 2H), 3.14 (m, 2H), 3.30 (m, 2H), 3.70 (d,  $J=1$  Hz, 1H), 3.75 (m, 1H), 4.20 (m, 4H), 4.50 (br s, 1H), 6.70 (d,  $J=7.5$  Hz, 1H), 7.0 (m, 3H), 7.25 (m, 10H). Mass Spectrum:  $(\text{M}+\text{H})^+=615$ .

### EXAMPLE 2

#### (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(1-tetrahydro-pyrimid-2-onyl)-3-methyl butanoyl]amino-1,6-diphenylhexane

#### A. 2S-(1-Tetrahydro-pyrimid-2-onyl)-3-methyl butanoic acid

Using the procedures described in Examples 1J to 1M, but replacing the N-Cbz-aminoethanol in Example 1J with N-Cbz-3-aminopropanol provided the desired compound. 300 MHz  $^1\text{H}$  NMR ( $\text{DMSO}-d_6$ )  $\delta$ 0.82 (d,  $J=7$  Hz, 3H), 0.93 (d,  $J=7$  Hz, 3H), 1.77 (m, 2H), 2.10 (m, 1H), 3.10-3.23 (m, 4H), 4.42 (d,  $J=10.5$  Hz, 1H), 6.37 (br s, 1H). Mass spectrum:  $(\text{M}+\text{H})^+=201$ .

#### B. (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(1-tetrahydro-pyrimid-2-onyl)-3-methyl butanoyl]amino-1,6-diphenylhexane

Coupling of the amino compound from Example 1N with the acid from Example 2A using standard procedure (EDAC in DMF) provided the desired compound (70%). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$ 0.80 (d,  $J=4.5$  Hz, 3H), 0.83 (d,  $J=4.5$  Hz, 3H), 1.50 (m, 1H), 1.65-1.72 (m, 6H), 2.20 (s, 6H), 2.68 (m, 1H), 2.82 (m, 2H), 3.0 (d,  $J=7.5$  Hz, 1H), 3.05 (m, 4H), 3.77 (m, 1H), 4.07 (d,  $J=4.5$  Hz, 1H), 4.20 (m, 4H), 4.50 (br s, 1H), 6.78 (br d, 1H), 7.0 (m, 3H), 7.25 (m, 10H). Mass spectrum:  $(\text{M}+\text{H})^+=629$ .

## EXAMPLE 3

(2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(3-oxazolidin-2-onyl)-3-methylbutanoyl]amino-1,6-diphenylhexane

A. 2S-(3-Oxazolidin-2-onyl)-3-methyl-butanoic acid methyl ester

To a solution of L-valine methyl ester hydrochloride (7.6 mmole) was added a solution of ethylene oxide in ethanol (1.5 equivalent). The solution was kept at 0° C. for 0.5 h and then at RT for 18 h, at which time 0.01 equivalent of BF<sub>3</sub>·Et<sub>2</sub>O was added. Fresh ethylene oxide was bubbled directly into the solution for 3 to 4 minutes. After 8 h the solution was concentrated to dryness and the residue was dissolved in CH<sub>2</sub>Cl<sub>2</sub> and cooled to 0° C. To this solution was added 1.2 equivalents of triethylamine and 1.0 equivalent of triphosgene. After 1 h, the solvent was removed in vacuo and the residue was washed with water (30 ml) and extracted with CH<sub>2</sub>Cl<sub>2</sub> (3×50 ml), dried and concentrated. Purification of the crude product by silica gel column chromatography (5% EtOAc/CH<sub>2</sub>Cl<sub>2</sub>) provided the desired compound (42%, 2 steps). 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ 0.98 (d, J=4.0 Hz, 3H), 1.0 (d, J=4.0 Hz, 3H), 2.16 (m, 1H), 3.60 (m, 2H), 3.73 (s, 3H), 4.20 (d, J=10 Hz, 1H), 4.37 (m, 2H). Mass spectrum: (M+H)<sup>+</sup>=202.

B. 2S-(3-Oxazolidin-2-onyl)-3-methyl-butanoic acid

Hydrolysis of the methyl ester from Example 3A, using the procedure described in Example 1M provided the desired compound. 300 MHz <sup>1</sup>H NMR (DMSO-d<sub>6</sub>) δ 0.90 (d, J=6 Hz, 3H), 0.95 (d, J=6 Hz, 3H), 2.1 (m, 1H), 3.55 (m, 1H), 3.70 (m, 1H), 3.88 (d, J=9 Hz, 1H), 4.30 (m, 2H), 13.0 (br s, 1H). Mass spectrum: (M+NH<sub>4</sub>)<sup>+</sup>=205.

C. (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(3-oxazolidin-2-onyl)-3-methylbutanoyl]amino-1,6-diphenylhexane

Coupling of the amine from Example 1N with the acid from Example 3B using standard coupling procedures (EDAC in DMF) provided the desired compound. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ 0.83 (d, J=4.5 Hz, 3H), 0.87 (d, J=4.5 Hz, 3H), 1.75 (m, 1H), 2.10 (m, 1H), 2.20 (s, 6H), 2.65 (m, 1H), 2.85 (m, 1H), 3.0 (m, 3H), 3.30 (m, 1H), 3.60 (m, 2H), 3.77 (m, 1H), 4.20 (m, 4H), 6.25 (br d, J=6 Hz, 1H), 7.0 (m, 3H), 7.25 (m, 10H). Mass spectrum: (M+H)<sup>+</sup>=616.

## EXAMPLE 4

(2S,3S,5S)-2-[(3R,3aS,6aR)-Bis-tetrahydrofuryloxy]amino-3-hydroxy-5-[2S-(3-methyl-1-imidazolidin-2-onyl)-3-methyl butanoyl]amino-1,6-diphenylhexane

A. 2S-(3-Methyl-1-imidazolidin-2-onyl)-3-methyl butanoic acid methyl ester

To a suspension of 45 mg (60% oil dispersion) of sodium hydride in 0.5 ml of DMF was added a solution of 150 mg of the compound from Example 1L in 4.5 ml of DMF. After 20 minutes at RT, (1.5 equivalent, 0.07 ml) methyl iodide was added. Reaction was complete in 1 h. The reaction was quenched with satd. NH<sub>4</sub>Cl solution and extracted with ether (100 ml+50 ml×2), dried and concentrated in vacuo. The crude product was purified by silica gel column chromatography (20% EtOAc/CH<sub>2</sub>Cl<sub>2</sub>) to provide the desired compound (61%). 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ 0.95 (d, J=6 Hz, 3H), 0.97 (d, J=6 Hz, 3H), 2.15 (m, 1H), 2.80 (s, 3H), 3.32 (m, 3H), 3.60 (m, 1H), 3.70 (s, 3H), 4.25 (d, J=10.5 Hz, 1H). Mass spectrum: (M+H)<sup>+</sup>=215.

B. 2S-(3-Methyl-1-imidazolidin-2-onyl)-3-methyl butanoic acid

Hydrolysis of the methyl ester from Example 4A using the procedure described in Example 1M provided the desired

compound. 300 MHz <sup>1</sup>H NMR (DMSO-d<sub>6</sub>) δ 0.85 (d, J=6 Hz, 3H), 0.92 (d, J=6 Hz, 3H), 2.05 (m, 1H), 2.65 (s, 3H), 3.25 (m, 3H), 3.42 (m, 1H), 3.90 (d, J=10 Hz, 1H). Mass spectrum: (M+H)<sup>+</sup>=201.

C. (3R,3aS,6aR)-Bis-tetrahydrofuryl-(4-nitrophenyl) carbonate

To a solution of 3R-hydroxy-(3aS,6aR)-bis-tetrahydrofuran [J. Med. Chem. 37, 2506-2508 (1994)] (200 mg, 1.54 mmole) in 10 ml of CH<sub>2</sub>Cl<sub>2</sub> was added triethylamine (0.26 ml, 1.85 mmole), and p-nitrophenyl chloroformate (341 mg, 1.69 mmole). The solution was kept at RT for 3 days, diluted with CH<sub>2</sub>Cl<sub>2</sub> (100 ml) and washed with satd. NaHCO<sub>3</sub> (15 ml). The organic layer was dried and concentrated in vacuo. Purification by silica gel column chromatography (5% EtOAc/CH<sub>2</sub>Cl<sub>2</sub>) provided the desired compound (42%). 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ 2.0 (m, 1H), 2.20 (m, 1H), 3.18 (m, 1H), 4.0 (m, 3H), 4.17 (m, 1H), 5.27 (m, 1H), 5.80 (d, J=6 Hz), 7.40 (d, J=7.5 Hz, 2H), 8.30 (d, J=7.5 Hz, 2H). Mass spectrum: (M+NH<sub>4</sub>)<sup>+</sup>=313.

D. (2S,3S,5S)-2-[(3R,3aS,6aR)-Bis-tetrahydrofuryloxy]amino-3-hydroxy-5-(t-butyloxy carbonyl)amino-1,6-diphenylhexane

To a solution of the carbonate from Example 4C (100 mg, 0.34 mmole) in 3.4 ml of DMF was added the compound from Example 1F (130 mg, 0.34 mmole). The solution was kept at RT overnight and then concentrated in vacuo. Purification of the crude product by silica gel column chromatography (2% to 5% MeOH/CH<sub>2</sub>Cl<sub>2</sub>) provided the desired compound (93%). 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ 1.40 (s, 9H), 1.64 (m, 3H), 2.76 (m, 2H), 2.87 (m, 2H), 3.66-4.0 (m, 7H), 4.53 (m, 1H), 5.06 (m, 2H), 5.68 (d, J=6 Hz, 1H), 7.10-7.28 (m, 10H). Mass spectrum: (M+NH<sub>4</sub>)<sup>+</sup>=558.

E. (2S,3S,5S)-2-[(3R,3aS,6aR)-Bis-tetrahydrofuryloxy]amino-3-hydroxy-5-amino-1,6-diphenylhexane

To a solution of the compound from Example 4D (170 mg, 0.31 mmole) in 5 ml of CH<sub>2</sub>Cl<sub>2</sub> was added 5 ml of trifluoroacetic acid. After 0.25 h, the solvent was removed in vacuo. The residue was dissolved in 100 ml of EtOAc and washed with satd. NaHCO<sub>3</sub> and then brine, dried and concentrated to provide the desired compound (91%). 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ 1.27-1.60 (m, 4H), 1.75 (m, 2H), 2.47 (m, 1H), 2.80 (m, 1H), 2.88 (m, 2H), 3.0 (m, 2H), 3.80 (m, 4H), 4.0 (m, 1H), 5.10 (m, 1H), 5.30 (d, J=10.5 Hz, 1H), 5.70 (d, J=6 Hz, 1H), 7.05-7.25 (m, 10H). Mass spectrum: (M+H)<sup>+</sup>=441.

F. (2S,3S,5S)-2-[(3R,3aS,6aR)-Bis-tetrahydrofuryloxy]amino-3-hydroxy-5-[2S-(3-methyl-1-imidazolidin-2-onyl)-3-methyl butanoyl]amino-1,6-diphenylhexane

Coupling of the carboxylic acid from Example 4B with the amino compound from Example 4E using standard procedure (EDAC in DMF) provided the desired compound. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ 0.82 (d, J=3H, 3H), 0.85 (d, J=6 Hz, 3H), 1.65 (m, 1H), 2.77 (s, 3H), 2.85 (m, 3H), 3.17 (m, 2H), 3.47 (m, 1H), 3.60 (m, 2H), 3.75 (m, 1H), 3.87 (m, 1H), 4.0 (m, 1H), 4.20 (m, 1H), 5.05 (m, 2H), 5.68 (d, J=6 Hz, 1H), 6.45 (br d, J=7.5 Hz, 1H), 7.20 (m, 10H). Mass spectrum: (M+H)<sup>+</sup>=623.

## EXAMPLE 5

(2S,3S,5S)-2-[(3R,3aS,6aR)-Bis-tetrahydrofuryloxy]amino-3-hydroxy-5-[2S-(1-imidazolidin-2-onyl)-3-methyl butanoyl]amino-1,6-diphenylhexane

Coupling of the amino compound from Example 4E with the carboxylic acid from Example 1M using standard procedure (EDAC/DMF) provided the desired compound. 300

MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.85 (d,  $J=7$  Hz, 3H), 0.88 (d,  $J=7$  Hz, 3H), 1.70 (m, 2H), 2.18 (m, 1H), 2.80 (m, 3H), 2.95 (m, 1H), 3.20 (m, 4H), 3.60 (m, 3H), 3.75 (m, 2H), 4.0 (m, 1H), 4.20 (m, 1H), 4.45 (s, 1H), 5.10 (m, 2H), 5.67 (d,  $J=6$  Hz, 1H), 6.60 (d,  $J=7.5$  Hz, 1H), 7.20 (m, 10H). Mass spectrum:  $(\text{M}+\text{H})^+=609$ .

## EXAMPLE 6

(2S,3S,5S)-2-(N-((5-Thiazolyl)methoxycarbonyl)amino)-5-((2S-(1-imidazolidin-2-onyl)-3-methylbutanoyl)-amino)-3-hydroxy-1,6-diphenylhexane

## A. Ethyl 2-Chloro-2-formylacetate

To a three neck 2 L round bottom flask charged with potassium *t*-butoxide (0.5 mol, 500 mL of a 1M solution in THF) and 500 mL of dry THF cooled to  $0^\circ\text{C}$ . was added dropwise from an addition funnel a solution of ethyl chloroacetate (0.5 mol, 53.5 mL) and ethyl formate (0.5 mol, 40.4 mL), in 200 mL of THF over 3 hours. After completion of addition, the reaction mixture was stirred for 1 hour and allowed to stand overnight. The resulting solid was diluted with diethyl ether and cooled in an ice bath. Then, the pH was lowered to approximately 3 using 6N HCl. The organic phase was separated, and the aqueous layer was washed 3 times with diethyl ether. The combined ethereal portions were dried over  $\text{NaSO}_4$ , and concentrated in vacuo. The crude desired compound was stored at  $-30^\circ\text{C}$ . and used without further purification.

## B. Ethyl Thiazole-5-carboxylate

To a round bottom flask was added 250 mL of dry acetone, 7.5 g (0.123 mol) of thioformamide, and 18.54 g (0.123 mol) of ethyl 2-chloro-2-formylacetate. The reaction was heated at reflux for 2 hours. The solvent was removed in vacuo, and the residue was purified by chromatography ( $\text{SiO}_2$ , 6 cm o.d. column, 100%  $\text{CHCl}_3$ ,  $R_f=0.25$ ) to provide 11.6 g (60%) of the desired compound as a light yellow oil. NMR ( $\text{CDCl}_3$ )  $\delta$  1.39 (t,  $J=7$  Hz, 3H), 4.38 (q,  $J=7$  Hz, 2H), 8.50 (s, 1H), 8.95 (s, 1H).

## C. 5-(Hydroxymethyl)thiazole

To a precooled (ice bath) three neck 500 mL flask containing lithium aluminum hydride (2.89 g, 76 mmol) in 250 mL of THF was added ethyl thiazole-5-carboxylate (11.82 g, 75.68 mmol) in 100 mL of THF dropwise over 1.5 hours to avoid excess foaming. The reaction was stirred for an additional hour, and treated cautiously with 2.9 mL of water, 2.9 mL of 15% NaOH, and 8.7 mL of water. The solid salts were filtered, and the filtrate set aside. The crude salts were heated at reflux in 100 mL of ethyl acetate for 30 minutes. The resulting mixture was filtered, and the two filtrates were combined, dried over  $\text{Na}_2\text{SO}_4$ , and concentrated in vacuo. The product was purified by silica gel chromatography eluting sequentially with 0% -2% -4% methanol in chloroform, to provide the desired compound,  $R_f=0.3$  (4% methanol in chloroform), which solidified upon standing in 75% yield. NMR ( $\text{CDCl}_3$ )  $\delta$  4.92 (s, 2H), 7.78 (s, 1H), 8.77 (s, 1H). Mass spectrum:  $(\text{M}+\text{H})^+=116$ .

## D. ((5-Thiazolyl)methyl)-(4-nitrophenyl)carbonate

A solution of 3.11 g (27 mmol) of 5-(hydroxymethyl)thiazole and excess *N*-methyl morpholine in 100 mL of methylene chloride was cooled to  $0^\circ\text{C}$ . and treated with 8.2 g (41 mmol) of 4-nitrophenyl chloroformate. After being stirred for 1 h, the reaction mixture was diluted with  $\text{CHCl}_3$ , washed successively with 1N HCl, saturated aqueous  $\text{NaHCO}_3$ , and saturated brine, dried over  $\text{NaSO}_4$ , and concentrated in vacuo. The residue was purified by silica gel chromatography ( $\text{SiO}_2$ , 1-2% MeOH/ $\text{CHCl}_3$ ,  $R_f=0.5$  in 4% MeOH/ $\text{CHCl}_3$ ) to yield 5.9 g (78%) of the desired compound as a yellow solid. NMR ( $\text{CDCl}_3$ )  $\delta$  5.53 (s, 2H), 7.39

(dt,  $J=9$ , 3 Hz, 2H), 8.01 (s, 1H), 8.29 (dt,  $J=9$ , 3 Hz, 2H), 8.90 (s, 1H). Mass spectrum:  $(\text{M}+\text{H})^+=281$ .

## E. (2S,3S,5S)-5-Amino-2-(N-((5-thiazolyl)-methoxycarbonyl)amino)-3-hydroxy-1,6-diphenylhexane

Coupling of the amino compound from Example 1F with the carbonate from Example 6D using the procedure from Example 4D, followed by removal of the Boc-protecting group using TFA/ $\text{CH}_2\text{Cl}_2$  provided the desired compound. 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  1.3-1.6 (m, 2H), 2.40 (dd,  $J=14$ , 8 Hz, 1H), 2.78 (dd,  $J=5$  Hz, 1H), 2.88 (d,  $J=7$  Hz, 2H), 3.01 (m, 1H), 3.72 (br q, 1H), 3.81 (br d,  $J=10$  Hz, 1H), 5.28 (s, 2H), 5.34 (br d,  $J=9$  Hz, 1H), 7.07 (br d,  $J=7$  Hz, 2H), 7.15-7.35 (m, 8H), 7.87 (s, 1H), 8.80 (s, 1H). Mass spectrum:  $(\text{M}+\text{H})^+=426$ .

## F. (2S,3S,5S)-2-(N-((5-thiazolyl)methoxycarbonyl)amino)-5-((2S-(1-imidazolidin-2-onyl)-3-methylbutanoyl)-amino)-3-hydroxy-1,6-diphenylhexane

Coupling of the amino compound from Example 6E with the carboxylic acid from Example 1M using standard procedure (EDAC in DMF) provided the desired compound (52%). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.82 (d,  $J=7.5$  Hz, 3H), 0.85 (d,  $J=7.5$  Hz, 3H), 1.65 (m, 2H), 2.15 (m, 1H), 2.70 (m, 3H), 2.85 (d, 7.5 Hz, 2H), 3.08 (m, 1H), 3.18 (m, 1H), 3.30 (m, 2H), 3.60 (m, 3H), 3.80 (m, 1H), 4.16 (m, 1H), 4.40 (s, 1H), 5.16 (d,  $J=9$  Hz, 1H), 5.24 (s, 2H), 6.60 (d,  $J=9$  Hz, 1H), 7.20 (m, 10H), 7.83 (s, 1H), 8.80 (s, 1H). Mass spectrum:  $(\text{M}+\text{H})^+=594$ .

## EXAMPLE 7

(2S,3S,5S)-2-(N-((5-Thiazolyl)-methoxycarbonyl)amino)-3-hydroxy-5-(2S-(1-imidazolidin-2-onyl)-3,3-dimethyl butanoyl)amino-1,6-diphenylhexane

## A. 2S-(1-imidazolidin-2-onyl)-3,3-dimethyl butanoic acid

Using the procedures described in Example 1J to 1M, but replacing *L*-valine methyl ester with *L*-*t*-butyl-leucine methyl ester provided the desired compound. 300 MHz  $^1\text{H}$  NMR ( $\text{DMSO}-d_6$ )  $\delta$  1.0 (s, 9H), 3.22 (t,  $J=7.5$  Hz, 2H), 3.55 (q,  $J=7.5$  Hz, 1H), 3.65 (q,  $J=7.5$  Hz, 1H), 4.14 (s, 1H), 6.40 (s, 1H), 12.62 (br s, 1H). Mass spectrum:  $(\text{M}+\text{H})^+=201$ .

## B. (2S,3S,5S)-2-(N-((5-Thiazolyl)-methoxycarbonyl)amino)-3-hydroxy-5-(2S-(1-imidazolidin-2-onyl)-3,3-dimethyl butanoyl)amino-1,6-diphenylhexane

Coupling of the amino compound from Example 6E with the carboxylic acid from Example 7A using standard procedure (EDAC in DMF) provided the desired compound (77%). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  1.0 (s, 9H), 1.68 (m, 2H), 2.60-2.80 (m, 3H), 2.85 (d,  $J=7.5$  Hz, 1H), 3.10 (m, 1H), 3.30 (m, 1H), 3.50 (m, 1H), 4.56 (s, 1H), 5.15 (d,  $J=7.5$  Hz, 1H), 5.25 (ABq, 1H), 6.50 (d,  $J=7$  Hz, 1H), 7.20 (m, 10H), 7.83 (s, 1H), 8.80 (s, 1H). Mass spectrum:  $(\text{M}+\text{H})^+=609$ .

## EXAMPLE 8

(2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-imidazolidin-2-onyl)-3,3-dimethyl butanoyl)amino-1,6-diphenylhexane

Coupling of the amino compound from Example 1N with the carboxylic acid from Example 7A using standard procedure (EDAC in DMF) provided the desired compound (80%). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  1.0 (s, 9H), 2.18 (s, 6H), 2.68 (m, 1H), 2.80 (m, 1H), 2.98 (m, 3H), 3.10 (m, 1H), 3.27 (q,  $J=7$  Hz, 1H), 3.53 (m, 1H), 3.77 (m, 1H), 4.0 (s, 1H), 4.20 (m, 4H), 6.72 (m, 1H), 7.0 (m, 3H), 7.10-7.25 (m, 10H). Mass spectrum:  $(\text{M}+\text{H})^+=629$ .

## EXAMPLE 9

(2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-imidazolidin-2-thionyl)-3-methylbutanoyl)amino-1,6-diphenylhexane

A. 2S-(1-Imidazolidin-2-thionyl)-3-methyl butanoic acid  
Using the same procedures described in Example 1J to 1M, but replacing 1,1-carbonyl-diimidazole with 1,1-thiocarbonyldiimidazole provided the desired compound. 300 MHz  $^1\text{H}$  NMR ( $\text{DMSO}-d_6$ )  $\delta$  0.87 (d, J=6 Hz, 3H), 0.96 (d, J=6 Hz, 3H), 2.11 (m, 1H), 3.45 (m, 2H), 3.62 (m, 1H), 3.80 (q, J=9 Hz, 1H), 4.80 (d, J=10 Hz, 1H), 8.30 (s, 1H), 12.75 (br s, 1H).

B. (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-imidazolidin-2-thionyl)-3-methylbutanoyl)amino-1,6-diphenylhexane

Coupling of the amino compound from Example 1N with the carboxylic acid from Example 9A using standard procedure (EDAC in DMF) provided the desired compound (53%). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.82 (d, J=6 Hz, 3H), 0.93 (d, J=6 Hz, 3H), 1.75 (m, 1H), 2.20 (s, 6H), 2.65 (m, 1H), 2.84 (m, 1H), 3.0 (m, 3H), 3.25 (m, 1H), 3.40 (m, 2H), 3.54 (d, J=Hz, 1H), 3.78 (m, 1H), 4.22 (m, 4H), 4.56 (d, J=10.5 Hz, 1H), 5.65 (s, 1H), 6.60 (d, J=Hz, 1H), 7.0 (m, 3H), 7.25 (m, 10H). Mass spectrum: (M+H) $^+$ =631.

## EXAMPLE 10

(2S,3S,5S)-2-(4-Amino-2,6-dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-imidazolidin-2-onyl)-3-methylbutanoyl)amino-1,6-diphenylhexane

A. 2,6-Dimethyl-4-nitro phenoxyacetic acid ethyl ester  
To a solution of 10.5 g (54.6 mmole) of ethyl 2,6-dimethylphenoxy acetate and 7.5 g (109 mmole) of sodium nitrite in 100 ml of methylene chloride was added 50 ml of trifluoroacetic acid slowly. The reaction mixture became solid after addition. Additional 35 ml of trifluoroacetic acid was added. After the reaction mixture was stirred at room temperature for 3 h, it was carefully partitioned between saturated sodium bicarbonate solution and methylene chloride. The combined organic extracts were washed with brine and dried over anhydrous sodium sulfate, filtered and evaporated to dryness under reduced pressure. The residue was recrystallized in 30% ethyl acetate and hexanes to give 4.75 g (36%) of ethyl 2,6-dimethyl-4-nitro phenoxyacetate as light yellow prisms. 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  1.34 (3H, t, J=7.5 Hz), 2.39 (6H, s), 4.31 (2H, q, J=7.5 Hz), 7.93 (2H, s).

B. 2,6-Dimethyl-4-nitro-phenoxyacetic acid  
To a solution of 0.962 g (4.06 mmole) of ethyl 2,6-dimethyl-4-nitro phenoxy acetate in 10 ml of methanol was added 1 ml of 3N sodium hydroxide. After the reaction mixture was stirred at room temperature for 30 minutes it was acidified with 3N HCl and partitioned between water and methylene chloride. The combined organic extracts were washed with brine and dried over anhydrous sodium sulfate, filtered and evaporated to dryness under reduced pressure to give 0.82 g (97%) of 2,6-dimethyl-4-nitro phenoxy acetic acid as light yellow solid. 300 MHz  $^1\text{H}$  NMR ( $d_3$ -DMSO)  $\delta$  2.35 (6H, s), 4.55 (2H, s), 7.97 (2H, s), 13.02 (1H, bs).

C. (2S,3S,5S)-2-(t-Butyloxycarbonyl)amino-3-hydroxy-5-(2S-(1-imidazolidin-2-onyl)-3-methylbutanoyl)amino-1,6-diphenylhexane

Coupling of (2S,3S,5S)-2-(t-butyloxycarbonyl)amino-3-hydroxy-5-amino-1,6-diphenylhexane with the carboxylic acid from Example 1M using standard procedure (EDAC in DMF) provided the desired compound (100%). 300 MHz  $^1\text{H}$

NMR ( $\text{CDCl}_3$ )  $\delta$  0.83 (d, J=6 Hz, 3H), 0.87 (d, J=6 Hz, 3H), 1.40 (s, 9H), 1.70 (m, 2H), 2.16 (m, 1H), 2.58–2.80 (m, 4H), 3.10–3.30 (m, 4H), 3.65 (m, 2H), 4.20 (m, 1H), 4.38 (s, 1H), 4.83 (d, J=Hz, 1H), 6.53 (d, J=9 Hz, 1H), 7.20 (m, 10H).

5 Mass spectrum: (M+H) $^+$ =553.

D. (2S,3S,5S)-2-Amino-3-hydroxy-5-(2S-(1-imidazolidin-2-onyl)-3-methylbutanoyl)amino-1,6-diphenylhexane

Deprotection of the Boc-protecting group of the compound from Example 10C by standard procedure (TFA/ $\text{CH}_2\text{Cl}_2$ ) provided the desired compound. 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.87 (d, J=6 Hz, 3H), 0.90 (d, J=6 Hz, 3H), 1.33 (d, J=4.5, 9.0 Hz, 1H), 2.18 (m, 1H), 2.50 (m, 1H), 2.80 (m, 5H), 3.20 (m, 4H), 3.72 (d, J=10 Hz, 1H), 4.30 (m, 1H), 4.50 (s, 1H), 6.67 (d, J=7 Hz, 1H), 7.20 (m, 10H). Mass spectrum: (M+H) $^+$ =453.

E. (2S,3S,5S)-2-(4-Nitro-2,6-dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-imidazolidin-2-onyl)-3-methylbutanoyl)amino-1,6-diphenylhexane

Coupling of the amino compound from Example 10D with the carboxylic acid from Example 10B using standard procedure (EDAC in DMF) provided the desired compound. 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.83 (d, J=Hz, 3H), 0.86 (d, J=7 Hz, 3H), 1.70 (m, 3H), 2.18 (m, 2H), 2.28 (s, 6H), 2.75 (m, 3H), 2.95–3.30 (m, 6H), 3.67 (d, J=10.5 Hz, 1H), 3.75 (m, 1H), 3.82 (d, J=4 Hz, 1H), 4.25 (m, 5H), 6.55 (d, J=7 Hz, 1H), 7.20 (m, 10H), 7.92 (s, 2H). Mass spectrum: (M+H) $^+$ =660.

F. (2S,3S,5S)-2-(4-Amino-2,6-dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-imidazolidin-2-onyl)-3-methylbutanoyl)amino-1,6-diphenylhexane

To a suspension of 7 mg of 10% Pd/C in 5 ml of methanol was added a solution of 69 mg of the compound from Example 10E. The reaction mixture was stirred vigorously under a hydrogen atmosphere (balloon filled with hydrogen attached to a 3-way stopcock). After 1 h, reaction was complete by TLC analysis; the catalyst was filtered off and the filtrate was concentrated in vacuo. The crude product was purified by silica gel column chromatography (2% to 5% MeOH/ $\text{CH}_2\text{Cl}_2$ ) to provide the desired compound (65%). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.82 (d, J=Hz, 3H), 0.87 (d, J=6 Hz, 3H), 1.70 (m, 2H), 2.10 (s, 6H), 2.15 (m, 2H), 2.72 (m, 2H), 2.97 (d, J=7.5 Hz, 2H), 3.08 (m, 1H), 3.15 (m, 1H), 3.30 (m, 2H), 3.45 (br s, 2H), 3.66 (d, J=10 Hz, 1H), 3.72 (m, 1H), 3.90 (d, J=3 Hz, 1H), 4.10–4.20 (m, 4H), 4.30 (s, 1H), 6.33 (s, 2H), 6.57 (d, J=9 Hz, 1H), 7.20 (m, 10H). Mass spectrum: (M+H) $^+$ =630.

## EXAMPLE 11

(2S,3S,5S)-2-(2,4,6-Trimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-imidazolidin-2-onyl)-3-methylbutanoyl)amino-1,6-diphenylhexane

A. 2,4,6-Trimethylphenoxyacetic acid

Using the procedures from Example 1G and 1H, but replacing 2,6-dimethylphenol with 2,4,6-trimethylphenol provided the desired compound. 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  2.25 (s, 9H), 4.43 (s, 2H), 6.84 (s, 2H). Mass spectrum: (M+H) $^+$ =195.

B. (2S,3S,5S)-2-(2,4,6-Trimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-imidazolidin-2-onyl)-3-methylbutanoyl)amino-1,6-diphenylhexane

Coupling of the amino compound from Example 10D with the carboxylic acid from Example 11A using standard procedure (EDAC in DMF) provided the desired compound (51%). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.82 (d, J=6 Hz, 3H), 0.85 (d, J=6 Hz, 3H), 1.70 (m, 4H), 2.13 (s, 6H), 2.25 (s, 3H), 2.75 (m, 2H), 2.97 (d, J=7 Hz, 1H), 3.13 (m, 2H), 3.28 (m, 2H), 3.68 (d, J=10 Hz, 1H), 3.72 (m, 1H), 4.16 (m, 4H),



4.40 (br s, 1H), 6.67 (d, J=8 Hz, 1H), 6.80 (s, 2H), 7.20 (m, 10H). Mass spectrum: (M+H)<sup>+</sup>=629.

## EXAMPLE 12

(2S,3S,5S)-2-(4-Fluoro-2,6-dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-imidazolidin-2-onyl)-3-methyl-butanoyl)amino-1,6-diphenylhexane

## A. 4-Fluoro-2,6-dimethylphenoxyacetic acid

Using the procedure from Example 1G and 1H, but replacing 2,6-dimethylphenol with 4-fluoro-2,6-dimethylphenol provided the desired compound. 300 MHz <sup>1</sup>H NMR (CD<sub>3</sub>OD) δ2.26 (s, 6H), 4.37 (s, 2H), 6.73 (d, J=9 Hz, 2H). Mass spectrum: M<sup>+</sup>=198.

B. (2S,3S,5S)-2-(4-Fluoro-2,6-dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-imidazolidin-2-onyl)-3-methyl-butanoyl)amino-1,6-diphenylhexane

Coupling of the amino compound from Example 10D with the carboxylic acid from Example 12A provided the desired compound. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ0.83 (d, J=6 Hz, 3H), 0.86 (d, J=6 Hz, 3H), 1.72 (m, 2H), 2.15 (s, 6H), 2.20 (m, 1H), 2.76 (m, 2H), 2.98 (d, J=7 Hz, 2H), 3.12 (m, 2H), 3.30 (m, 2H), 3.67 (d, J=10 Hz, 1H), 3.72 (m, 1H), 4.13 (AB q, J=8, 9 Hz, 2H), 4.20 (m, 2H), 4.37 (s, 1H), 6.64 (d, J=9 Hz, 1H), 6.70 (d, J=Hz, 2H), 7.20 (m, 10H). Mass spectrum: (M+H)<sup>+</sup>=633.

## EXAMPLE 13

(2S,3S,5S)-2-(4,6-Dimethyl pyrimidin-5-oxy-acetyl)amino-3-hydroxy-5-(2S-(1-imidazolidin-2-onyl)-3-methyl-butanoyl)amino-1,6-diphenylhexane

## A. 4,6-Dimethyl pyrimidin-5-oxy-acetic acid

Using the procedures from Example 1G and 1H, but replacing 2,6-dimethylphenol with 5-hydroxy-4,6-dimethylpyrimidine (prepared according to Chem. Ber. 93 pg. 1998, 1960) provided the desired compound. 300 MHz <sup>1</sup>H NMR (DMSO-d<sub>6</sub>) δ2.45 (s, 6H), 4.55 (s, 2H), 8.50 (s, 1H). Mass spectrum: (M+H)<sup>+</sup>=183.

B. (2S,3S,5S)-2-(4,6-Dimethyl pyrimidin-5-oxy-acetyl)amino-3-hydroxy-5-(2S-(1-imidazolidin-2-onyl)-3-methyl-butanoyl)amino-1,6-diphenylhexane

Coupling of the amino compound from Example 10D with the carboxylic acid from Example 13A provided the desired compound. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ0.82 (d, J=6 Hz, 3H), 0.85 (d, J=6 Hz, 3H), 1.70 (m, 2H), 2.15 (m, 1H), 2.40 (s, 6H), 2.75 (m, 2H), 2.97 (d, J=7 Hz, 2H), 3.12 (m, 2H), 3.30 (m, 2H), 3.66 (d, J=10 Hz, 1H), 3.74 (m, 1H), 3.88 (d, J=Hz, 1H), 4.20 (m, 4H), 6.62 (d, J=9 Hz, 1H), 7.0 (d, J=9 Hz, 1H), 7.20 (m, 10H), 8.70 (s, 1H). Mass spectrum: (M+H)<sup>+</sup>=617.

## EXAMPLE 14

D. (2S,3S,5S)-2-(2,4-Dimethyl-pyridin-3-oxy-acetyl)amino-3-hydroxy-5-(2S-(1-imidazolidin-2-onyl)-3,3-dimethyl butanoyl)amino-1,6-diphenylhexane

## A. 2,4-Dimethyl-pyridin-3-oxy-acetic acid

Using the procedures from Example 1G and 1H, but replacing 2,6-dimethylphenol with 2,4 dimethyl-3-hydroxypyridine (prepared according to J. Med. Chem. 35, pg. 3667-3671, 1992) provided the desired compound. 300 MHz <sup>1</sup>H NMR (DMSO-d<sub>6</sub>) δ2.26 (s, 3H), 2.42 (s, 3H), 4.44 (s, 2H), 7.08 (d, J=5 Hz, 1H), 8.07 (d, J=5 Hz, 1H). Mass spectrum: (M+H)<sup>+</sup>=182.

B. (2S,3S,5S)-2-(2,4-Dimethyl-pyridin-3-oxy-acetyl)amino-3-hydroxy-5-(t-butylloxycarbonyl)amino-1,6-diphenylhexane

Coupling of the amino compound from Example 1F with the carboxylic acid from Example 14A using standard procedure (EDAC in DMF) provided the desired compound. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ1.40 (s, 9H), 1.70 (m, 2H), 2.18 (s, 3H), 2.40 (s, 3H), 2.77 (m, 2H), 2.98 (d, J=7 Hz, 2H), 3.75-3.95 (m, 3H), 4.20 (s, 2H), 4.22 (m, 1H), 4.60 (br d, 1H), 7.0 (d, J=5H, 1H), 7.10 (m, 3H), 7.25 (m, 7H), 8.16 (d, J=5 Hz, 1H). Mass spectrum: (M+H)<sup>+</sup>=548.

C. (2S,3S,5S)-2-(2,4-Dimethyl-pyridin-3-oxy-acetyl)amino-3-hydroxy-5-amino-1,6-diphenylhexane

Deprotection of the Boc-group in the compound from Example 14B using standard procedure (TFA/CH<sub>2</sub>Cl<sub>2</sub>) provided the desired compound. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ1.45 (m, 1H), 1.62 (m, 1H), 2.23 (s, 3H), 2.45 (s, 3H), 2.50 (m, 1H), 2.80 (m, 1H), 3.0 (m, 2H), 3.12 (m, 1H), 3.90 (m, 1H), 4.18 (m, 1H), 4.25 (ABq, J=9, 12 Hz, 2H), 6.98 (d, J=5 Hz, 1H), 7.10 (m, 2H), 7.30 (m, 8H), 8.17 (d, J=5 Hz, 1H). Mass spectrum: (M+H)<sup>+</sup>=448.

D. (2S,3S,5S)-2-(2,4-Dimethyl-pyridin-3-oxy-acetyl)amino-3-hydroxy-5-(2S-(1-imidazolidin-2-onyl)-3,3-dimethyl butanoyl)amino-1,6-diphenylhexane

Coupling of the amino compound from Example 14C with the carboxylic acid from Example 7A using standard procedure (EDAC in DMF) provided the desired compound. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ1.0 (s, 9H), 1.70 (m, 3H), 2.18 (s, 3H), 2.42 (s, 3H), 2.75 (m, 2H), 3.0 (m, 4H), 3.30 (m, 1H), 3.55 (m, 1H), 3.80 (m, 1H), 4.05 (s, 1H), 4.20 (m, 4H), 4.60 (s, 1H), 6.70 (d, J=7 Hz, 1H), 6.97 (d, J=5 Hz, 1H), 7.15 (m, 3H), 7.25 (m, 7H), 8.17 (d, J=Hz, 1H). Mass spectrum: (M+H)<sup>+</sup>=630.

## EXAMPLE 15

(2S,3S,5S)-2-(2,4-Dimethyl-pyridin-3-oxy-acetyl)amino-3-hydroxy-5-(2S-(1-imidazolidin-2-onyl)-3-methyl-butanoyl)amino-1,6-diphenylhexane

Coupling of the amino compound from Example 14C with the carboxylic acid from Example 1M using standard procedure (EDAC in DMF) provided the desired compound. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ0.82 (d, J=6 Hz, 3H), 0.86 (d, J=6 Hz, 3H), 1.75 (m, 3H), 2.15 (m, 1H), 2.18 (s, 3H), 2.40 (s, 3H), 2.75 (m, 2H), 2.97 (d, J=7.5 Hz, 2H), 3.20 (m, 4H), 3.70 (d, J=10 Hz, 1H), 3.75 (m, 1H), 4.20 (m, 6H), 4.52 (s, 1H), 3.75 (m, 1H), 4.20 (m, 6H), 4.52 (s, 1H), 6.80 (d, J=7 Hz, 1H), 6.96 (d, J=4.5 Hz, 1H), 7.20 (m, 10H), 8.17 (d, J=4.5 Hz, 1H). Mass spectrum: (M+H)<sup>+</sup>=616.

## EXAMPLE 16

(2S,3S,5S)-2-(2,6-Dimethylthiophenoxyacetyl)amino-3-hydroxy-5-(2S-(1-imidazolidin-2-onyl)-3-methyl-butanoyl)amino-1,6-diphenylhexane

## A. 2,6-Dimethylthiophenoxy acetic acid

Using the procedures from Example 1G and 1H, but replacing 2,6-dimethylphenol with 2,6-dimethylthiophenol provided the desired compound. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ2.56 (s, 6H), 3.40 (s, 2H), 7.10 (m, 3H). Mass spectrum: (M+H)<sup>+</sup>=197.

B. (2S,3S,5S)-2-(2,6-Dimethylthiophenoxyacetyl)amino-3-hydroxy-5-(2S-(1-imidazolidin-2-onyl)-3-methyl-butanoyl)amino-1,6-diphenylhexane

Coupling of the carboxylic acid from Example 16A with the amino compound from Example 10D provided the desired compound. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ0.82 (d, J=6 Hz, 3H), 0.86 (d, J=6 Hz, 3H), 2.15 (m, 1H), 2.52 (s, 6H), 2.70 (m, 4H), 3.10 (m, 2H), 3.30 (m, 4H), 3.60 (m, 2H), 4.0 (m, 1H), 4.10 (m, 1H), 4.22 (s, 1H), 6.39 (d, J=7 Hz, 1H), 6.58 (d, J=9 Hz, 1H), 7.20 (m, 13H). Mass spectrum: (M+H)<sup>+</sup>=631.

## EXAMPLE 17

(2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-pyrrolidin-2-onyl)-3-methylbutanoyl)amino-1,6-diphenylhexane

## A. 4-Bromobutanoyl-L-valine methyl ester

To a solution of 1.08 g (8.4 mmole) of L-valine methyl ester in 30 ml of  $\text{CH}_2\text{Cl}_2$  was added 1.36 ml (16.8 mmole) of pyridine, cooled to  $0^\circ\text{C}$ . and 1.55 g (8.4 mmole) of 4-bromobutanoyl chloride added. The solution was stirred at  $0^\circ\text{C}$ . for 40 minutes and at RT for 1 h. The solution was washed with satd.  $\text{NaHCO}_3$ , brine and dried with anhyd.  $\text{Na}_2\text{SO}_4$ ; filtered and concentrated in vacuo. The crude product was purified by silica gel column chromatography (5% EtOAc/ $\text{CH}_2\text{Cl}_2$ ) to provide 1.82 g (77%) of desired product. 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.92 (d, J=6 Hz, 3H), 0.96 (d, J=6 Hz, 3H), 2.20 (m, 3H), 2.46 (m, 2H), 3.50 (m, 2H), 3.76 (s, 3H), 4.58 (dd, J=4,7 Hz, 1H), 5.97 (br d, J=7 Hz, 1H). Mass spectrum: (M+H) $^+$ =297.

## B. 2S-(1-Pyrrolidin-2-onyl)-3-methyl-butanolic acid

To a solution of 1.49 g (5.3 mmole) of the compound from Example 17A in a mixture of DMF/ $\text{CH}_2\text{Cl}_2$  cooled to  $0^\circ\text{C}$ . was added 0.234 g (1.1 equivalent) of 60% sodium hydride in mineral oil. The mixture was slowly warmed up to RT and stirred overnight. The mixture was poured into satd. ammonium chloride and extracted with ethyl acetate, dried and concentrated in vacuo. The crude product was hydrolyzed using lithium hydroxide as in Example 1H to provide the desired compound. 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.96 (d, J=7 Hz, 3H), 1.06 (d, J=7 Hz, 3H), 2.10 (m, 2H), 2.40 (m, 1H), 2.50 (t, J=7 Hz, 2H), 3.56 (m, 2H), 4.14 (d, J=10 Hz, 1H). Mass spectrum: (M+H) $^+$ =186.

## C. (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-pyrrolidin-2-onyl)-3-methyl-butanoyl)amino-1,6-diphenylhexane

Coupling of the carboxylic acid from Example 17B with the amine from Example 1N using standard procedure (EDAC in DMF) provided the desired compound. 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.77 (d, J=7 Hz, 3H), 0.83 (d, J=7 Hz, 3H), 1.75 (m, 3H), 2.10 (m, 1H), 2.20 (s, 6H), 2.25 (m, 1H), 2.65 (m, 1H), 2.85 (m, 1H), 3.0 (d, J=7 Hz, 2H), 3.20 (m, 1H), 3.77 (m, 2H), 3.88 (d, J=10 Hz, 1H), 4.20 (m, 3H), 6.30 (d, J=7 Hz, 1H), 6.98 (m, 3H), 7.20 (m, 10H). Mass spectrum: (M+H) $^+$ =614.

## EXAMPLE 18

(2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-pyrrolidin-2,5-dionyl)-3-methylbutanoyl)amino-1,6-diphenylhexane

## A. 2S-(1-Pyrrolidin-2,5-dionyl)-3-methyl-butanolic acid benzyl ester

To a solution of 700 mg (3.38 mmole) of L-valine benzyl ester in 6 ml of chloroform was added 1 equivalent of succinic anhydride. After 1 h at RT, the solvent was removed in vacuo and the residue was dissolved in 20 ml of DMF. To this solution was added 0.52 g of N-hydroxy-benzotriazole, 0.68 g of EDAC and 0.52 ml of triethylamine. After 24 h at RT, 20 mg of 4-dimethylaminopyridine was added. The solution was left at RT for 3 days. After standard work-up, the crude product was purified by silica gel column chromatography to provide 0.25 g of desired product (26%). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.84 (d, J=7 Hz, 3H), 1.12 (d, J=7 Hz, 3H), 2.70 (m, 1H), 2.71 (s, 4H), 4.45 (d, J=9 Hz, 1H), 5.15 (s, 2H), 7.30 (m, 5H).

## B. 2S-(1-Pyrrolidin-2,5-dionyl)-3-methyl-butanolic acid

A mixture of 0.245 of the product from Example 18A, 30 mg of 10% palladium on charcoal in 50 ml of methanol was

stirred vigorously under hydrogen atmosphere (balloon filled with hydrogen) for 1 h. The catalyst was filtered off and the solvent was removed under vacuum to provide 168 mg of the desired compound. 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.84 (d, J=6 Hz, 3H), 1.13 (d, J=6 Hz, 3H), 2.65 (m, 1H), 2.80 (s, 4H), 4.45 (d, J=8 Hz, 1H). Mass spectrum: (M+H) $^+$ =200.

## C. (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-pyrrolidin-2,5-dionyl)-3-methylbutanoyl)amino-1,6-diphenylhexane

Coupling of the carboxylic acid from Example 18B with the amine from Example 1N using standard procedure (EDAC in DMF) provided the desired product (75%). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.70 (d, J=4 Hz, 3H), 0.72 (d, J=4 Hz, 3H), 1.70 (m, 1H), 2.20 (s, 6H), 2.45 (m, 2H), 2.60 (s, 4H), 2.80 (m, 2H), 3.0 (m, 2H), 3.76 (m, 1H), 4.20 (m, 6H), 7.0 (m, 3H), 7.20 (m, 10H). Mass spectrum: (M+H) $^+$ =628.

## EXAMPLE 19

(2S,3S,5S)-2-(Trans-3-(2,6-dimethylphenyl)propenoyl)amino-3-hydroxy-5-(2S-1-tetrahydropyrimidin-2-onyl)-3-methyl-butanoyl)amino-1,6-diphenylhexane

## A. 2,6-Dimethyl benzaldehyde

Oxidation of 2,6-dimethyl benzyl alcohol by standard Swern oxidation procedure (oxalyl chloride/DMSO) provided the desired compound. 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  2.62 (s, 6H), 7.10 (m, 2H), 7.33 (t, J=7 Hz, 1H), 10.63 (s, 1H). Mass spectrum: (M+H) $^+$ =135.

## B. Trans-3-(2,6-dimethylphenyl)-propenoic acid methyl ester

To a solution of trimethyl phosphonoacetate (149 mg, 0.82 mmole) in 15 ml of THF was added 36 mg of sodium hydride (60% in oil). After 15 minutes 100 mg of the compound from Example 19A in 2 ml of THF was added. After 2 h, the reaction was quenched carefully with water and extracted with ethyl acetate (70 ml), dried and concentrated. Purification of the crude product by silica gel column chromatography (hexane/EtOAc 95:5) provided the desired compound (75%). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  2.35 (s, 6H), 3.82 (s, 3H), 6.07 (d, J=16 Hz, 1H), 7.10 (m, 3H), 7.85 (d, J=16 Hz, 1H). Mass spectrum: (M+NH) $^+$ =191.

## C. Trans-3-(2,6-dimethylphenyl)-propenoic acid

Hydrolysis of the methyl ester from Example 19B using lithium hydroxide in a mixture of methanol and water provided the desired compound (84%). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  2.38 (s, 6H), 6.13 (d, J=16 Hz, 1H), 7.10 (m, 3H), 7.96 (d, J=16 Hz, 1H). Mass spectrum: (M+H) $^+$ =194.

## D. (2S,3S,5S)-2-(Trans-3-(2,6-dimethylphenyl)propenoyl)amino-3-hydroxy-5-(1-butylloxycarbonyl 1,6-diphenylhexane

Coupling of the carboxylic acid from Example 19C with the amine from Example 1F using standard procedure (EDAC/DMF) provided the desired compound (84%). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  1.40 (s, 9H), 1.68 (m, 1H), 2.34 (s, 6H), 2.75 (m, 2H), 2.96 (m, 2H), 3.72 (m, 1H), 3.85 (m, 1H), 4.08 (m, 2H), 4.60 (m, 1H), 5.88 (d, J=10 Hz, 1H), 5.94 (d, J=16 Hz, 1H), 7.10 (m, 5H), 7.25 (m, 8H), 7.72 (d, J=16 Hz, 1H). Mass spectrum: (M+H) $^+$ =543.

## E. (2S,3S,5S)-2-(Trans-3-(2,6-dimethylphenyl)propenoyl)amino-3-hydroxy-5-(2S-1-tetrahydropyrimidin-2-onyl)-3-methyl-butanoyl)amino-1,6-diphenylhexane

Removal of the Boc-protecting group of the compound from Example 19D (TFA/ $\text{CH}_2\text{Cl}_2$ ) and coupling of the resulting amine with the carboxylic acid from Example 2A using standard procedure (EDAC/DMF) provided the desired compound (73%). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.82 (d, J=6 Hz, 3H), 0.87 (d, J=6 Hz, 3H), 1.50 (m, 1H),

1.70 (m, 2H), 2.20 (m, 1H), 2.33 (s, 6H), 2.68 (m, 1H), 2.78 (m, 1H), 2.85 (m, 1H), 3.05 (m, 5H), 3.73 (m, 1H), 4.17 (m, 1H), 4.30 (d, J=3 Hz, 1H), 4.60 (s, 1H), 5.95 (d, J=15 Hz, 1H), 6.0 (d, J=9 Hz, 1H), 6.80 (d, J=7 Hz, 1H), 7.25 (m, 13H), 7.70 (d, J=15 Hz, 1H). Mass spectrum: (M+H)<sup>+</sup>=625.

## EXAMPLE 20

(2S,3S,5S)-2-(3-(2,6-Dimethylphenyl)propanoyl)amino-3-hydroxy-5-(2S-(1-tetrahydropyrimidin-2-onyl)-3-methyl-butanoyl)amino-1,6-diphenylhexane

## A. 3-(2,6-Dimethylphenyl)propanoic acid methyl ester

A solution of 400 mg of the compound from Example 19B in 25 ml of methanol and 40 mg of 10% Pd/C was stirred vigorously under a hydrogen atmosphere (balloon pressure) for 3 h. The catalyst was filtered off and concentration of the filtrate in vacuo provided the desired compound (98%). 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ2.35 (s, 6H), 2.45 (m, 2H), 2.98 (m, 2H), 3.22 (s, 3H), 7.02 (s, 3H). Mass spectrum: (M+H)<sup>+</sup>=210.

## B. 3-(2,6-Dimethylphenyl)propanoic acid

Hydrolysis of the methyl ester from Example 20A, using lithium hydroxide in methanol and water provided the desired compound (93%). 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ2.36 (s, 6H), 2.50 (m, 2H), 3.0 (m, 2H), 7.03 (s, 3H). Mass spectrum: (M+NH<sub>4</sub>)<sup>+</sup>=196.

## C. (2S,3S,5S)-2-(3-(2,6-Dimethylphenyl)propanoyl)amino-3-hydroxy-5-(1-butyloxycarbonyl)amino-1,6-diphenylhexane

Coupling of the carboxylic acid from Example 20B with the amine from Example 1F using standard coupling procedure (EDAC/DMF) provided the desired compound. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ1.40 (s, 9H), 1.55 (m, 2H), 2.20 (m, 2H), 2.30 (s, 6H), 2.74 (m, 2H), 2.85 (m, 4H), 3.66 (m, 1H), 3.82 (m, 1H), 3.95 (m, 2H), 4.57 (brd, 1H), 5.66 (d, J=9 Hz, 1H), 7.0 (s, 3H), 7.22 (m, 10H). Mass spectrum: (M+H)<sup>+</sup>=545.

## D. (2S,3S,5S)-2-(3-(2,6-Dimethylphenyl)propanoyl)amino-3-hydroxy-5-(2S-(1-tetrahydropyrimidin-2-onyl)-3-methyl-butanoyl)amino-1,6-diphenylhexane

Removal of the Boc-protecting group of the compound from Example 20C using trifluoroacetic acid in CH<sub>2</sub>Cl<sub>2</sub> and coupling of the resulting amine with the carboxylic acid from Example 2A using standard coupling procedure (EDAC/DMF) provided the desired compound. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ0.82 (d, J=6 Hz, 3H), 0.86 (d, J=6 Hz, 3H), 1.55 (m, 2H), 1.65 (m, 1H), 1.70 (s, 3H), 2.20 (m, 3H), 2.30 (s, 6H), 2.65 (m, 1H), 2.75 (m, 1H), 2.86 (m, 5H), 3.10 (m, 3H), 3.68 (m, 1H), 4.10 (m, 4H), 4.63 (s, 1H), 5.75 (d, J=7 Hz, 1H), 6.76 (d, J=7 Hz, 1H), 7.0 (m, 3H), 7.20 (m, 10H). Mass spectrum: (M+H)<sup>+</sup>=627.

## EXAMPLE 21

(2S,3S,5S)-2-(2,6-Dimethyl-4-hydroxy-phenoxyacetyl)amino-3-hydroxy-5-(2S-(1-tetrahydropyrimidin-2-onyl)-3-methyl-butanoyl)amino-1,6-diphenylhexane

## A. 2,6-Dimethyl-4-tert-butyldimethylsilyloxy phenol

To a solution of 2.5 g (14.7 mmole) of 2,6-dimethylquinone in 5 ml of methanol was added 200 mg of Pd/C (20%). The reaction mixture was stirred under 1 atmosphere of hydrogen for overnight. The Pd/C was removed over a celite pad, and the solvent was evaporated to dryness under reduced pressure to give 2.0 g (100%) of 2,6-dimethyldihydroquinone as a light yellow oil.

To a solution of 2.0 g (14.7 mmole) of 2,6-dimethyldihydroquinone in 10 ml of methylene chloride was

added 1.2 g (17.6 mmole) of imidazole and 2.2 g (14.7 mmol) of tert-butyldimethylsilyl chloride subsequently at 0° C. After the reaction was complete as indicated by TLC, it was partitioned between methylene chloride and 1:1 mixture of 3N hydrogen chloride and brine. The organic layer was washed with brine, dried over sodium sulfate, filtered and evaporated to dryness under reduced pressure. Silica gel chromatography using 5% ethyl acetate:hexanes gave 1.8 g (49%) of 2,6-dimethyl-4-tert-butyldimethylsilyloxy phenol as a white solid. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ0.16 (s, 6H), 0.98 (s, 9H), 2.19 (s, 6H), 4.22 (s, 1H), 6.48 (s, 2H). Mass spectrum: (M+H)<sup>+</sup>=253.

## B. Ethyl 2,6-Dimethyl-4-tert-Butyldimethylsilyloxy phenoxy acetate

A solution of 1.8 g (7.1 mmole) of 2,6-dimethyl-4-tert-butyldimethylsilyloxy phenol in 5 ml of dimethylformamide was treated with 2.0 g (1.43 mmole) of potassium carbonate and 830 μl (7.5 mmole) of ethyl bromoacetate. The resulting solution was heated at 70° C. for 4 hr. After cooled to room temperature, the reaction mixture was partitioned between ethyl acetate and 3N hydrogen chloride. The combined organic layer was washed with diluted brine, dried over magnesium sulfate, filtered, and evaporated in vacuo. Silica gel chromatography using 5% ethyl acetate:hexanes gave 2.03 g (85%) of ethyl 2,6-dimethyl-4-tert-butyldimethylsilyloxy phenoxy acetate as a light yellow oil. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ0.17 (s, 6H), 0.97 (s, 9H), 1.33 (t, 3H, J=6.3 Hz), 2.22 (s, 6H), 4.30 (q, 2H, J=6.3 Hz), 4.35 (s, 2H), 6.57 (s, 2H). Mass spectrum: (M+H)<sup>+</sup>=356.

## C. 2,6-Dimethyl-4-Hydroxyl phenoxyacetic acid

To a solution of 2.03 g (6.0 mmole) of ethyl 2,6-dimethyl-4-tert-butyldimethylsilyloxy phenoxy acetate in 10 ml of methanol was added 4 ml of 3N sodium hydroxide. After the reaction mixture was stirred at room temperature for 30 minutes it was acidified with 3N HCl. The reaction was allowed to stir for additional 1 h, and then partitioned between water and methylene chloride. The combined organic extracts were washed with brine and dried over anhydrous sodium sulfate, filtered, and evaporated to dryness under reduced pressure. Trituration with hexanes gave 910 mg (77%) of 2,6-dimethyl-4-hydroxyl phenoxyacetic acid as a white solid. 300 MHz <sup>1</sup>H NMR (CD<sub>3</sub>OD) δ2.18 (s, 6H), 4.31 (s, 2H), 6.41 (s, 2H). Mass spectrum: (M+H)<sup>+</sup>=214.

## D. (2S,3S,5S)-2-(2,6-Dimethyl-4-hydroxy-phenoxyacetyl)amino-3-hydroxy-5-(1-butyloxycarbonyl)amino-1,6-diphenylhexane

Coupling of the carboxylic acid from Example 21C with the amine from Example 1F using standard coupling procedure (EDAC/DMF) provided the desired compound. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ1.40 (s, 9H), 1.68 (m, 2H), 2.07 (s, 6H), 2.77 (d, J=6 Hz, 2H), 2.98 (m, 2H), 3.74 (m, 1H), 3.90 (m, 1H), 4.10 (m, 3H), 4.58 (m, 1H), 5.20 (m, 1H), 6.44 (s, 2H), 7.10–7.30 (m, 10H).

## E. (2S,3S,5S)-2-(2,6-Dimethyl-4-hydroxy-phenoxyacetyl)amino-3-hydroxy-5-(2S-(1-tetrahydropyrimidin-2-onyl)-3-methyl-butanoyl)amino-1,6-diphenylhexane

Removal of the Boc-protecting group of the compound from Example 21D using TFA/CH<sub>2</sub>Cl<sub>2</sub> and coupling of the resulting amine with the carboxylic acid from Example 2A using standard procedure (EDAC/DMF) provided the desired compound. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) δ0.78 (d, J=5 Hz, 3H), 0.81 (d, J=5 Hz, 3H), 1.47 (m, 1H), 2.03 (s, 6H), 2.18 (m, 1H), 2.62 (m, 1H), 2.80 (m, 2H), 3.05 (m, 6H), 3.78 (m, 1H), 4.12 (m, 6H), 4.37 (m, 1H), 4.71 (s, 1H), 6.47 (s, 6.94 (br d, 1H), 7.20 (m, 10H). Mass spectrum: (M+H)<sup>+</sup>=645.

## EXAMPLE 22

(2S,3S,5S)-2-(*cis*(±)-1,1-dioxo-2-isopropyl-3-tetrahydrothiophenoxy)amino-3-hydroxy-5-(2S-(1-tetrahydropyrimid-2-onyl)-3-methyl butanoyl)amino-1,6-diphenylhexane

A. *Cis*(±)-2-isopropyl-3-hydroxy-tetrahydrothiophene

To a solution of ethyl-3-mercaptopropionate (27.25 ml, 0.246 mole) in 200 ml of ethanol was added carefully sodium ethoxide (16.75 g, 0.246 mole) in several portions. The resulting suspension was then cooled to  $-20^{\circ}\text{C}$ . and ethyl-2-bromoisovalerate (50 g, 0.239 mole) in 50 ml of ethanol was added dropwise over 2 h. After addition was complete, the reaction was warmed to ambient temperature and stirred for 3 h. The mixture was poured into 600 ml of ethyl acetate and 600 ml of saturated  $\text{NH}_4\text{Cl}$ . The ethyl acetate layer was removed and the aqueous layer extracted (2×200 ml) with ethyl acetate. The combined organic layer was dried over sodium sulfate, filtered and concentrated in vacuo to give an orange oil. The oil was dissolved in 500 ml of toluene and sodium ethoxide (16.75 g, 0.246 mole) was added. The reaction mixture was heated to reflux for 6 h, cooled to RT, and then poured into an ice-cold solution of 1N HCl (235 ml) and extracted with ethyl acetate (3×150 ml). The combined organic layers were dried over sodium sulfate, filtered and concentrated to an oil that was used in the next step without purification.

The crude product was added to 500 ml of aqueous 10% sulfuric acid and the resulting mixture heated to reflux for several hours, and then cooled to RT and neutralized with 6N sodium hydroxide and extracted with ethyl acetate (3×300 ml). The combined organic layer was dried, filtered and concentrated in vacuo to give a dark burgundy oil. The crude product (ketone) was purified by vacuum distillation at  $75^{\circ}\text{--}80^{\circ}\text{C}$ . 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ) 80.93 (d,  $J=9$  Hz, 3H), 1.03 (d,  $J=9$  Hz, 3H), 2.32 (m, 1H), 2.55–2.70 (m, 2H), 2.93 (t,  $J=7.5$  Hz, 2H), 3.38 (d,  $J=4$  Hz, 1H). Mass spectrum:  $(\text{M}+\text{H})^+=145$ .

To a stirred solution of the above ketone in 125 ml of  $\text{CH}_2\text{Cl}_2$  at  $0^{\circ}\text{C}$ . was added diisobutylaluminum hydride (86 ml, 1M in THF) dropwise over 20 minutes. The reaction mixture was allowed to warm to room temperature and then was quenched by cautious addition of 1N HCl (255 ml). The reaction mixture was extracted with ether (3×150 ml) and the combined ether solution was washed with satd. sodium bicarbonate, brine and dried over magnesium sulfate. The solution was concentrated in vacuo and the resulting oil was purified by silica gel column chromatography (10% EtOAc/hexane). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ) 81.03 (d,  $J=7$  Hz, 3H), 1.08 (d,  $J=7$  Hz, 3H), 1.80 (d,  $J=9$  Hz, 1H), 1.90 (m, 2H), 2.24 (m, 1H), 2.90–3.10 (m, 3H), 4.36 (m, 1H). Mass spectrum:  $(\text{M}+\text{H})^+=147$ .

B. *Cis*(±)-2-isopropyl-3-thiophenyl-2(2-pyridyl)carbonate

To the product from Example 22A (2.29 g, 15.7 mmole) in 40 ml of  $\text{CH}_2\text{Cl}_2$  was added diisopropylethyl amine (4.65 ml, 26.7 mmole) and di-(2-pyridyl)carbonate (5.42 g, 25.1 mmole). After 18 h at RT, the reaction mixture was diluted with chloroform and washed sequentially with 10% citric acid, satd. sodium bicarbonate, brine and then dried over sodium sulfate; filtered and concentrated in vacuo. Purification of the crude product by silica gel column chromatography (20% EtOAc/hexane) provided the desired compound. 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ) 81.05 (d,  $J=7$  Hz, 3H), 1.08 (d,  $J=7$  Hz, 3H), 1.90 (m, 1H), 2.05 (m, 2H), 2.58 (dd,  $J=6$ , 15 Hz, 2H), 3.10 (m, 2H), 3.28 (dd,  $J=3$ , 12 Hz, 1H), 5.47 (m, 1H), 7.12 (m, 1H), 7.27 (m, 1H), 7.80 (m, 1H), 8.41 (m, 1H). Mass spectrum:  $(\text{M}+\text{H})^+=268$ .

C. (2S,3S,5S)-2-(*cis*(±)-2-isopropyl-3-tetrahydrothiophenoxy)amino-3-hydroxy-5-(1-butyloxycarbonyl)amino-1,6-diphenylhexane

To a solution of the compound from Example 22B (500 mg, 1.87 mmole) in 5 ml of  $\text{CH}_2\text{Cl}_2$  was added the amine from Example 1F (791 mg, 2.06 mmole). The reaction was stirred at RT until all the compound from Example 22B was consumed. The reaction mixture was diluted with chloroform and washed with 10% citric acid, satd. sodium bicarbonate, brine and then dried with sodium sulfate; filtered and concentrated in vacuo. Purification of the crude product by silica gel column chromatography (2% MeOH/ $\text{CH}_2\text{Cl}_2$ ) provided the desired compound (73%). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ) 80.83–1.05 (m, 6H), 1.40 (s, 9H), 1.90 (m, 3H), 2.20 (m, 1H), 2.75 (m, 2H), 2.85 (m, 4H), 2.95–3.15 (m, 3H), 3.67–3.90 (m, 4H), 4.55 (m, 1H), 5.10 (m, 1H), 5.30 (m, 1H), 7.10–7.26 (m, 10H). Mass spectrum:  $(\text{M}+\text{H})^+=557$ .

D. (2S,3S,5S)-2-(*cis*(±)-1,1-Dioxo-2-isopropyl-3-tetrahydrothiophenoxy)amino-3-hydroxy-5-(1-butyloxycarbonyl)amino-1,6-diphenylhexane

To the compound from Example 22C (523 mg, 0.91 mmole) in 10 ml of acetone and 0.5 ml of water was added Oxone (839 mg, 1.37 mmole) and sodium bicarbonate (152 mg, 1.82 mmole). The resulting solution was stirred for 2 h, at which time a white precipitate appeared. The reaction was quenched with aqueous sodium bisulfite and extracted with ethyl acetate (2×100 ml), dried with sodium sulfate, filtered and concentrated in vacuo. The crude product was purified by silica gel column chromatography (2% MeOH/ $\text{CH}_2\text{Cl}_2$ ) to provide 422 mg of product. 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ) 81.20 (m, 6H), 1.40 (s, 9H), 1.60 (m, 4H), 2.10–2.32 (m, 4H), 2.67 (m, 2H), 2.75 (m, 2H), 2.85 (m, 2H), 3.15 (m, 2H), 3.70–3.90 (m, 3H), 4.56 (m, 1H), 5.30 (m, 2H), 7.10–7.30 (m, 10H).

E. (2S,3S,5S)-2-(*cis*(±)-1,1-Dioxo-2-isopropyl-3-tetrahydrothiophenoxy)amino-3-hydroxy-5-(2S-(1-tetrahydropyrimid-2-onyl)-3-methyl butanoyl)amino-1,6-diphenylhexane

Removal of the Boc-protecting group of the compound from Example 22D using TFA/ $\text{CH}_2\text{Cl}_2$  and coupling of the resulting amine with the carboxylic acid from Example 2A provided the desired compound (82%). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ) 80.82 (m, 6H), 1.0–1.20 (m, 6H), 1.60 (t, 2H), 2.07 (m, 1H), 2.25 (m, 2H), 2.65–3.20 (m, 12H), 3.70 (m, 1H), 3.90 (m, 1H), 4.10–4.20 (m, 2H), 5.07 (m, 1H), 5.37 (m, 1H), 5.87–5.98 (m, 1H), 6.95–7.05 (m, 1H), 7.20 (m, 10H). Mass spectrum:  $(\text{M}+\text{H})^+=671$ .

## EXAMPLE 23

(2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-dihydropyrimid-2,4-dionyl)-3-methyl-butanoyl)amino-1,6-diphenylhexane

## A. N-(2-Ethoxyacryloyl)-N'-(1S-carbomethoxy-2-methyl-propyl)-urea

To 1.74 g (0.013 mole) of 2-ethoxy-acryloyl chloride in 18 ml of toluene was added 3.90 g (0.026 mole) of silver cyanate. The mixture was heated to reflux for 0.75 h. The mixture was allowed to cool to RT and the precipitate allowed to settle. The supernatant (9.6 ml) was withdrawn and added to 18 ml of dry DMF and 5 ml of  $\text{Et}_2\text{O}$ , cooled to  $-15^{\circ}\text{C}$ . for 45 minutes and left in freezer overnight. The solvent was evaporated in vacuo and the residue was purified by silica gel column chromatography (2% MeOH/ $\text{CH}_2\text{Cl}_2$ ) to provide 1.59 g of desired compound (90.2%). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ ) 80.96 (d,  $J=7$  Hz, 3H), 1.0 (d,  $J=7$  Hz, 3H), 1.37 (t,  $J=7.5$  Hz, 3H), 2.25 (m, 1H), 3.74 (s, 3H), 3.97 (q,  $J=7.5$  Hz, 2H), 4.42 (dd,  $J=4.5$ , 8.0 Hz, 1H), 5.25 (d,  $J=12$  Hz, 1H), 7.68 (d,  $J=12$  Hz, 1H), 8.55 (s, 1H), 9.10 (d,  $J=8$  Hz, 1H). Mass spectrum:  $(\text{M}+\text{H})^+=273$ .

B. 2S-(1-Dihydropyrimid-2,4-dionyl)-3-methyl butanoic acid

A solution of 174 mg (0.64 mmole) of the compound from Example 23A in 10 ml of 2N sulfuric acid was refluxed for 2 h, cooled to RT and left in freezer overnight. The mixture was concentrated and the residue was extracted with ethyl acetate (2x100 ml), dried and concentrated in vacuo to give 122 mg of desired compound. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) 81.06 (d, J=7 Hz, 3H), 1.13 (d, J=7 Hz, 3H), 2.25 (m, 1H), 5.04 (d, J=10 Hz, 1H), 5.74 (d, J=7 Hz, 1H), 7.50 (d, J=10 Hz, 1H), 8.43 (s, 1H).

C. (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-dihydropyrimid-2,4-dionyl)-3-methylbutanoyl)amino-1,6-diphenylhexane

Coupling of the carboxylic acid from Example 23B with the amine from Example 1N using standard coupling procedure (EDAC in DMF) provided the desired compound. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) 80.81 (d, J=7 Hz, 3H), 0.92 (d, J=7 Hz, 3H), 2.18 (s, 6H), 2.23 (m, 1H), 2.63 (m, 1H), 2.85 (m, 1H), 3.0 (m, 2H), 3.78 (m, 1H), 4.20 (m, 4H), 4.58 (d, J=10 Hz, 1H), 5.68 (dd, J=1.5, 7.5 Hz, 1H), 7.0-7.25 (m, 13H), 7.50 (d, J=7.5 Hz, 1H), 9.50 (s, 1H). Mass spectrum: (M+H)<sup>+</sup>=640.

#### EXAMPLE 24

Alternate Preparation of (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(1-tetrahydro-pyrimid-2-onyl)-3-methyl butanoyl]amino-1,6-diphenylhexane

A. 2,6-Dimethylphenoxyacetic acid

2,6-Dimethylphenol (102.8 g, 0.842 mol) and chloroacetic acid (159.6 g, 1.68 mol) in 1000 ml of H<sub>2</sub>O was added to a 3-L, 3-necked round bottom flask with mechanical stirring and a water-cooled condenser. A solution of NaOH (134.9 g, 3.37 mol) dissolved in 500 ml of water was slowly added to the above mixture via addition funnel and heat to reflux. After 2 hours, additional chloroacetic acid (79.4 g, 0.84 mol) and NaOH solution (67.2 g, 1.68 mol in 200 ml water) was added to the reaction mixture. After 19 hours, additional chloroacetic acid (39.8 g, 0.42 mol) and NaOH solution (33.6 g, 0.84 mol in 100 ml water) was added to the reaction mixture and refluxing was continued until starting phenol was consumed. The reaction flask was cooled in and ice-water bath and acidified to pH=1 with conc. HCl, causing a precipitate to form. The resulting slurry was stirred in the ice bath for 1 hour then filtered. The solid was dissolved in hot (100° C.) water and cooled to crystallize the product as white plates, mp=136-137° C., yield=78.8 g, 52%.

B. (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-(t-butyloxycarbonylamino)-1,6-diphenylhexane

Oxalyl chloride (36.3 ml, 0.42 mol) was added to a slurry of 2-6 dimethylphenoxyacetic acid (50 g, 0.28 mol) in 500 ml toluene followed by addition of 5 drops of DMF and stirred at room temperature for 30 min, then at 55° C. for 1.5 hours. The toluene was removed on a rotary evaporator and remaining volatiles were removed in vacuo to afford 2,6-dimethylphenoxyacetyl chloride as an amber oil, 55 grams, 100%.

[2S,3S,5S]-2-Amino-3-hydroxy-5-t-butyloxycarbonylamino-1,6-diphenylhexane×0.5 succinate (111.9 g, 0.25 mol) was charged to a 2L, 3-necked round-bottomed flask with mechanical stirring. NaHCO<sub>3</sub> (106 g, 1.26 mol), 600 ml H<sub>2</sub>O and 600 ml EtOAc were added and stirred vigorously until all solids were dissolved (15 minutes). Stirring was slowed and a solution of the 2,6-dimethyl-phenoxyacetyl chloride and EtOAc (100 ml) was

added in a narrow stream via addition funnel. After 30 min of stirring, starting materials were consumed (HPLC analysis) and the layers were separated. The aqueous layer was extracted with EtOAc, the organic layers were combined and washed with 200 ml of 1M NaOH, 200 ml of 10% HCl, 200 ml of brine, dried over MgSO<sub>4</sub>, filtered and concentrated to provide the desired product as a white solid.

C. (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-amino-1,6-diphenylhexane

(2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-(t-butyloxycarbonylamino)-1,6-diphenylhexane (175.1 g, 0.32 mol) and 500 ml CH<sub>2</sub>Cl<sub>2</sub> were mixed with stirring. CF<sub>3</sub>CO<sub>2</sub>H (249 ml, 3.2 mol) was added and stirred 20-25 minutes, then the reaction mixture was poured into a separatory funnel containing 1000 ml of water and 200 ml of CH<sub>2</sub>Cl<sub>2</sub>. The resulting mixture was shaken carefully and the layers were separated. The organic layer was washed again with 500 ml of water, then 3x500 ml of NaHCO<sub>3</sub> and finally 500 ml of brine. The organic solution was dried over MgSO<sub>4</sub>, filtered and concentrated to a golden oil that pulled into a foam 300 ml of diethyl ether was added to the crude product and shaken vigorously to dissolve. Within minutes solid began to crystallize and the mixture became thick. Enough diethyl ether was added to make the mixture stirrable and the mixture was stirred at room temperature for 1 hour. The solid was filtered and air dried to give the desired product as 115 g of white needles, 81% yield.

A solution of HCl/diethyl ether was added to the filtrate to precipitate the remaining product as the HCl salt. This pinkish solid was collected by filtration, taking care to keep the solid flooded with N<sub>2</sub> while it was wet with ether. When dry, transferred the amine salt to a separatory funnel and extracted with CH<sub>2</sub>Cl<sub>2</sub> and NaHCO<sub>3</sub> (aq). The organic layer was washed with brine, dried over MgSO<sub>4</sub>, concentrated and treated as above to afford an additional 15 g of the desired product, the total yield is 91%.

D. N-Carbonylbenzoyloxy-3-aminopropanol

To a 12 L 3-neck round bottom flask was added isopropyl acetate (6.5 L). The solvent was cooled to 0° C. in an ice-water bath and 3-amino-1-propanol (1.14 Kg, 15.1 mol, 2.15 eq) was added in one portion. To this rapidly stirring solution, benzyl chloroformate (1.20 Kg, 7.03 mol, 1.0 eq) was added dropwise over 2 h while maintaining the internal temperature of the flask between 10° C. and 15° C. After the addition was complete, the reaction mixture was allowed to stir between 10° C. and 15° C. for an additional 0.3 h after which time water (3.5 L) was added in one portion. The solution was then partitioned and washed with an additional 2x3.5 L of water. The organic layer was dried over potassium carbonate and concentrated to give a solid that was dissolved in excess isopropyl acetate and precipitated from solution by adding the compound to heptane. The solid was filtered under nitrogen to yield 1.20 Kg (82%) of the desired product as a colorless solid.

E. N-Carbonylbenzoyloxy-3-aminopropanol

335 mL of dimethylsulfoxide and 9 L of methylene chloride were combined and chilled to -48° C. 313 mL of oxalyl chloride was added over 25 minutes so that the temperature remained below -40° C. Cooled to -48° C., and added 500 grams of N-Cbz-3-amino-1-propanol dissolved in 1 L of methylene chloride so that the temperature remained below -40° C. Stirred for an additional hour at -45° C. 1325 mL of triethylamine was added at such a rate that the temperature remained below -40° C. After stirring an additional 15 minutes at -40° C., the mixture was allowed to warm to -30° C., then added 2.5 L of 20% aqueous potassium dihydrogen phosphate. Stirred for one hour, then

separated the layers, washed the organic layer with brine, and dried with magnesium sulfate. The resulting aldehyde was kept in solution at  $-20^{\circ}\text{C}$ . until needed.

F. N-(N-(Benzyloxycarbonyl-3-amino)-propyl)valine methyl ester

To a 5 L 3-neck round bottom flask was added the crude (unchromatographed) product of Example 24E (115 g, 0.555 mol, 1.0 eq) followed by addition of water (400 mL) and methanol (1600 mL). The reaction mixture was maintained at  $25^{\circ}\text{C}$ . throughout the course of the reaction. After the solution became homogeneous, (S)-Valine methyl ester hydrochloride (90.2 g, 0.538 mol, 0.97 eq) was added in one portion followed by rapid addition of sodium acetate trihydrate (151 g, 1.11 mol, 2.0 eq) and sodium cyanoborohydride (73.2 g, 1.17 mol, 2.1 eq) in said order. The reaction mixture was allowed to stir at room temperature for 0.5 h and was concentrated in vacuo to remove all methanol present. To this solution, saturated aq sodium bicarbonate (400 mL) was added and the mixture was extracted with isopropyl acetate (1 L). The organic layer was washed with water (2 $\times$ 400 mL), dried over sodium sulfate, and concentrated to yield 150 g of crude product, which was dissolved in isopropyl acetate (300 mL) and heptane (2400 mL). Dry HCl was bubbled in and an oily solid precipitated out of solution. The liquid was decanted away from the solid and was dissolved in dichloromethane (3 L). The solution was washed with water (600 mL) and saturated aq sodium bicarbonate (600 mL) and dried over sodium sulfate. It was concentrated in vacuo to yield 105 g (59%) of the desired product as a light yellow oil.

G. N-(3-amino)-propyl)valine methyl ester

To a 3 L flask was added the product of Example 24F (120 g, 0.372 mol) and methanol (1 L). This solution was allowed to stir in the presence of Raney Nickel (180 g) for 1 h. After removal of Raney Nickel by filtration, Pd(OH)<sub>2</sub> (24 g) was added and the solution was allowed to stir under 60 psi of a hydrogen atmosphere for 12 h. The solution was purged with nitrogen and repressurized with 60 psi of hydrogen for an additional 1 h. The solution was filtered and concentrated to give 63 g of an oil (90%). To this oil toluene (120 mL) was added and the solution was again concentrated in vacuo to give the desired product.

H. 2S-(1-tetrahydro-pyrimid-2-onyl)-3-methyl butanoic acid methyl ester

To a 5 L 3-neck round bottom flask with stir bar was added the crude product of Example 24G (150 g, 0.8 mol) and dichloromethane (3.2 L). Carbonyldiimidazole (232 g, 1.44 mol, 1.8 eq) was added slowly in portions over 25 min. The solution was allowed to stir at ambient temperature for 40 h. Water (200 mL) was added over 1 h with careful stirring until no more gas evolution occurred. A solution of 35% HCl was slowly added to the stirring solution until the solution became acidic. The solution was then partitioned and was washed with water (2 $\times$ 300 mL). The organic layer was dried over sodium sulfate and was concentrated to yield 126 g (74%) of the desired product as a colorless solid.

I. 2S-(1-tetrahydro-pyrimid-2-onyl)-3-methyl butanoic acid methyl ester

To a 12 L 3-neck round bottom flask with stir bar was added the product of Example 24H (126 g, 0.588 mol), water (1.3 L), and THF (3.9 L). The solution was cooled to  $0^{\circ}\text{C}$ . in an ice-water bath and lithium hydroxide monohydrate (74 g, 1.76 mol, 3.0 eq) was added in one portion with rapid stirring. The solution was allowed to stir at  $0^{\circ}\text{C}$ . for 14 h. It was then acidified to pH 11 by slow addition of 50% aq phosphoric acid and the THF was removed in vacuo. The aqueous phase was washed with isopropyl acetate (2 L) and

was subsequently acidified to pH by slow addition of 35% aq HCl. The aqueous layer was then extracted with ethyl acetate (5 $\times$ 2.2 L). The combined organic layers were concentrated to give the desired product (105 g) as a white solid.

The compound was then purified by addition of isopropyl acetate (500 mL) and ethanol (15 mL) and bringing the solution to a boil with rapid stirring until 50 mL of solvent had evaporated. The solution was cooled to  $0^{\circ}\text{C}$ . and filtered to give 92 g (75%) of pure desired product.

J. (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(1-tetrahydro-pyrimid-2-onyl)-3-methyl butanoyl]amino-1,6-diphenylhexane

In a 2 L, 3-necked round-bottomed flask were combined the product of Example 24C (100 g, 0.22 mol), the product of Example 24I (44.8 g, 0.22 mol) and 750 mL DMF and the mixture was cooled in an ice/water bath. HOBT (90.9 g, 0.67 mol), EDAC (86 g, 0.45 mol) and triethylamine (62.5 mL, 0.45 mol) were added and the ice bath was removed, allowing the reaction mixture to stir with warming to room temperature for 5 h. The reaction was diluted with 1000 mL of IPAC and quenched with 1000 mL of water. The mixture was shaken and separated, the aq. layer was extracted 1 $\times$ 400 mL IPAC, the organics were washed with 1 $\times$ 400 mL 10% HCl, 1 $\times$ 500 mL NaHCO<sub>3</sub>, diluted with 100 mL hexanes, then washed 4 $\times$ 500 mL water, and 1 $\times$ 500 mL brine, dried over MgSO<sub>4</sub>, filtered and concentrated to provide the desired product as a white foam.

#### EXAMPLE 25

(2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(1-tetrahydro-pyrimid-2,4-dionyl)-3-methylbutanoyl]amino-1,6-diphenylhexane

A. N-(2-Carbomethoxyethyl)-L-Valine t-butyl ester

To a solution of 1.73 g of L-Valine t-butyl ester in 10 mL of methanol was added 9.0 mL of methyl acrylate. The solution was heated to reflux overnight. Another 9.0 mL of methyl acrylate was added and continued the reflux for 24 h. The solvent was evaporated in vacuo and the crude product was purified by silica gel column chromatography (20% ethyl acetate in hexane) to provide 2.435 g of desired compound (93.9%). 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$ 0.91 (d, J=3.5 Hz, 3H), 0.93 (d, J=3.5 Hz, 3H), 1.47 (s, 9H), 1.85 (m, 1H), 2.47 (t, J=7 Hz, 2H), 2.68 (m, 1H), 2.81 (d, J=6 Hz, 1H), 2.95 (m, 1H), 3.68 (s, 3H). Mass spectrum: (M+H)<sup>+</sup>=260.

B. N-(2-Carboxamido)ethyl-L-Valine t-butyl ester

To a solution of 1.86 g of the product from Example 25A in 5 mL of THF was added 0.415 g of lithium hydroxide monohydrate in 10.8 mL of water. After 40 min, 10.8 mL of 1N HCl was added. The reaction mixture was evaporated to dryness and dry pyridine was added and evaporated to dryness two times. The residue was dissolved in 25 mL of acetonitrile and 0.62 mL of dry pyridine added. To this solution was added 2.02 g of N,N'-disuccinimidyl carbonate. The reaction mixture was stirred for 3.5 h. The solvent was removed in vacuo and 90 mL of THF added followed by 1.43 mL of conc. ammonium hydroxide. The reaction was allowed to go overnight. The reaction mixture was filtered and the filtrate concentrated in vacuo. The residue was dissolved in ethyl acetate and washed with sodium bicarbonate, brine and dried with anhydrous sodium sulfate. After filtering off the drying agent, the filtrate was concentrated in vacuo and the crude product was purified by silica gel column chromatography (5% MeOH in CH<sub>2</sub>Cl<sub>2</sub>) to give 1.19 g (68%) of desired compound. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>)  $\delta$ 0.95 (d, J=7 Hz, 3H), 0.97 (d, J=7 Hz, 3H), 1.48 (s, 9H), 1.93 (m, 1H), 2.37 (m, 2H), 2.65 (m, 1H), 2.95 (m, 2H), 5.30 (br s, 1H), 7.85 (br s, 1H). Mass spectrum: (M+H)<sup>+</sup>=245.

C. 2S-(1-Tetrahydro-pyrimid-2,4-dionyl)-3-methylbutanoic acid t-butyl ester

A solution of 0.92 g of the product from Example 25B in 10 ml of THF and 1.83 g of carbonyldiimidazole (CDI) was refluxed for 26 h. Then 1.83 g of CDI was again added and the solution was refluxed for 72 h more. The solvent was evaporated in vacuo and the residue was dissolved in ethyl acetate and washed with water, satd. sodium bicarbonate, dilute hydrochloric acid and then brine. The organic layer was dried, filtered and conc. in vacuo. The crude product was purified by silica gel column chromatography (2% to 5% MeOH in  $\text{CH}_2\text{Cl}_2$ ) to give 0.54 g (52%) of desired compound. 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.96 (d, J=7 Hz, 3H), 1.05 (d, J=7 Hz, 3H), 1.48 (s, 9H), 2.20 (m, 1H), 2.66 (m, 2H), 3.43 (m, 1H), 3.75 (m, 1H), 4.63 (d, J=9 Hz, 1H), 7.35 (br s, 1H). Mass spectrum: (M+H) $^+$ =271.

D. 2S-(1-Tetrahydro-pyrimid-2,4-dionyl)-3-methylbutanoic acid

A solution of 0.53 g of the compound from Example 25C in 5 ml of trifluoroacetic acid was stirred at 0° C. for 1.25 h. Solvent was evaporated in vacuo, dried and purified by silica gel column chromatography (2% MeOH/4% HOAc in  $\text{CH}_2\text{Cl}_2$ ) to give 0.36 g of desired compound. 300 MHz  $^1\text{H}$  NMR ( $\text{DMSO}-d_6$ )  $\delta$  0.86 (d, J=7 Hz, 3H), 0.97 (d, J=7 Hz, 3H), 2.15 (m, 1H), 3.40 (m, 4H), 4.39 (d, J=10 Hz, 1H). Mass spectrum: (M+H) $^+$ =215.

E. (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(1-tetrahydro-pyrimid-2,4-dionyl)-3-methylbutanoyl]amino-1,6-diphenylhexane

Coupling of the amino compound from Example 1N with the acid from Example 25D using standard coupling procedure (EDAC in DMF) provided the desired compound (68%). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.83 (d, J=7 Hz, 3H), 0.88 (d, J=7 Hz, 3H), 1.80 (m, 2H), 2.20 (s, 6H), 2.40 (m, 1H), 2.58 (m, 1H), 2.80 (m, 1H), 2.92 (m, 1H), 3.05 (m, 3H), 3.65 (d, J=5 Hz, 1H), 3.83 (m, 1H), 4.20 (m, 5H), 6.18 (d, J=9 Hz, 1H), 7.0-7.38 (m, 14H). Mass spectrum: (M+H) $^+$ =643.

#### EXAMPLE 26

(2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5[2S-(4-aza-1-tetrahydro-pyrimid-2-onyl)-3-methyl-butanoyl]amino-1,6-diphenylhexane

A. N(1)-t-butyloxycarbonyl-N(2)-allyl hydrazine

To a solution of 18.18 g of t-butyloxycarbonyl protected hydrazine in 50 ml of acetonitrile was added 19.0 g of potassium carbonate, followed by 11.9 ml of allyl bromide. The reaction mixture was heated at reflux for a total of 3 h, filtered and conc. in vacuo. The residue was dissolved in ethyl acetate and washed with satd. sodium bicarbonate and dried with anhydrous sodium sulfate and filtered. After concentration in vacuo, the crude product was purified by silica gel column chromatography (20% EtOAc/hexane) to give 4.47 g of desired compound. 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  1.45 (s, 9H), 3.46 (m, 2H), 4.0 (br s, 1H), 5.10 (m, 2H), 5.83 (m, 1H), 6.0 (br s, 1H). Mass spectrum: (M+H) $^+$ =173.

B. N(1)-t-butyloxycarbonyl-N(2)-allyl-N(2)-benzyloxycarbonyl hydrazine

To a solution of 4.8 g of the compound from Example 26A in 15 ml of DMF was added 4.69 g of benzyloxycarbonyloxy-succinimide. The reaction mixture was stirred at RT for 72 h and the solvent was evaporated in vacuo. The residue was dissolved in ethyl acetate, washed with satd. sodium bicarbonate and dried with anhydrous sodium sulfate. The crude product obtained after concentration was purified by silica gel column chromatography (20%

to 50% EtOAc in hexane) and provided 5.27 g of desired compound. 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  1.43 (br s, 9H), 4.15 (br s, 2H), 5.18 (s, 2H), 5.20 (m, 2H), 5.82 (m, 1H), 6.39 (br s, 1H), 7.36 (m, 5H). Mass spectrum: (M+H) $^+$ =307.

C. N(1)-t-butyloxycarbonyl-N(2)-formylmethyl-N(2)-benzyloxycarbonyl hydrazine

A solution of 6.5 g of the compound from Example 26B in 100 ml of methanol was cooled with a dry ice/acetone bath. Ozone was bubbled in for 1.75 h until a pale blue color persisted. Air was passed through the solution for 10 min and then 15.6 ml of dimethyl sulfide was added and the reaction mixture was allowed to warm gradually to RT overnight. Solvent was evaporated in vacuo and the residue was dissolved in ethyl acetate and washed with water, then brine several times. The organic layer was dried with anhydrous sodium sulfate, filtered and conc. in vacuo to provide 7.2 g of the desired compound. 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  1.40 (br s, 9H), 4.35 (m, 2H), 5.20 (s, 2H), 6.65 (br s, 1H), 7.36 (s, 5H), 9.70 (br s, 1H). Mass spectrum: (M+NH $_4$ ) $^+$ =326.

D. N-[2-(N(2)-benzyloxycarbonyl-N(1)-t-butyloxycarbonylhydrazinyl)ethyl-L-Valine methyl ester

To a solution of 7.2 g of the compound from Example 26C in 100 ml of methanol was added 3.55 g of L-valine methyl ester hydrochloride, followed by 3.48 g of sodium acetate and 1.33 g of sodium cyanoborohydride. The reaction mixture was stirred at RT overnight. The mixture was filtered and concentrated in vacuo. The crude product was purified by silica gel column chromatography (2% MeOH in  $\text{CH}_2\text{Cl}_2$ ) to provide 5.8 g of desired compound. 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.90 (d, J=6 Hz, 6H), 1.43 (br s, 9H), 1.87 (m, 1H), 2.60-3.0 (m, 4H), 3.72 (s, 3H), 5.18 (s, 2H), 7.37 (m, 5H). Mass spectrum: (M+H) $^+$ =424.

E. 2S-[4-benzyloxycarbonylaza-1-tetrahydro-pyrimid-2-onyl]-3-methyl-butanoic acid methyl ester

A solution of 2.4 g of the compound from Example 26D in 20 ml of HCl in dioxane was stirred at RT under argon for 1 h. Solvent was evaporated in vacuo and the residue was washed with satd. sodium bicarbonate and extracted with ethyl acetate. The organic layer was dried, filtered and concentrated in vacuo. The crude product was dissolved in 28 ml of  $\text{CH}_2\text{Cl}_2$  and 0.56 g of carbonyldiimidazole was added. The solution was left at RT for 48 h. The solvent was removed and the residue was purified by silica gel column chromatography (10% to 30% EtOAc in  $\text{CH}_2\text{Cl}_2$ ) to give 0.78 g of desired compound. 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.90 (d, J=7 Hz, 3H), 0.98 (d, J=7 Hz, 3H), 2.17 (m, 1H), 3.34 (m, 1H), 3.61 (m, 2H), 3.72 (s, 3H), 3.98 (m, 1H), 4.71 (d, J=10 Hz, 1H), 5.20 (s, 2H), 6.72 (br s, 1H), 7.38 (m, 5H). Mass spectrum: (M+H) $^+$ =350.

F. 2S-(4-Benzyloxycarbonylaza-1-tetrahydro-pyrimid-2-onyl)-3-methyl-butanoic acid

Hydrolysis of 0.78 g of the compound from Example 26E using lithium hydroxide in aqueous dioxane provided 0.35 g of desired compound. 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.85 (d, J=7 Hz, 3H), 1.04 (d, J=7 Hz, 3H), 2.40 (m, 1H), 3.40 (m, 1H), 3.50 (m, 1H), 3.80 (m, 2H), 3.95 (d, J=10 Hz, 1H), 5.20 (s, 2H), 7.30 (s, 1H), 7.36 (s, 5H). Mass spectrum: (M+H) $^+$ =336.

G. (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5[2S-(benzyloxycarbonylaza-1-tetrahydro-pyrimid-2-onyl)-3-methyl-butanyl]amino-1,6-diphenylhexane

Coupling of the amino compound from Example 1N with the acid from Example 26F using standard coupling procedure (EDAC/DMF) provided the desired compound (36%). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.72 (d, J=7 Hz, 3H), 0.83 (d,



## 59

J=7 Hz, 3H), 2.20 (s, 6H), 2.65 (m, 1H), 2.83 (m, 1H), 3.0–3.10 (m, 4H), 3.90 (m, 1H), 6.65 (m, 1H), 7.0–7.35 (m, 18H). Mass spectrum: (M+H)<sup>+</sup>=764.

H. (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(4-aza-1-tetrahydro-pyrimid-2-oxyl)-3-methyl-butanoyl]amino-1,6-diphenylhexane

Removal of the benzyloxycarbonyl protecting group of the compound from Example 26G by hydrogenolysis using 10% palladium on carbon as catalyst provided the desired compound. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) 80.83 (d, J=4.5 Hz, 3H), 0.86 (d, J=4.5 Hz, 3H), 1.80 (m, 1H), 2.20 (s, 6H), 2.58 (m, 1H), 2.67 (m, 1H), 2.90 (m, 2H), 3.0 (m, 2H), 3.80 (m, 1H), 4.20 (m, 3H), 6.72 (m, 1H), 7.0 (m, 2H), 7.20 (m, 11H). Mass spectrum: (M+H)<sup>+</sup>=630.

## EXAMPLE 27

(2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(1-tetrahydro-pyrimid-2-onyl)-3-methylbutanoyl]amino-1-phenyl-6-methylheptane  
A. (2S,3S,5S)-2-Amino-3-hydroxy-5-(t-butyloxycarbonylamino)-1-phenyl-6-methylheptane

Following the procedures described in Example 1A to Example 1F-1, but substituting isopropylmagnesium chloride for benzylmagnesium chloride in Example 1C provided the desired compound. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) 80.88 (d, J=7 Hz, 3H), 0.92 (d, J=7 Hz, 3H), 1.43 (s, 9H), 1.50–1.80 (m, 4H), 2.55 (m, 1H), 2.90 (m, 1H), 3.0 (m, 1H), 3.54 (m, 2H), 4.62 (m, 1H), 7.30 (m, 5H). Mass spectrum: (M+H)<sup>+</sup>=337.

B. (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-(t-butyloxycarbonylamino)-1-phenyl-6-methylheptane

Coupling of the amino compound from Example 27A with the acid from Example 1H using standard EDAC coupling procedure provided the desired compound. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) 80.85 (d, J=7 Hz, 3H), 0.90 (d, J=7 Hz, 3H), 1.43 (s, 9H), 1.70 (m, 2H), 2.20 (s, 6H), 3.03 (d, J=8 Hz, 2H), 3.42 (m, 1H), 3.80 (m, 1H), 4.20 (m, 2H), 4.22 (s, 2H), 4.55 (m, 1H), 7.0 (m, 3H), 7.30 (m, 5H). Mass spectrum: (M+H)<sup>+</sup>=499.

C. (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-amino-1-phenyl-6-methylheptane

Removal of the t-butyloxycarbonyl protecting group of the compound from Example 27B using the procedure of Example 1N provided the desired compound. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) 80.90 (d, J=3 Hz, 3H), 0.94 (d, J=3 Hz, 3H), 1.60 (m, 4H), 2.20 (s, 6H), 2.85 (m, 2H), 3.0 (m, 1H), 3.85 (m, 1H), 4.20 (m, 2H), 7.0 (m, 2H), 7.35 (m, 6H). Mass spectrum: (M+H)<sup>+</sup>=399.

D. (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(1-tetrahydro-pyrimid-2-onyl)-3-methylbutanoyl]amino-1-phenyl-6-methylheptane

Coupling of the amino compound from Example 27C with the acid from Example 2A using standard coupling procedure (EDAC/DMF) provided the desired compound. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) 80.88 (m, 12H), 1.67 (m, 2H), 1.90 (m, 1H), 2.20 (s, 6H), 3.0 (d, J=8 Hz, 2H), 3.22 (m, 4H), 3.67 (m, 1H), 3.77 (m, 1H), 4.20 (s, 2H), 4.40 (m, 1H), 4.76 (m, 1H), 7.0 (m, 3H), 7.30 (m, 5H). Mass spectrum: (M+H)<sup>+</sup>=581.

## EXAMPLE 28

(2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(1-tetrahydro-pyrimid-2,4-dionyl)-3-methylbutanoyl]amino-1-phenyl-6-methylheptane

Coupling of the amino compound from Example 27C with the acid from Example 25D using standard coupling

## 60

procedure (EDAC/DMF) provided the desired compound. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) 80.83 (d, J=7 Hz, 6H), 0.92 (t, J=7 Hz, 6H), 1.73 (m, 2H), 2.18 (s, 6H), 2.30 (m, 1H), 2.62 (m, 2H), 3.03 (m, 2H), 3.45 (m, 1H), 3.55 (m, 1H), 4.72 (m, 2H), 4.20 (m, 4H), 6.40 (br d, J=9 Hz, 1H), 7.0 (m, 3H), 7.30 (m, 5H), 7.62 (br s, 1H). Mass spectrum: (M+H)<sup>+</sup>=595.

## EXAMPLE 29

(2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(1-piperazin-2,3-dionyl)-3-methylbutanoyl]amino-1,6-diphenylhexane

A. 2S-(4-benzyloxycarbonyl-1-piperazin-2,3-dionyl)-3-methylbutanoic acid methyl ester

To a solution of 0.77 g of N-(benzyloxycarbonylamino)-ethyl-L-Valine methyl ester in 20 ml of toluene and 10 ml of acetonitrile was added 0.79 g of oxalyl diimidazole. The reaction mixture was kept at 50° C. for 24 h and 0.2 g of oxalyl diimidazole was added. The reaction mixture was kept at 50° C. for another 72 h. Evaporation of solvent in vacuo and purification of the crude product by silica gel column chromatography (10% EtOAc in CH<sub>2</sub>Cl<sub>2</sub>) provided the desired compound. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) 80.95 (d, J=7 Hz, 3H), 1.03 (d, J=7 Hz, 3H), 2.20 (m, 1H), 3.60 (m, 1H), 3.73 (s, 3H), 3.85 (m, 1H), 4.0 (m, 1H), 4.10 (m, 1H), 4.90 (d, J=10 Hz, 1H), 5.36 (s, 2H), 7.20 (m, 5H). Mass spectrum: (M+NH<sub>4</sub>)<sup>+</sup>=380.

B. 2S-(1-piperazin-2,3-dionyl)-3-methylbutanoic acid methyl ester

Removal of the benzyloxycarbonyl protecting group of the compound from Example 29A by hydrogenolysis using 10% Pd/C as catalyst provided the desired compound. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) 80.95 (d, J=7 Hz, 3H), 1.03 (d, J=7 Hz, 3H), 2.20 (m, 1H), 3.50 (m, 3H), 3.74 (s, 3H), 3.83 (m, 1H), 5.0 (d, J=10 Hz, 1H), 7.30 (br s, 1H). Mass spectrum: (M+H)<sup>+</sup>=229.

C. (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5[2S-(1-piperazin-2,3-dionyl)-3-methylbutanoyl]amino-1,6-diphenylhexane

The methyl ester from Example 29B was hydrolyzed using the procedure of Example 1M and the resulting acid was coupled to the amino compound from Example 1N using standard EDAC coupling procedure to provide the desired compound. 300 MHz <sup>1</sup>H NMR (CDCl<sub>3</sub>) 80.82 (d, J=6 Hz, 3H), 0.85 (d, J=6 Hz, 3H), 1.80 (m, 2H), 2.18 (m, 1H), 2.20 (s, 6H), 2.65 (m, 1H), 2.82–3.0 (m, 4H), 3.30 (m, 3H), 3.70 (m, 1H), 3.82 (m, 1H), 4.22 (m, 3H), 4.54 (d, J=10 Hz, 1H), 6.30 (br s, 1H), 6.65 (br d, 1H), 7.0–7.30 (m, 13H). Mass spectrum: (M+H)<sup>+</sup>=643.

## EXAMPLE 30

(2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5[2S-(4-aza-4,5-dehydro-1-pyrimid-2-onyl)-3-methyl-butanoyl]amino-1,6-diphenylhexane

A. 2S-(4-Aza-4,5-dehydro-1-pyrimid-2-onyl)-3-methylbutanoic acid

From the hydrolysis product mixture of Example 26F, the desired product was isolated after column chromatography (5% MeOH/5% AcOH in CH<sub>2</sub>Cl<sub>2</sub>) in 12.5% yield. 300 MHz <sup>1</sup>H NMR (CD<sub>3</sub>OD) 80.93 (d, J=7 Hz, 3H), 1.04 (d, J=7 Hz, 3H), 2.20 (m, 1H), 3.92 (dd, J=15, 3 Hz, 1H), 4.09 (dd, J=15, 3 Hz, 1H), 4.50 (d, J=10 Hz, 1H), 6.95 (t, J=3 Hz, 1H). Mass spectrum: (M+H)<sup>+</sup>=334.

B. (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5[2S-(4-aza-4,5-dehydro-1-pyrimid-2-oxyl)-3-methyl-butanoyl]amino-1,6-diphenylhexane

Coupling of the compound from Example 1N with the acid from Example 30A using standard coupling procedure



## 61

(EDAC/DMF) provided the desired compound (70%). 300 MHz  $^1\text{H}$  NMR ( $\text{CDCl}_3$ )  $\delta$  0.80 (d,  $J=7$  Hz, 3H), 0.85 (d,  $J=7$  Hz, 3H), 1.75 (m, 2H), 2.15 (m, 1H), 2.20 (s, 6H), 2.62 (m, 1H), 2.85 (m, 1H), 3.02 (m, 2H), 3.55 (m, 2H), 3.80 (m, 1H), 4.20 (m, 4H), 6.38 (br d, 1H), 6.72 (t,  $J=3$  Hz, 1H), 7.0 (m, 3H), 7.22 (m, 10H), 7.63 (s, 1H). Mass spectrum:  $(\text{M}+\text{H})^+=628$ .

## EXAMPLE 31

*cis*-N-tert-butyl-decahydro-2-[2(R)-hydroxy-4-phenyl-3(S)-(2S-(1-tetrahydropyrimid-2-onyl)-3-methylbutanoyl)aminobutyl]-(4aS,8aS)-isoquinoline-3(S)-carboxamide

The title compound can be prepared by coupling the product of Example 2A with *cis*-N-tert-butyl-decahydro-2-[2(R)-hydroxy-4-phenyl-3(S)-aminobutyl]-(4aS,8aS)-isoquinoline-3(S)-carboxamide (disclosed in PCT Patent Application No. WO9426749 and U.S. Pat. No. 5,196,438, issued Mar. 23, 1993, both of which are incorporated herein by reference) using a standard coupling procedure (EDAC in DMF).

## EXAMPLE 32

*cis*-N-tert-butyl-decahydro-2-[2(R)-hydroxy-4-thiophenyl-3(S)-(2S-(1-tetrahydropyrimid-2-onyl)-3-methylbutanoyl)aminobutyl]-(4aS,8aS)-isoquinoline-3(S)-carboxamide

The title compound can be prepared by coupling the product of Example 2A with *cis*-N-tert-butyl-decahydro-2-[2(R)-hydroxy-4-thiophenyl-3(S)-aminobutyl]-(4aS,8aS)-isoquinoline-3(S)-carboxamide (disclosed in PCT Patent Application No. WO95/09843, published Apr. 13, 1995 and U.S. Pat. No. 5,484,926, issued Jan. 16, 1996, both of which are incorporated herein by reference) using a standard coupling procedure (EDAC in DMF).

## EXAMPLE 33

4-Amino-N-((2*syn*,3*S*)-2-hydroxy-4-phenyl-3-(2S-(1-tetrahydropyrimid-2-onyl)-3-methylbutanoyl)amino)-butyl)-N-isobutylbenzenesulfonamide

The title compound can be prepared by coupling the product of Example 2A with 4-Amino-N-((2*syn*,3*S*)-2-hydroxy-4-phenyl-3-(2S-(1-tetrahydropyrimid-2-onyl)-3-methylbutanoyl)amino)-butyl)-N-isobutylbenzenesulfonamide (disclosed in PCT Patent Application No. WO94/05639, published Mar. 17, 1994, which is incorporated herein by reference) using a standard coupling procedure (EDAC in DMF).

## EXAMPLE 34

A. Alternative Preparation of (2*S*,3*S*,5*S*)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-amino-1,6-diphenylhexane

To a 1 liter 3-necked flask equipped with a mechanical stirrer, J-Kem® temperature probe, dropping addition funnel, and dry nitrogen line was charged 30.0 g (54.87 mmol) of the product of Example 11 and 120 mL of acetonitrile. The resultant slurry was cooled to 0–5° C. and 54.1 g (549 mmol) of 37% aqueous hydrochloric acid was slowly added, maintaining an internal temperature of not more than +5° C. during the addition. The reaction mixture was stirred at 0–5° C. and samples were taken periodically

## 62

to analyze for consumption of starting material by HPLC (Zorbax C-8 column, mobile phase=1:1 acetonitrile/0.1% aqueous phosphoric acid, flow rate=1.5 mL/minute, detection at 205 nm).

After stirring for 3 hours the reaction was complete. The reaction was quenched by the slow addition of 105 mL of 20% aqueous sodium hydroxide, again maintaining an internal temperature of not more than +5° C. during the addition. Once the pH of the reaction mixture was confirmed to be basic, the solution was warmed to room temperature. Ethyl acetate (180 mL) was added with mixing and, after settling, the lower aqueous phase was separated and discarded. The organic phase was then washed once with 105 mL of 10% aqueous sodium chloride.

The title compound was crystallized from 12 mL/g of 1:2 ethyl acetate/heptane (yield 80–85%).

B. Alternative Preparation of (2*S*,3*S*,5*S*)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-amino-1,6-diphenylhexane

To a round-bottom 3-neck 1 L flask with attached mechanical stirrer and thermometer was added the product of Example 11 (51.6 g, 0.095 mol) and 100 mL of glacial acetic acid. To the resulting suspension was added 35% aqueous HCl (10.5 mL, 0.103 mol) in 1 portion. The solution was allowed to stir under a  $\text{N}_2$  atmosphere for 3 h, at which time an additional 10.5 mL of 35% aqueous HCl was added. After an additional 1.5 h, the reaction flask was immersed in an ice bath and a NaOH solution (16 mL, 0.198 mol) was added at a rate to maintain the internal temperature of the flask below 30° C. Water (200 mL) was added and the mixture extracted with 4×200 mL of Isopropyl Acetate. The combined organic layers were washed with 2.5M NaOH (2×200 mL), 100 mL  $\text{H}_2\text{O}$ , brine, dried over  $\text{Na}_2\text{SO}_4$ , filtered and evaporated in vacuo to yield 39.7 g (94% crude) of product as a colorless solid in greater than 95% purity by HPLC. The product could be further purified by dissolving in 200 mL isopropanol heated over a steam bath, allowed to cool with stirring to 0–5° C. to yield 32.2 g (76%) of the desired product, m.p.=131° C.

## EXAMPLE 35

Alternative Preparation of 2S-(1-Tetrahydropyrimid-2-onyl)-3-methyl butanoic acid

A. N-phenoxy carbonyl-L-valine

N-phenoxy carbonyl-L-valine may be prepared according to the procedures disclosed in U.S. patent application Ser. No. 08/08/671,893, filed Jun. 28, 1996, which is incorporated herein by reference, and which include the following method.

Into a reactor equipped with an overhead stirrer, chiller, pH probe and thermocouple was added lithium chloride (15.6 kg, 368 moles), L-valine (26.0 kg, 222 moles), neutral alumina (8.1 kg, 150 mesh, Aldrich) and 156 kg of distilled water. The heterogeneous mixture was stirred and cooled to –14° C.±5° C. The pH was adjusted to 10.1 with 10% aqueous lithium hydroxide. Precooled (–20° C.) phenylchloroformate (36.6 kg, 234 moles) was added while maintaining a temperature of not more than –9° C. and the pH was controlled during the reaction (maintaining a pH within the range of 9.5 to 10.5 with a target of 10.0) using a continuous addition of 10% aqueous lithium hydroxide.

The reaction was stirred for 2 hours at about –14° C. The reaction mixture was filtered through Celite and the filter cake was washed with 42 kg of distilled water. The aqueous filtrate was extracted with methyl t-butyl ether (65 kg) to remove residual phenol. The aqueous phase was then cooled

to 0–5° C. and mixed with 200 kg of toluene. The stirred biphasic solution was adjusted to pH 1.8–2.0 with 25% (w/w) sulfuric acid. The toluene layer was concentrated at not more than 40° C. to approximately 120 L, filtered (30 kg rinse of toluene) and then concentrated again at not more than 40° C. to approximately 120 L.

To the resulting solution was added 44.2 kg of heptane and the resulting solution was heated to 40° C.±10° C. for 15 minutes. The heat was removed and the solution was seeded and stirred overnight. The product crystallized on the walls of the reactor and was resuspended in 80 kg of toluene, reconcentrated at not more than 50° C. to approximately 130 L, then 45.2 kg of heptane was added. The resulting solution was then heated to 40° C.±10° C. for not less than 15 minutes and then cooled at not more than 20° C./hour to 18° C.±5° C. After not less than 12 hours, the resulting white slurry was cooled to 14° C.±5° C. and stirred for not less than 3 hours. The white slurry was filtered and the solid washed with 41 kg of 1:1 toluene/heptane. The solid product was dried at not more than 50° C. to provide the desired product (47.8 kg) as a white powder.

B. 2S-(1-Tetrahydro-pyrimid-2-onyl)-3-methyl butanoic acid

A mixture of N-phenoxy-carbonyl-L-valine (25 g, 0.106 mol) and 3-chloropropylamine hydrochloride (15.2 g, 0.116 mol) in THF (250 mL) was cooled to 2° C. Sodium hydroxide (12.7 g, 0.318 mol) was added to the stirring suspension. After about 35 minutes, a slow exotherm to 10° C. occurred. The reaction was stirred at less than 10° C. for 2 hours. A solution of potassium t-butoxide (29.6 g, 0.265 mol) in 125 mL of THF was added over 10 minutes, followed by a 20 mL THF rinse. The temperature of the reaction mixture was allowed to rise to 20° C. during the addition. The reaction mixture was stirred at room temperature for 19 hours.

The reaction mixture was quenched with 200 mL of distilled water and then acidified to pH 9 using 26.2 g of concentrated hydrochloric acid, keeping the temperature below 30° C. The aqueous layer was separated and washed with another 125 mL of THF. Ethanol 3A (75 mL) was added to the separated aqueous layer and the mixture was acidified to pH<3 with 12.3 g of concentrated hydrochloric acid, keeping the temperature below 25° C. The acidified mixture was extracted twice with ethyl acetate (250 mL and 150 mL). The combined organic layers were evaporated to dryness on a rotary evaporator at a temperature below 50° C. The residual solids were flushed with 250 mL of ethyl acetate. The residual solid was dissolved in 150 mL of ethanol 3A at reflux temperature and filtered through a 5 g Darco-G60 bed over filteraid, followed by a 50 mL hot ethanol rinse. The filtrate was evaporated to dryness on a rotary evaporator at a temperature below 50° C. Ethyl acetate (75 mL) was added to the residue and refluxed for 30 minutes. The suspension was cooled to below 10° C. for 2 hours. The solid was collected by filtration and washed with 20 mL of cold ethyl acetate (5–8° C.). After drying at 40° C. for 72 hours the desired product was obtained as a white solid (15.6 g, 74%).

#### EXAMPLE 36

##### Alternative Preparation of 2S-(1-Tetrahydro-pyrimid-2-onyl)-3-methyl butanoic acid

A mixture of phenoxy-carbonyl-L-valine (250 g, 1.05 mol; prepared according to the procedure disclosed in U.S. patent application Ser. No. 08/671,893, filed Jun. 28, 1996, which is incorporated herein by reference) and

3-chloropropylamine hydrochloride (151 g, 1.16 mol) in THF (2.5 L) is cooled to 2° C. Sodium hydroxide (127 g, 3.2 mol) is added to the stirring suspension. After about 45 minutes, a rapid exotherm to 10° C. occurs. The reaction is stirred at 1–5° C. for 2 hours. Additional 3-chloropropylamine (10 g, 0.08 mol) is added and stirring is continued for 1 hour. A solution of potassium t-butoxide (296 g, 2.6 mol) in 1.25 L of THF is then added over 30 minutes, followed by a 100 mL THF rinse. The temperature of the reaction mixture was allowed to rise to 20° C. during the addition. The reaction mixture is stirred at room temperature for 12–16 hours.

The reaction mixture is quenched with 2 L of distilled water and cooled to 12° C. and then acidified to pH 9 using 258 g (2.6 mol) of concentrated hydrochloric acid, keeping the temperature below 30° C. The aqueous layer is separated. Ethanol 3A (625 mL) is added to the separated aqueous layer and the mixture was acidified to pH<3 with 116 g (1.2 mol) of concentrated hydrochloric acid, keeping the temperature below 25° C. The acidified mixture is extracted twice with ethyl acetate (2.5 L and 1.5 L). The combined organic layers are evaporated to dryness on a rotary evaporator at a temperature below 50° C. The residual solids are dried by repeated distillation with ethyl acetate (4×1 L). The residual solid is dissolved in 750 mL of methanol and treated with decolorizing carbon (10 g Darco-G60 bed) at room temperature overnight. The carbon is removed by filtration through diatomaceous earth. The filtrate is evaporated to dryness on a rotary evaporator at a temperature below 50° C. Ethyl acetate (1.5 L) is added to the residue and approximately 500 mL is removed on the rotary evaporator. The suspension is cooled to below 10° C. for >1 hour. The solid is collected by filtration and washed with 2×100 mL of cold ethyl acetate (5–8° C.). After drying at 50° C. for 72 hours the desired product is obtained.

#### EXAMPLE 37

##### Alternative Preparation of 2S-(1-Tetrahydro-pyrimid-2-onyl)-3-methyl butanoic acid

##### A. (S)-(-)-N-carboxymethyl-N(β)-cyanoethyl Valine

To a 5 L 3-neck round bottom flask with a mechanical stirrer was added (S)-valine (170.1 g, 1.45 mol) and water 145 mL. The solution was cooled to 20° C. with an ice-water bath and a solution of 1.0 eq of KOH (93 g of 88% solid KOH) in 180 mL water was added dropwise over 20 minutes. After the addition was complete, acrylonitrile 1.0 eq (95.5 mL) was added dropwise with vigorous stirring while maintaining the internal temperature of the flask below 5° C. The solution was allowed to stir between 0–5° C. for 4.5 h. Water (600 mL) was added and a pH meter was inserted into the solution. Methyl chloroformate 1.0 eq (112 mL) was added dropwise while maintaining the pH of the solution between 9.5 and 10.5, with solution of 10% aq KOH. The addition took place over 0.5 h. The solution was then acidified with conc. HCl and phosphoric acid to pH 2 and was subsequently extracted with 2 L of isopropyl acetate. The organic layer was concentrated under vacuum to give 201 g (60%) of a colorless oil that solidified on standing. mp 65–66° C. Optical rotation sodium D line at 25° C. –0.44 (c=4.3, ethanol). IR (cm<sup>-1</sup>, CDCl<sub>3</sub>) 2960, 1740, 1710, 1470. <sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>); (δ TMS, 0.00) ppm 0.93 (d, 3H J=7 Hz); 1.07 (d, 3H J=6 Hz); 2.16–2.36 (m, 1H); 2.62–2.86 (m, 2H); 3.62 (t, 2H, J=7.5 Hz); 3.77 (s, 1.2H rotamer); 3.82 (s, 1.8H rotamer); 4.15–4.30 (m, 1H); 9.76–9.96 (brs, 1H). ms (DCI/NH<sub>3</sub>) 246, 185, 146, 125. FAB hrms: cal for (M+H<sup>+</sup>): 229.1188; found: 229.1185.

B. 2S-(1-Tetrahydro-pyrimid-2-onyl)-3-methyl butanoic acid

To a 2 L pressure vial was added the product of Example 37A (190 g, 0.833 mol), water (900 mL) and KOH (3 eq, 140 g). To this solution at ambient temperature was added Nickel Aluminum alloy (Raney-Type) 75 g. Note that this is the unactivated form. The solution was sealed in a pressure bomb and was placed under 60 psi of hydrogen. The resulting solution was heated to 100° C. for 4 h. After cooling the solution to ambient temperature, it was filtered, washed with 900 mL of dichloromethane and subsequently acidified to pH 1. The aqueous solution was extracted with 2x900 mL of dichloromethane. The combined organic layers were concentrated to give 120 g of crude product which was slurried in isopropyl acetate to give 70 g of the title compound.

EXAMPLE 38

Alternative Preparation of (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(1-tetrahydro-pyrimid-2-onyl)-3-methyl butanoyl]amino-1,6-diphenylhexane

A-1, 2S-(1-Tetrahydro-pyrimid-2-onyl)-3-methyl butanoyl chloride

2S-(1-Tetrahydro-pyrimid-2-onyl)-3-methyl butanoic acid (17.6 g, 87.9 mmole) was slurried in THF (240 mL) and cooled to <5° C. Thionyl chloride (14.3 g, 120 mmole) was added over 5 minutes (exothermic). The slurry was stirred at 20° C. for 70 min. until complete by HPLC (samples quenched into methanol). THF was removed by rotary evaporation; heptane (90 mL) was added and removed by rotary evaporation, yielding a wet solid mass. The material was slurried in DMF (85 mL).

A-2. Alternative Preparation of 2S-(1-Tetrahydro-pyrimid-2-onyl)-3-methyl butanoyl chloride

2S-(1-Tetrahydro-pyrimid-2-onyl)-3-methyl butanoic acid (39.6 g, 198 mmole) was slurried in THF (590 mL) and cooled to 1° C. Thionyl chloride (28.3 g, 238 mmole) was added over 5 minutes (exothermic). The slurry was stirred at 20° C. for 2 hours. THF was removed on the rotary evaporator; THF (200 mL) was added and removed on the rotary evaporator, yielding a wet solid mass. The material was slurried in DMF (225 mL).

B-1. (2S,3S,5S)-2-N,N-dibenzylamino-3-hydroxy-5-[2S-(1-tetrahydro-pyrimid-2-onyl)-3-methyl butanoyl]amino-1,6-diphenylhexane

(2S,3S,5S)-2-N,N-dibenzylamino-3-hydroxy-5-amino-1,6-diphenylhexane (ca. 83 mmole, U.S. Pat. No. 5,491,253, issued Feb. 13, 1996, which is incorporated herein by reference) and imidazole (8.2 g, 120 mmole) were dissolved in ethyl acetate (350 mL, KF<0.1%) and cooled to 2° C. The slurried product of Example 38A-1 was added (exothermic, maximum temp. was 10° C.), followed by a DMF rinse (15 mL). The reaction was stirred cold initially then allowed to slowly warm to room temperature and stirred overnight.

The reaction was quenched with 100 mL water and stirred 30 minutes. The organic layer was separated and washed with 3x125 mL 5% NaCl. The organic solution was filtered and concentrated on rotary evaporator to a thick syrup, 62 g. HPLC purity approx. 85% (peak area). Isomer content approx. 11.2%.

CIMS (NH<sub>3</sub>) m/z 647 (M+H)<sup>+</sup>.

<sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ 7.35–7.13 (m, 10H), 7.13–7.06 (m, 1H), 6.87 (br d, 1H), 5.22 (br s, 1H), 4.28 (d, 1H), 4.20–4.05 (m, 1H), 3.95 (d, 2H), 3.65–3.56 (m, 1H), 3.37 (d, 2H), 3.12–2.89 (m, 5H), 2.83–2.53 (m, 4H), 2.23–2.08 (m, 1H), 1.74–1.40 (m, 4H), 0.87–0.75 (m, 6H).

<sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>) δ 170.0, 156.6, 140.2, 139.1, 138.4, 129.3, 129.1, 128.9, 128.4, 128.3, 128.0, 127.1, 126.0, 125.8, 69.1, 64.0, 63.1 (br), 54.2, 49.2, 41.2, 40.5, 40.0, 39.7, 31.5, 25.4, 21.6, 19.5, 18.6.

B-2. Alternative Preparation of (2S,3S,5S)-2-N,N-dibenzylamino-3-hydroxy-5-[2S-(1-tetrahydro-pyrimid-2-onyl)-3-methyl butanoyl]amino-1,6-diphenylhexane

(2S,3S,5S)-2-N,N-dibenzylamino-3-hydroxy-5-amino-1,6-diphenylhexane (ca. 180 mmole; U.S. Pat. No. 5,491,253, issued Feb. 13, 1996, which is incorporated herein by reference) and imidazole (38.1 g, 560 mmole) were dissolved in ethyl acetate (675 mL, KF<0.1%) and cooled to 1° C. The slurried product of Example 38A-2 was added slowly over 30 minutes (exothermic, maximum temp. was 6° C.), followed by an ethyl acetate rinse (225 mL). The reaction was stirred cold for 1.5 hours, then allowed to slowly warm to about 27° C. and stirred for about 20 hours.

The reaction was quenched with a dilute solution of HCl (36.75 g concentrated HCl in 225 mL of water) and stirred 20 minutes. The biphasic mixture was filtered with a 100 mL ethyl acetate rinse. The organic layer was separated and washed with 3x125 mL 5% NaCl. The organic layer was separated and washed with 3x225 mL 5% NaCl and 2x225 mL 5% NaHCO<sub>3</sub>. The organic solution was concentrated by rotary evaporation to provide the desired product as a thick syrup.

C. (2S,3S,5S)-2-Amino-3-hydroxy-5-[2S-(1-tetrahydro-pyrimid-2-onyl)-3-methyl butanoyl]amino-1,6-diphenylhexane

The crude product of Example 38B (ca. 83 mmole) was dissolved in methanol (260 mL). Pd/C (50% wet Pearlman's catalyst, 10.4 g wet weight) and ammonium formate (15.1 g, 239 mmole) were added and the mixture was warmed to 50° C. After 2.5 hours the reaction was complete by TLC. The mixture was cooled to 35° C. and catalyst was removed by filtration through diatomaceous earth, followed by a methanol rinse (250 mL). The combined filtrate was concentrated on the rotary evaporator. The residue was dissolved in dioxane (150 mL) with warming. Dioxane was removed on the rotary evaporator to yield 60 g of yellow oil. HPLC purity approx. 88.2% (peak area). Isomer content ≥7.9% (however, one isomer does not separate from the main peak).

CIMS (NH<sub>3</sub>) m/z 467 (M+H)<sup>+</sup>

<sup>1</sup>H NMR (300 MHz, CD<sub>3</sub>OD) δ 7.35–7.10 (m, 10H), 4.40–4.20 (m, 1H), 4.25 (d, 1H), 3.68–3.57 (m, 1H), 3.20–3.09 (m, 2H), 3.08–2.90 (m, 3H), 2.90–2.74 (m, 2H), 2.65–2.49 (m, 2H), 2.20–2.04 (m, 1H), 1.92–1.78 (m, 1H), 1.78–1.60 (m, 2H), 1.60–1.45 (m, 1H), 0.88–0.77 (m, 6H).

<sup>13</sup>C NMR (75 MHz, CD<sub>3</sub>OD) δ 171.3, 158.4, 140.5, 139.8, 130.6, 130.4, 129.5, 129.3, 127.3, 127.0, 71.5, 63.9, 57.1, 49.1, 41.8, 41.6, 41.4, 40.7, 40.5, 26.9, 22.5, 20.0, 18.9.

<sup>1</sup>H NMR (300 MHz, CDCl<sub>3</sub>) δ 7.35–7.13 (m, 10H), 5.35 (s, 1H), 4.40–4.23 (m, 2H), 3.60–3.52 (m, 1H), 3.25–2.65 (m, 8H), 2.58–2.45 (dd, 1H), 2.30–2.10 (m, 1H), 1.90–1.65 (m, 3H), 1.65–1.50 (m, 1H), 0.91 (d, 3H), 0.84 (d, 3H).

<sup>13</sup>C NMR (75 MHz, CDCl<sub>3</sub>) δ 171.2, 156.6, 139.1, 138.5, 129.3, 129.2, 128.5, 128.2, 126.3, 126.0, 71.6, 63.1 (br), 56.3, 48.7, 41.6, 41.0, 40.6, 40.0, 39.6, 25.5, 21.7, 19.7, 18.7.

D. (2S,3S,5S)-2-Amino-3-hydroxy-5-[2S-(1-tetrahydro-pyrimid-2-onyl)-3-methyl butanoyl]amino-1,6-diphenylhexane (S)-Pyroglutamic acid salt

The crude product of Example 38C was dissolved in dioxane (370 mL, KF=0.07% moisture). S-Pyroglutamic acid (10.3 g, 80 mmole) was added and the suspension was warmed to 50° C. to give a clear solution. After stirring 1 hour the solution was seeded with a few crystals of the

product salt. The salt slowly precipitated. The slurry was slowly cooled and stirred overnight at room temperature. The product was isolated by filtration and washed with dioxane (100 mL). Wet cake weight was 120 g. Product was dried at 60° C. in a vacuum oven with nitrogen purge. Yield 35.2 g off-white powder. HPLC purity: >98% (peak area including pyroglutamic acid). Isomer content approx. 1% (however, one isomer does not separate from the main peak). mp=135–141° C.

$[\alpha]_D^{25} = -21.9^\circ$  (c=2.5, CH<sub>3</sub>OH)

CIMS (NH<sub>3</sub>) m/z 467 (M+H for base)<sup>+</sup>, 147 (M+NH<sub>4</sub> for pyroglutamic acid)<sup>+</sup>, 130 (M+H for pyroglutamic acid)<sup>+</sup>

IR (KBr) 1586, 1655, 1682 cm<sup>-1</sup>

<sup>1</sup>H NMR (400 MHz, DMSO-d<sub>6</sub>) 8.7.62 (s, 1H), 7.54 (d, 1H), 7.32–7.06 (m, 10H), 6.33 (s, 1H), 4.26 (d, 1H), 4.11–3.99 (m, 1H), 3.82 (dd, 1H), 3.57–3.48 (m, 1H), 3.27–3.19 (m, 1H), 3.08–2.95 (m, 2H), 2.92–2.70 (m, 5H), 2.53–2.43 (m, 1H), 2.26–2.14 (m, 1H), 2.13–1.99 (m, 2H), 1.99–1.87 (m, 2H), 1.72–1.61 (m, 2H), 1.61–1.49 (m, 1H), 1.46–1.35 (m, 1H), 0.70 (d, 3H), 0.64 (d, 3H).

<sup>13</sup>C NMR (100 MHz, DMSO-d<sub>6</sub>) 8176.9, 176.1, 169.2, 155.5, 138.8, 137.7, 129.3, 129.3, 128.3, 127.8, 126.4, 125.5, 66.9, 61.5, 56.9, 55.3, 46.8, 40.2, 39.6, 39.4, 38.8, 37.4, 29.8, 25.4, 25.3, 21.6, 19.6, 18.7.

<sup>1</sup>H NMR (300 MHz, CD<sub>3</sub>OD) 8.7.32–7.03 (m, 10H), 4.23–4.12 (m, 1H), 4.12 (d, 1H), 3.98 (dd, 1H), 3.71–3.63 (m, 1H), 3.46–3.37 (m, 1H), 3.11–2.98 (m, 2H), 2.97–2.80 (m, 4H), 2.70–2.59 (m, 1H), 2.49–2.38 (m, 1H), 2.38–2.12 (m, 3H), 2.07–1.92 (m, 2H), 1.75–1.63 (m, 2H), 1.63–1.50 (m, 1H), 1.45–1.32 (m, 1H), 0.74–0.65 (m, 6H).

<sup>13</sup>C NMR (75 MHz, CD<sub>3</sub>OD) 8181.0, 179.6, 171.6, 158.4, 139.5, 137.3, 130.5, 130.0, 129.4, 128.3, 127.2, 68.1, 64.0, 59.6, 57.7, 48.8, 41.7, 41.1, 40.7, 40.6, 37.9, 31.1, 26.9, 22.5, 20.1, 18.9.

<sup>1</sup>H NMR (300 MHz, D<sub>2</sub>O) 8.7.30–6.97 (m, 10H), 4.16–4.03 (m, 1H), 3.99–3.91 (m, 2H), 3.71–3.63 (m, 1H), 3.43–3.35 (m, 1H), 3.00–2.68 (m, 6H), 2.40–2.13 (m, 5H), 1.88–1.72 (m, 3H), 1.68–1.56 (m, 1H), 1.52–1.37 (m, 1H), 1.32–1.18 (m, 1H), 0.60–0.52 (m, 6H).

<sup>13</sup>C NMR (75 MHz, D<sub>2</sub>O) 8181.6, 180.1, 171.0, 157.3, 137.9, 135.2, 129.3, 129.2, 129.1, 128.4, 127.6, 126.4, 67.3, 62.6, 58.2, 56.7, 47.5, 40.1, 39.4, 39.2, 38.7, 35.7, 29.6, 25.3, 25.2, 20.5, 18.5, 17.6.

E. (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(1-tetrahydro-pyrimid-2-onyl)-3-methyl butanoyl]amino-1,6-diphenylhexane

The product of Example 1H (7.26 g, 40.3 mmole) was slurried in ethyl acetate (22 mL) and thionyl chloride (5.75 g, 48.3 mmole) was added, followed by 1 drop DMF. The mixture was warmed to 50° C. and stirred 5 hours. The solution of the resulting acid chloride was cooled to 22° C. and held for the subsequent coupling reaction.

The product of Example 38D (20 g, 31.7 mmole, corrected for dioxane content), sodium bicarbonate (16.5 g, 197 mmole), ethyl acetate (150 mL) and water (150 mL) were combined in a flask and stirred until the product of Example 38D had dissolved (some salt remains undissolved). The solution of acid chloride prepared above was added over 5 minutes, followed by an ethyl acetate rinse (5 mL). Addition was mildly exothermic (maximum temperature 23° C.). The mixture was stirred overnight.

The organic layer was separated and washed with 5% sodium bicarbonate (100 mL) and water (100 mL). Solvent was removed on the rotary evaporator. The residue was dissolved in ethyl acetate (100 mL) and filtered, rinsing with ethyl acetate (50 mL). The solvent was removed from the combined filtrate on the rotary evaporator. The residue was

dissolved in hot ethyl acetate (105 mL) and heptane (105 mL) was added; product began to crystallize rapidly. The slurry was cooled and stirred at 20–23° C. for 5 hours. Product was collected by filtration and washed with 1/1 (v/v) ethyl acetate/heptane (30 mL). Product was dried under vacuum oven at 70° C. to provide 18.8 g of the desired product as a white powder.

#### EXAMPLE 39

Preparation of Amorphous (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(1-tetrahydro-pyrimid-2-onyl)-3-methyl butanoyl]amino-1,6-diphenylhexane

A. The product of Example 38E (2.5 g) was dissolved in 8 mL of absolute ethanol. This solution was added slowly dropwise to 250 mL of chilled water at 9° C. with vigorous stirring. A white solid immediately appeared. The stirring was continued for 15 minutes and the solids were collected by filtration. Vacuum drying at 50° C. for 12 hours provided 2.32 g of the desired product as an amorphous solid.

B. The product of Example 38E (2.5 g) was dissolved in 6 mL of absolute ethanol. This solution was added slowly dropwise to 31 mL of chilled water at 7–9° C. with vigorous stirring. A white solid appeared. The stirring was continued for 20 minutes and the solids were collected by filtration. Vacuum drying at 50° C. for 12 hours provided 2.24 g of the desired product as an amorphous solid.

C. The product of Example 38E (0.5 g) was dissolved in 8 mL of isopropanol. This solution was added slowly dropwise to 100 mL of chilled water at 10–15° C. with vigorous stirring. A white solid appeared. The stirring was continued for 20 minutes and the solids were collected by filtration. Air drying provided 0.48 g of the desired product as an amorphous solid.

D. The product of Example 38E (0.5 g) was dissolved in 8 mL of acetone and 0.2 mL of absolute ethanol. This solution was added slowly dropwise to 100 mL of chilled water at 10–15° C. with vigorous stirring. A white solid appeared. The stirring was continued for 10 minutes and the solids were collected by filtration. Air drying provided 0.46 g of the desired product as an amorphous solid.

E. The product of Example 38E (0.5 g) was dissolved in 2 mL of acetonitrile. This solution was added slowly dropwise to 100 mL of chilled water at 10–15° C. with vigorous stirring. A white solid appeared. The stirring was continued for 20 minutes and the solids were collected by filtration. Air drying provided 0.46 g of the desired product as an amorphous solid.

#### EXAMPLE 40

N-(3-Chloropropylaminocarbonyl)-valine methyl ester

3-Chloropropylisocyanate (0.31 mL, 3.0 mmol) was added to a slurry of L-valine methyl ester hydrochloride (0.5 g, 3.0 mmol) and triethylamine (0.42 mL, 3.0 mmol) in THF (10 mL). The reaction mixture was stirred for 4 hours at room temperature and was then quenched with the addition of aqueous sodium bicarbonate. The quenched reaction mixture was extracted with ethyl acetate. The organic layer was separated, dried and evaporated to give the desired product.

#### EXAMPLE 41

(2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(1-tetrahydro-4-hydroxy-pyrimid-2-onyl)-3-methyl butanoyl]amino-1,6-diphenylhexane

Reaction of a solution of the product of Example 25E in methylene chloride with sodium borohydride provides the desired product.

(2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(1-tetrahydro-6-hydroxy-pyrimid-2-onyl)-3-methyl butanoyl]amino-1,6-diphenylhexane

A 300-mL incubation of (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(1-tetrahydro-6-hydroxy-pyrimid-2-onyl)-3-methyl butanoyl] amino-1,6-diphenylhexane labelled with  $^{14}\text{C}$  in the carbonyl group of the acetyl moiety (50  $\mu\text{M}$ , 6.0  $\mu\text{Ci}$ ) was performed with rat liver microsomes (0.5 mg/mL microsomal protein) and an NADPH-generating system for 60 minutes at 37° C. The metabolic reaction was stopped by adding 300 mL of acetonitrile. The supernatant obtained after centrifugation at 3000 RPM for 10 minutes was evaporated to dryness in vacuo. The residue was reconstituted in 2 mL of HPLC mobile phase. Isolation of the desired product was achieved at ambient temperature with a Beckman Ultrasphere 5  $\mu\text{m}$  10x150 mm  $\text{C}_{18}$  column connected to an Alltech Ultrasphere 5  $\mu\text{m}$   $\text{C}_{18}$  cartridge guard column. A linear gradient of 25–55% acetonitrile in buffer (25 mM ammonium acetate, pH adjusted to 4.8 with formic acid) over 57 minutes was used as column eluent at a flow rate of 2.8 mL/minute.

#### Fluorogenic Assay for Screening Inhibitors of HIV Protease

The inhibitory potency of the compound of the invention can be determined by the following method.

The compound of the invention is dissolved in DMSO and a small aliquot further diluted with DMSO to 100 times the final concentration desired for testing. The reaction is carried out in a 6x50 mm tube in a total volume of 300-microliters. The final concentrations of the components in the reaction buffer are: 125 mM sodium acetate, 1M sodium chloride, 5 mM dithiothreitol, 0.5 mg/ml bovine serum albumin, 1.3  $\mu\text{M}$  fluorogenic substrate, 2% (v/v) dimethylsulfoxide, pH 4.5. After addition of inhibitor, the reaction mixture is placed in the fluorometer cell holder and incubated at 30° C. for several minutes. The reaction is initiated by the addition of a small aliquot of cold HIV protease. The fluorescence intensity (excitation 340 nm, emission 490 nm) is recorded as a function of time. The reaction rate is determined for the first six to eight minutes. The observed rate is directly proportional to the moles of substrate cleaved per unit time. The percent inhibition is  $100 \times (1 - (\text{rate in presence of inhibitor}) / (\text{rate in absence of inhibitor}))$ .

Fluorogenic substrate: Dabcyl-Gaba-Ser-Gln-Asn-Tyr-Pro-Ile-Val-Gln-EDANS wherein DABCYL=4-(4-dimethylamino-phenyl)azobenzoic acid, Gaba= $\gamma$ -aminobutyric acid, and EDANS=5-((2-aminoethyl)amino) naphthalene-1-sulfonic acid.

TABLE 1

Compound of Example	Percent Inhibition	Inhibitor Concentration (nanomolar)
1P	92.6	0.5
2B	93.2	0.5
3C	86.9	0.5
4F	49.7	0.5
5	80.8	0.5
6F	61.4	0.5
7B	67.1	0.5
8	55.6	0.5
9B	62.6	0.5
10F	81.0	0.5

TABLE 1-continued

Compound of Example	Percent Inhibition	Inhibitor Concentration (nanomolar)
11B	91.1	0.5
12B	76.8	0.5
13B	56.2	1.0
14D	52.7	0.5
15	48	0.5
17C	87.2	0.5
18C	57.8	0.5
19E	68.5	0.5
22E	71.8	0.5
23C	86.0	0.5
25E	100	0.5
26H	94.6	0.5
27D	92.9	0.5
28	86.6	0.5
29C	72.6	0.5
36B	91.0	0.5

#### Antiviral Activity

The anti-HIV activity of the compound of the invention can be determined in MT4 cells according to the following procedure. MT4 cells were infected with cell-free supernatant of HIVIII<sub>B</sub> (previously frozen with known 50% tissue culture infectious dose ( $\text{TCID}_{50}$ ) at 0.003 multiplicity of infection (MOI) for one hour. After one hour infection, cells were washed twice to remove residual viruses, resuspended in culture media and seeded into 96-well tissue culture plates at  $1 \times 10^4$  cells per well with various half-log dilutions of compounds. Uninfected cells are included as toxicity and cell controls. RPMI 1640 media (Gibco) with 10% fetal bovine serum were used as culture media. Various concentrations of human serum (Sigma) 50%, 25% and 12.5% were added to culture media resulting in final concentration of 60%, 35% and 22.5% total serum. All assay plates were incubated in 37 deg. cent. incubator for 5 days. MTT (sigma, 5 mg/ml stock in PBS) was added to all wells at 25  $\mu\text{l}$  per well, incubate for 4 hours. 20% SDS with 0.02N HCl in water was added at 50  $\mu\text{l}$  per well to lyse cells. Plates incubated overnight for complete lyses were read on a microtitre plate reader at 570/650 nm wavelengths to determine cell optical density (O.D.). Raw data were analysed for percent inhibition by the following formula:

$$\frac{\text{O.D. test well} - \text{O.D. virus control}}{\text{O.D. cell control} - \text{O.D. virus control}} \times 100$$

The 50% effective concentration ( $\text{EC}_{50}$ ) was calculated by the median effect equation (Chou, 1975, Proc. Int. Cong. Pharmacol. 6th p. 619) to determine the efficacy of compound. The 50% lethal concentration ( $\text{LC}_{50}$ ) was calculated using uninfected MT4 cells.

Under these conditions, the following data were obtained (n=4 duplicate determinations):

TABLE 2

Compound of Example	$\text{IC}_{50}$ ( $\mu\text{M}$ , 0% plasma)	$\text{LC}_{50}$ ( $\mu\text{M}$ )
1P	0.01	41.32
2B	0.016	17.78
3C	0.025	49.5
4F	0.101	>100

TABLE 2-continued

Compound of Example	IC <sub>50</sub> ( $\mu$ M, 0% plasma)	LC <sub>50</sub> ( $\mu$ M)
5	0.368	>100
6F	0.193	>100
7B	0.204	>100
8	0.019	17.78
9B	0.272	19.33
10F	0.047	91.97
11B	0.19	18.16
12B	0.093	19.11
14D	0.053	>100
15	0.119	>100
17C	0.051	18.96
18C	0.329	19.1
19E	0.395	17.95
20D	0.283	24.08
25E	0.012	22.88
26H	0.015	33.0
27D	0.03	56.23
28	0.011	72.2
29C	0.427	56
30B	0.003	18

The compounds of the present invention can be used in the form of salts derived from inorganic or organic acids. These salts include but are not limited to the following: acetate, adipate, alginate, citrate, aspartate, benzoate, benzenesulfonate, bisulfate, butyrate, camphorate, camphorsulfonate, digluconate, cyclopentanepropionate, dodecylsulfate, ethanesulfonate, glucoheptanoate, glycerophosphate, hemisulfate, heptanoate, hexanoate, fumarate, hydrochloride, hydrobromide, hydroiodide, 2-hydroxyethanesulfonate (isethionate), lactate, maleate, methanesulfonate, nicotinate, 2-naphthalenesulfonate, oxalate, pamoate, pectinate, persulfate, 3-phenylpropionate, picrate, pivalate, propionate, succinate, tartrate, thiocyanate, p-toluenesulfonate and undecanoate. Also, the basic nitrogen-containing groups can be quaternized with such agents as loweralkyl halides, such as methyl, ethyl, propyl, and butyl chloride, bromides, and iodides; dialkyl sulfates like dimethyl, diethyl, dibutyl, and diamyl sulfates, long chain halides such as decyl, lauryl, myristyl and stearyl chlorides, bromides and iodides, aralkyl halides like benzyl and phenethyl bromides, and others. Water or oil-soluble or dispersible products are thereby obtained.

Examples of acids which may be employed to form pharmaceutically acceptable acid addition salts include such inorganic acids as hydrochloric acid, sulphuric acid and phosphoric acid and such organic acids as oxalic acid, maleic acid, succinic acid and citric acid. Other salts include salts with alkali metals or alkaline earth metals, such as sodium, potassium, calcium or magnesium or with organic bases.

Preferred salts of the compounds of the invention include hydrochloride, methanesulfonate, sulfonate, phosphonate and isethionate.

The compounds of the present invention can also be used in the form of esters. Examples of such esters include compounds wherein a hydroxyl group in the compound of this invention has been acylated with an N-protected or unprotected amino acid residue, a phosphate function, a hemisuccinate residue, an acyl residue of the formula  $R^*C(O)-$  or  $R^*C(S)-$  wherein  $R^*$  is hydrogen, loweralkyl, haloalkyl, alkoxy, thioalkoxy, alkoxyalkyl, thioalkoxyalkyl or haloalkoxy, or an acyl residue of the formula  $R_a-C(R_b)(R_d)-C(O)-$  or  $R_a-C(R_b)(R_d)-C(S)-$  wherein  $R_b$  and  $R_d$  are independently selected from hydrogen or loweralkyl and  $R_a$  is  $-N(R_e)(R_f)$ ,  $OR_e$  or  $-SR_e$  wherein  $R_e$  and  $R_f$  are

independently selected from hydrogen, loweralkyl and haloalkyl, or an amino-acyl residue of the formula  $R_{180}NH(CH_2)_2NHCH_2C(O)-$  or  $R_{180}NH(CH_2)_2OCH_2C(O)-$  wherein  $R_{180}$  is hydrogen, loweralkyl, arylalkyl, cycloalkylalkyl, alkanoyl, benzoyl or an  $\alpha$ -amino acyl group. The amino acid esters of particular interest are glycine and lysine; however, other amino acid residues can also be used, including those wherein the amino acyl group is  $-C(O)CH_2NR_{200}R_{201}$  wherein  $R_{200}$  and  $R_{201}$  are independently selected from hydrogen and loweralkyl or the group  $-NR_{200}R_{201}$  forms a nitrogen containing heterocyclic ring. These esters serve as pro-drugs of the compound of the present invention and serve to increase the solubility of these substances in the gastrointestinal tract. These esters also serve to increase solubility for intravenous administration of the compound. Other prodrugs include compounds wherein a hydroxyl group in the compound of this invention is functionalized with a substituent of the formula  $-CH(R_g)OC(O)R_{181}$  or  $-CH(R_g)OC(S)R_{181}$  wherein  $R_{181}$  is loweralkyl, haloalkyl, alkoxy, thioalkoxy or haloalkoxy and  $R_g$  is hydrogen, loweralkyl, haloalkyl, alkoxyalkyl, aminocarbonyl, alkylaminocarbonyl or dialkylaminocarbonyl. Such prodrugs can be prepared according to the procedure of Schreiber (Tetrahedron Lett. 1983, 24, 2363) by ozonolysis of the corresponding methallyl ether in methanol followed by treatment with acetic anhydride.

The prodrugs of this invention are metabolized in vivo to provide the compound of this invention. The preparation of the prodrug esters is carried out by reacting the compound of the invention with an activated amino acyl, phosphoryl, hemisuccinyl or acyl derivative as defined above. The resulting product is then deprotected to provide the desired pro-drug ester. Prodrugs of the invention can also be prepared by alkylation of the hydroxyl group with (haloalkyl) esters, transacetalization with bis-(alkanoyl)acetals or condensation of the hydroxyl group with an activated aldehyde followed by acylation of the intermediate hemiacetal.

The compounds of the invention are useful for inhibiting retroviral protease, in particular HIV protease, in vitro or in vivo (especially in mammals and in particular in humans). The compounds of the present invention are also useful for the inhibition of retroviruses in vivo, especially human immunodeficiency virus (HIV). The compounds of the present invention are also useful for the treatment or prophylaxis of diseases caused by retroviruses, especially acquired immune deficiency syndrome or an HIV infection in a human or other mammal.

Total daily dose administered to a human or other mammal host in single or divided doses may be in amounts, for example, from 0.001 to 300 mg/kg body weight daily and more usually 0.1 to 20 mg/kg body weight daily. Dosage unit compositions may contain such amounts of submultiples thereof to make up the daily dose.

The amount of active ingredient that may be combined with the carrier materials to produce a single dosage form will vary depending upon the host treated and the particular mode of administration.

It will be understood, however, that the specific dose level for any particular patient will depend upon a variety of factors including the activity of the specific compound employed, the age, body weight, general health, sex, diet, time of administration, route of administration, rate of excretion, drug combination, and the severity of the particular disease undergoing therapy.

The compounds of the present invention may be administered orally, parenterally, sublingually, by inhalation spray, rectally, or topically in dosage unit formulations containing

conventional nontoxic pharmaceutically acceptable carriers, adjuvants, and vehicles as desired. Topical administration may also involve the use of transdermal administration such as transdermal patches or iontophoresis devices. The term parenteral as used herein includes subcutaneous injections, intravenous, intramuscular, intrasternal injection, or infusion techniques.

Injectable preparations, for example, sterile injectable aqueous or oleagenous suspensions may be formulated according to the known art using suitable dispersing or wetting agents and suspending agents. The sterile injectable preparation may also be a sterile injectable solution or suspension in a nontoxic parenterally acceptable diluent or solvent, for example, as a solution in 1,3-propanediol. Among the acceptable vehicles and solvents that may be employed are water, Ringer's solution, and isotonic sodium chloride solution. In addition, sterile, fixed oils are conventionally employed as a solvent or suspending medium. For this purpose any bland fixed oil may be employed including synthetic mono- or diglycerides. In addition, fatty acids such as oleic acid find use in the preparation of injectables.

Suppositories for rectal administration of the drug can be prepared by mixing the drug with a suitable nonirritating excipient such as cocoa butter and polyethylene glycols which are solid at ordinary temperatures but liquid at the rectal temperature and will therefore melt in the rectum and release the drug.

Solid dosage forms for oral administration may include capsules, tablets, pills, powders, and granules. In such solid dosage forms, the active compound may be admixed with at least one inert diluent such as sucrose, lactose or starch. Such dosage forms may also comprise, as is normal practice, additional substances other than inert diluents, e.g., lubricating agents such as magnesium stearate. In the case of capsules, tablets, and pills, the dosage forms may also comprise buffering agents. Tablets and pills can additionally be prepared with enteric coatings.

Liquid dosage forms for oral administration may include pharmaceutically acceptable emulsions, solutions, suspensions, syrups, and elixirs containing inert diluents commonly used in the art, such as water. Such compositions may also comprise adjuvants, such as wetting agents, emulsifying and suspending agents, and sweetening, flavoring, and perfuming agents.

The compounds of the present invention can also be administered in the form of liposomes. As is known in the art, liposomes are generally derived from phospholipids or other lipid substances. Liposomes are formed by mono- or multi-lamellar hydrated liquid crystals that are dispersed in an aqueous medium. Any non-toxic, physiologically acceptable and metabolizable lipid capable of forming liposomes can be used. The present compositions in liposome form can contain, in addition to the compound of the present invention, stabilizers, preservatives, excipients, and the like. The preferred lipids are the phospholipids and phosphatidylcholines (lecithins), both natural and synthetic.

Methods to form liposomes are known in the art. See, for example, Prescott, Ed., *Methods in Cell Biology*, Volume XIV, Academic Press, New York, N.Y. (1976), p. 33 et seq.

Some preferred dosage forms for the compounds of this invention are disclosed in U.S. patent application Ser. No. 08/754,390, filed Nov. 21, 1996, now abandoned, in the names of J. Lipari, L. A. Al-Razzak, S. Ghosh and R. Gao and which is entitled Pharmaceutical Composition, which is incorporated herein by reference.

A preferred dosage form for the compounds of this invention comprises a solution of (a) a compound of the

formula I in the amount of from about 1% to about 50% (preferably, from about 5% to about 30%) by weight of the total solution and (b) polyoxyl 35 castor oil in the amount of from about 0% to about 20% (preferably, from about 5% to about 10%) by weight of the total solution, in a pharmaceutically acceptable organic solvent which comprises (i) oleic acid in the amount of from about 20% to about 99% (preferably, from about 30% to about 70%; more preferably, from about 40% to about 65%) by weight of the total solution or (ii) a mixture of (1) oleic acid in the amount of from about 20% to about 99% (preferably, from about 30% to about 70%; more preferably, from about 40% to about 65%) by weight of the total solution and (2) ethanol or propylene glycol or a mixture thereof in the amount of from about 0% to about 12% (preferably, about 10%) by weight of the total solution. In an even more preferred embodiment of the invention, the solution is encapsulated in a soft elastic gelatin capsule (SEC) or a hard gelatin capsule.

A most preferred composition of the invention comprises a solution of (a) a compound of the formula I in the amount of about 30% by weight of the total solution and (b) polyoxyl 35 castor oil in the amount of about 10% by weight of the total solution, in a pharmaceutically acceptable organic solvent which comprises a mixture of (1) oleic acid in the amount of about 50% by weight of the total solution and (2) ethanol in the amount of about 10% by weight of the total solution. In a most preferred embodiment of the invention, the solution is encapsulated in a soft elastic gelatin capsule (SEC) or a hard gelatin capsule and the solution also comprises an antioxidant (preferably, BHT (butylated hydroxytoluene)) in the amount of from about 0.01% to about 0.08% by weight of the total solution (preferably, from about 0.01% to about 0.05% by weight of the total solution).

An example of such a composition and its preparation is provided below.

Component	% By Weight
compound of Example 2B (free base)	30
Ethanol (USP, 200 proof)	10
polyoxyl 35 castor oil (Cremophor® FL)	10
Oleic acid, 6321, NF	50
Butylated hydroxy toluene (BHT), NF	0.01

#### Preparation of the above composition

The mixing tank was purged with nitrogen. Oleic acid (499.9 g) and ethanol (100 g) were mixed in the tank. The butylated hydroxytoluene (0.1 g) was charged into the tank and mixed until the solution was clear. The Compound of Example 2B (300 g) was slowly charged into the tank and mixed until the solution was clear. The polyoxyl 35 castor oil (100 g) was added to the tank and mixed. The resulting solution was filled into soft elastic capsules (0.333 g of solution/SEC) to provide a dosage of 100 mg of compound of Example 2B/SEC or 0.667 g/SEC to provide a dosage of 200 mg of compound of Example 2B/SEC.

While the compound of the invention can be administered as the sole active pharmaceutical agent, it can also be used in combination with one or more immunomodulators, antiviral agents, other anti-infective agents or vaccines. Other antiviral agents to be administered in combination with a compound of the present invention include AL-721, beta interferon, polymannosacetate, reverse transcriptase inhibitors (for example, dideoxycytidine (ddC), zalcitabine), dideoxyinosine (ddI; didanosine), BCH-189, AzdU, carbovir, ddA, d4C, d4T (stavudine), 3TC (lamivudine) DP-AZT, FLT (fluorothymidine), BCH-189, 5-halo-3'-thia-

dideoxycytidine, PMEA, bis-POMPMEA, zidovudine (AZT), nevirapine, delviridine, MSA-300, trovirdine and the like), non-nucleoside reverse transcriptase inhibitors (for example, R82193, L-697,661, BI-RG-587 (nevirapine), retroviral protease inhibitors (for example, HIV protease inhibitors such as ritonavir, Ro 31-8959 (saquinavir), SC-52151, VX-478, AG1343 (nelfinavir), BMS 186,318, SC-55389a, BILA 1096 BS, DMP-323, DMP-450, KNI-227, KNI-272, U-140690, N-(2(R)-hydroxy-1(S)-indanyl)-2(R)-phenylmethyl-4(S)-hydroxy-5-(1-(4-(3-pyridylmethyl)-2(S)-N'-(t-butylcarboxamido)-piperazinyl))-pentaneamide (MK-639; indinavir), 5(S)-Boc-amino-4(S)-hydroxy-6-phenyl-2(R)-phenylmethylhexanoyl-(L)-Val-(L)-Phe-morpholin-4-ylamide, 1-Naphthoxyacetyl-beta-methylthio-Ala-(2S,3S)-3-amino-2-hydroxy-4-butanoyl-1,3-thiazolidine-4-t-butylamide (i.e., 1-Naphthoxyacetyl-Mta-(2S,3S)-AHPBA-Thz-NH-tBu), 5-isoquinolinoyxycetyl-beta-methylthio-Ala-(2S,3S)-3-amino-2-hydroxy-4-butanoyl-1,3-thiazolidine-4-t-butylamide (i.e., iQoa-Mta-Apas-Thz-NH-tBu) and the like), HEPT compounds, L-697, 639, R82150, U-87201E and the like), HIV integrase inhibitors (Zintevir and the like), TAT inhibitors (for example, RO-24-7429 and the like), trisodium phosphonoformate, HPA-23, eflonithine, Peptide T, Reticulose (nucleophosphoprotein), ansamycin LM 427, trimetrexate, UA001, ribavirin, alpha interferon, oxetanocin, oxetanocin-G, cylobut-G, cyclobut-A, ara-M, BW882C87, foscarnet, BW256U87, BW348U87, L-693,989, BV ara-U, CMV triclinal antibodies, FIAC, HOE-602, HPMPC, MSL-109, TI-23, trifluridine, vidarabine, famciclovir, penciclovir, acyclovir, ganciclovir, castanosperminem rCD4/CD4-IgG, CD4-PE40, butyl-DNJ, hypericin, oxamylristic acid, dextran sulfate and pentosan polysulfate. Immunomodulators that can be administered in combination with the compound of the present invention include broprimine, Ampligen, anti-human alpha interferon antibody, colony stimulating factor, CL246,738, Imreg-1, Imreg-2, diethyldithiocarbamate, interleukin-2, alpha-interferon, inosine pranobex, methionine enkephalin, muramyl-tripeptide, TP-5, erythropoietin, naltrexone, tumor necrosis factor, beta interferon, gamma interferon, interleukin-3, interleukin-4, autologous CD8+ infusion, alpha interferon immunoglobulin, IGF-1, anti-Leu-3A, autovaccination, biostimulation, extracorporeal photophoresis, cyclosporin, rapamycin, FK-565, FK-506, G-CSF, GM-CSF, hyperthermia, isopinosine, IVIG, HIVIG, passive immunotherapy and polio vaccine hyperimmunization. Other anti-infective agents that can be administered in combination with the compound of the present invention include pentamidine isethionate. Any of a variety of HIV or AIDS vaccines (for example, gp120 (recombinant), Env 2-3 (gp120), HIVAC-1e (gp120), gp160 (recombinant), VaxSyn HIV-1 (gp160), Immuno-Ag (gp160), HGP-30, HIV-Immunogen, p24 (recombinant), VaxSyn HIV-1 (p24) can be used in combination with the compound of the present invention.

Other agents that can be used in combination with the compound of this invention are ansamycin LM 427, apurinic acid, ABPP, AI-721, carrisyn, AS-101, avarol, azimexon, colchicine, compound Q, CS-85, N-acetyl cysteine, (2-oxothiazolidine-4-carboxylate), D-penicillamine, diphenylhydantoin, EL-10, erythropoietin, fusidic acid, glucan, HPA-23, human growth hormone, hydroxchloroquine, iscador, L-ofloxacin or other quinolone antibiotics, lentinan, lithium carbonate, MM-1, monolaurin, MTP-PE, naltrexone, neurotropin, ozone, PAL, panax ginseng, pentofylline, pentoxifylline, Peptide T, pine cone extract, polymannoacetate, reticulose, retrogen, ribavirin,

ribozymes, RS-47, Sdc-28, silicotungstate, THA, thymic humoral factor, thymopentin, thymosin fraction 5, thymosin alpha one, thymostimulin, UA001, uridine, vitamin B12 and wobemugos.

Other agents that can be used in combination with the compound of this invention are antifungals such as amphotericin B, clotrimazole, flucytosine, fluconazole, itraconazole, ketoconazole and nystatin and the like.

Other agents that can be used in combination with the compound of this invention are antibacterials such as amikacin sulfate, azithromycin, ciprofloxacin, tosuflaxacin, clarithromycin, clofazimine, ethambutol, isoniazid, pyrazinamide, rifabutin, rifampin, streptomycin and TLC G-65 and the like.

Other agents that can be used in combination with the compound of this invention are anti-neoplastics such as alpha interferon, COMP (cyclophosphamide, vincristine, methotrexate and prednisone), etoposide, mBACOD (methotrexate, bleomycin, doxorubicin, cyclophosphamide, vincristine and dexamethasone), PRO-MACE/MOPP (prednisone, methotrexate (w/leucovorin rescue), doxorubicin, cyclophosphamide, taxol, etoposide/mechlorethamine, vincristine, prednisone and procarbazine), vincristine, vinblastine, angiostatin, pentosan polysulfate, platelet factor 4 and SP-PG and the like.

Other agents that can be used in combination with the compound of this invention are drugs for treating neurological disease such as peptide T, ritalin, lithium, elavil, phenytoin, carbamazepine, mexitidine, heparin and cytosine arabinoside and the like.

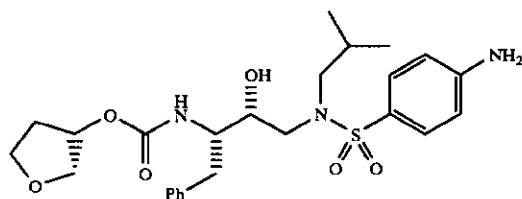
Other agents that can be used in combination with the compound of this invention are anti-protozoals such as albendazole, azithromycin, clarithromycin, clindamycin, corticosteroids, dapsone, DIMP, eflornithine, 566C80, fansidar, furazolidone, L-671,329, letrazuril, metronidazole, paromycin, pefloxacin, pentamidine, piritrexim, primaquine, pyrimethamine, somatostatin, spiramycin, sulfadiazine, trimethoprim, TMP/SMX, trimetrexate and WR 6026 and the like.

Among the preferred agents for inhibition or treatment of HIV or AIDS in combination with the compound of this invention are reverse transcriptase inhibitors, especially, AZT (zidovudine), ddI (didanosine), ddC (zalcitabine), d4T (stavudine), 3TC (lamivudine), nevirapine, delviridine, trovirdine, PMEA, bis-POMPMEA and MSA-300.

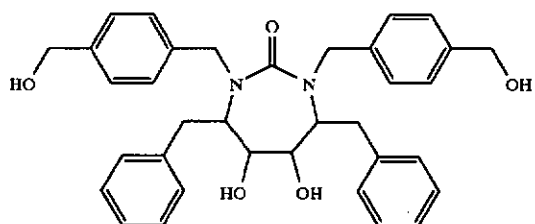
Other preferred agents for inhibition or treatment of HIV or AIDS in combination with the compound of this invention are HIV protease inhibitors, especially, ABT-538 (ritonavir) and related compounds, disclosed in U.S. Pat. No. 5,541,206, issued Jul. 30, 1996 and U.S. Pat. No. 5,491,253, issued Feb. 13, 1996 which are both incorporated by reference herein, N-(2(R)-hydroxy-1(S)-indanyl)-2(R)-phenylmethyl-4(S)-hydroxy-5-(1-(4-(3-pyridylmethyl)-2(S)-N'-(t-butylcarboxamido)-piperazinyl))-pentaneamide (i.e., indinavir) and related compounds, disclosed in European Patent Application No. EP541168, published May 12, 1993, and U.S. Pat. No. 5,413,999, issued May 9, 1995 which are both incorporated herein by reference; N-tert-butyl-decahydro-2-[2(R)-hydroxy-4-phenyl-3(S)-[[N-(2-quinolylcarbonyl)-L-asparaginy]amino]butyl]-(4aS,8aS)-isoquinoline-3(S)-carboxamide (i.e., saquinavir) and related compounds, disclosed in U.S. Pat. No. 5,196,438, issued Mar. 23, 1993, which is incorporated herein by reference; 5(S)-Boc-amino-4(S)-hydroxy-6-phenyl-2(R)-phenylmethylhexanoyl-(L)-Val-(L)-Phe-morpholin-4-ylamide and related compounds, disclosed in European Patent Application No. EP532466, published Mar. 17, 1993,



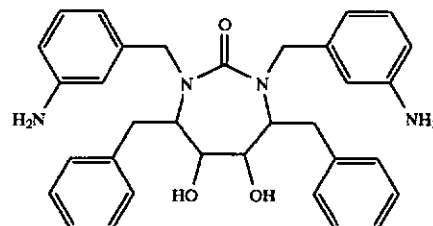
which is incorporated herein by reference; 1-Naphthoxyacetyl-beta-methylthio-Ala-(2S,3S)-3-amino-2-hydroxy-4-butanoyl-1,3-thiazolidine-4-t-butylamide (i.e., 1-Naphthoxyacetyl-Mta-(2S,3S)-AHPBA-Thz-NH-tBu), 5-isoquinolinoxyacetyl-beta-methylthio-Ala-(2S,3S)-3-amino-2-hydroxy-4-butanoyl-1,3-thiazolidine-4-t-butylamide (i.e., iQoa-Mta-Apns-Thz-NHtBu) and related compounds, disclosed in European Patent Application No. EP490667, published Jun. 17, 1992 and Chem. Pharm. Bull. 40 (8) 2251 (1992), which are both incorporated herein by reference; [1S-[1R\*(R\*),2S\*]]-N<sup>1</sup>[[3-[[[(1,1-dimethylethyl)amino]carbonyl](2-methylpropyl)amino]-2-hydroxy-1-(phenylmethyl)propyl]-2-[(2-quinolinylcarbonyl)amino]-butanediamide (i.e., SC-52151) and related compounds, disclosed in PCT Patent Application No. WO92/08701, published May 29, 1992 and PCT Patent Application No. WO93/23368, published Nov. 25, 1993, both of which are incorporated herein by reference;



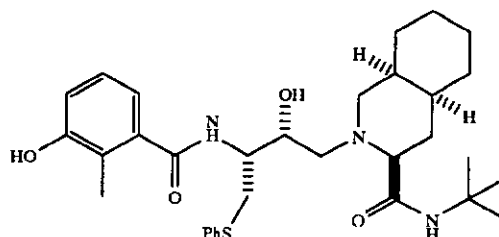
(i.e., VX-478) and related compounds, disclosed in PCT Patent Application No. WO94/05639, published Mar. 17, 1994, which is incorporated herein by reference;



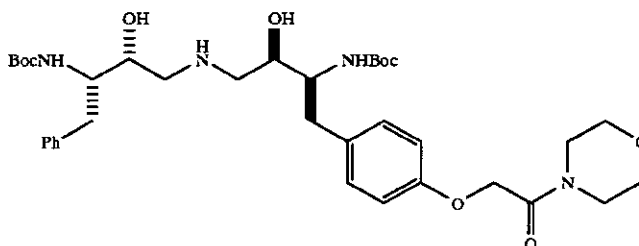
(i.e., DMP-323) or



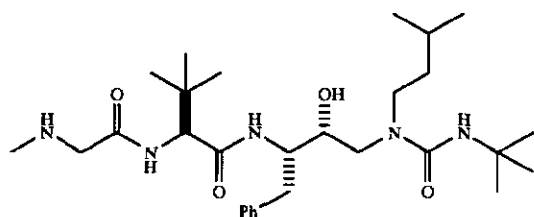
(i.e., DMP-450) and related compounds, disclosed in PCT Patent Application No. WO93/07128, published Apr. 15, 1993, which is incorporated herein by reference;



(i.e., AG1343, (nelfinavir)), disclosed in PCT Patent Application No. WO95/09843, published Apr. 13, 1995 and U.S. Pat. No. 5,484,926, issued Jan. 16, 1996, which are both incorporated herein by reference;



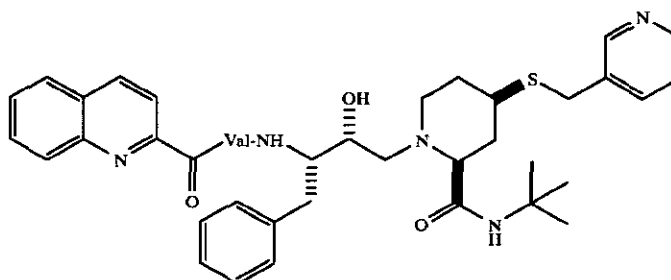
(i.e., BMS 186,318) disclosed in European Patent Application No. EP580402, published Jan. 26, 1994, which is incorporated herein by reference;



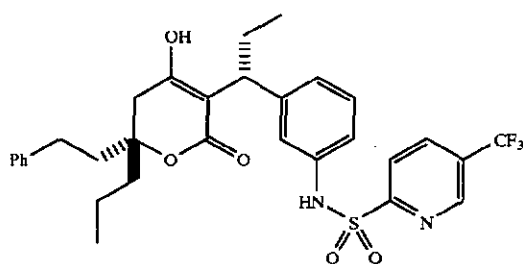
(i.e., SC-55389a) disclosed at 2nd National Conference on Human Retroviruses and Related Infections, (Washington, D.C., Jan. 29–Feb. 2, 1995), Session 88; and

dosage form as disclosed in PCT Patent Application No. WO95/07696, published Mar. 23, 1995 and U.S. Ser. No. 08/402,690, filed Mar. 13, 1995, both of which are incorporated herein by reference and (c) an encapsulated solid dosage form as disclosed in PCT Patent Application No. WO95/09614, published Apr. 13, 1995 and U.S. Pat. No. 5,559,158, issued Sep. 24, 1996, both of which are incorporated herein by reference.

Other examples of preferred dosage forms for ritonavir are disclosed in U.S. patent application Ser. No. 08/754,390, filed Nov. 21, 1996, in the names of J. Lipari, L. A. Al-Razzak, S. Ghosh and R. Gao and which is entitled Pharmaceutical Composition, which is incorporated herein by reference.



(i.e., BILA 1096 BS) and related compounds disclosed in European Patent Application No. EP560268, published Sep. 15, 1993, which is incorporated herein by reference; and



(i.e., U-140690) and related compounds disclosed in PCT Patent Application No. WO 9530670, published Nov. 16, 1995, which is incorporated herein by reference; or a pharmaceutically acceptable salt of any of the above.

In a most preferred combination, a compound of this invention is administered in combination with ritonavir. Such a combination is especially useful for inhibiting HIV protease in a human. Such a combination is also especially useful for inhibiting or treating an HIV infection in a human. When used in such a combination the compound of this invention and ritonavir can be administered as separate agents at the same or different times or they can be formulated as a single composition comprising both compounds.

When administered in combination with a compound of this invention, ritonavir causes an improvement in the pharmacokinetics (i.e., increases half-life, increases the time to peak plasma concentration, increases blood levels) of the compound of this invention.

Preferred dosage forms for ritonavir include (a) a liquid dosage form for oral administration as disclosed in U.S. Pat. No. 5,484,801, issued Jan. 19, 1996, which is incorporated herein by reference, (b) an encapsulated solid or semi-solid

A preferred composition for ritonavir comprises a solution of (a) ritonavir in the amount of from about 1% to about 30% (preferably, from about 5% to about 25%) by weight of the total solution and (b) polyoxyl 35 castor oil in the amount of from about 0% to about 20% (preferably, from about 5% to about 10%) by weight of the total solution, in a pharmaceutically acceptable organic solvent which comprises (i) oleic acid in the amount of from about 15% to about 99% (preferably, from about 30% to about 70%; more preferably, from about 40% to about 65%) by weight of the total solution or (ii) a mixture of (1) oleic acid in the amount of from about 15% to about 99% (preferably, from about 30% to about 70%; more preferably, from about 40% to about 65%) by weight of the total solution and (2) ethanol or propylene glycol or a mixture thereof in the amount of from about 0% to about 12% (preferably, about 10%) by weight of the total solution. In an even more preferred embodiment of the invention, the solution is encapsulated in a soft elastic gelatin capsule (SEC) or a hard gelatin capsule and the solution also comprises an antioxidant (preferably, BHT (butylated hydroxytoluene)) in the amount of from about 0.01% to about 0.08% by weight of the total solution (preferably, from about 0.01% to about 0.05% by weight of the total solution).

Examples of such a composition and its preparation are provided below.

Component	% By weight
ritonavir (free base)	20
Ethanol (USP, 200 proof)	10
polyoxyl 35 castor oil (Cremophor® EL)	5
Oleic acid, 6321, NF	65
Butylated hydroxy toluene (BHT), NF	0.01

#### Preparation of the above composition

The mixing tank was purged with nitrogen. Oleic acid (649.9 g) and ethanol (100 g) were mixed in the tank. This

solution was warmed to about 33° C. (29–37° C.) and maintained at that temperature. The butylated hydroxytoluene (0.1 g) was charged into the tank and mixed until the solution was clear. The ritonavir (200 g) was slowly charged into the tank and mixed until the solution was clear. The polyoxyl 35 castor oil (50 g) was added to the tank and mixed. Heating was discontinued and the solution allowed to cool to ambient temperature (20–30° C.). The resulting solution was filled into soft elastic capsules (0.5 g of solution/SEC) to provide a dosage of 100 mg of ritonavir/SEC or 1.0 g/SEC to provide a dosage of 200 mg of ritonavir/SEC.

Component	% By Weight
ritonavir (free base)	20
Ethanol (USP, 200 proof)	10
polyoxyl 35 castor oil (Cremophor® EL)	10
Oleic acid, 6321, NF	60
Butylated hydroxy toluene (BHT), NF	0.01

#### Preparation of the above composition

The mixing tank was purged with nitrogen. Oleic acid (599.9 g) and ethanol (100 g) were mixed in the tank. This solution was warmed to about 33° C. (29–37° C.) and maintained at that temperature. The butylated hydroxytoluene (0.1 g) was charged into the tank and mixed until the solution was clear. The ritonavir (200 g) was slowly charged into the tank and mixed until the solution was clear. The polyoxyl 35 castor oil (100 g) was added to the tank and mixed. Heating was discontinued and the solution allowed to cool to ambient temperature (20–30° C.). The resulting solution was filled into soft elastic capsules (0.5 g of solution/SEC) to provide a dosage of 100 mg of ritonavir/SEC or 1.0 g/SEC to provide a dosage of 200 mg of ritonavir/SEC.

Examples of preferred single dosage forms comprising both ritonavir and a compound of the formula I are also disclosed in U.S. patent application Ser. No. 08/754,390, filed Nov. 21, 1996, now abandoned in the names of J. Lipari, L. A. Al-Razzak, S. Ghosh and R. Gao and which is entitled Pharmaceutical Composition, which is incorporated herein by reference.

A preferred composition for a single dosage form comprising both ritonavir and a compound of the formula I comprises a solution of (a) a mixture of ritonavir in the amount of from about 1% to about 30% (preferably, from about 5% to about 25%) by weight of the total solution and a compound of the formula I in the amount of from about 1% to about 50% (preferably, from about 5% to about 40%) by weight of the total solution and (b) polyoxyl 35 castor oil in the amount of about 10% by weight of the total solution, in a pharmaceutically acceptable organic solvent which comprises a mixture of (1) oleic acid in the amount of from about 10% to about 88% (preferably, from about 40% to about 65%) by weight of the total solution and (2) ethanol in the amount of about 10% by weight of the total solution. In a most preferred embodiment of the invention, the solution is encapsulated in a soft elastic gelatin capsule (SEC) or a hard gelatin capsule and the solution also comprises an antioxidant (preferably, BHT (butylated hydroxytoluene)) in the amount of from about 0.01% to about 0.08% by weight of the total solution (preferably, from about 0.01% to about 0.05% by weight of the total solution).

Examples of such a composition and its preparation are provided below.

Component	% By Weight
ritonavir (free base)	5
compound of Example 2B (free base)	30
Ethanol (USP, 200 proof)	10
polyoxyl 35 castor oil (Cremophor® EL)	10
Oleic acid, 6321, NF	45
Butylated hydroxy toluene (BHT), NF	0.01
ritonavir (free base)	15
compound Example 2B (free base)	15
Ethanol (USP, 200 proof)	10
polyoxyl 35 castor oil (Cremophor® EL)	10
Oleic acid, 6321, NF	50
Butylated hydroxy toluene (BHT), NF	0.01
ritonavir (free base)	15
compound Example 2B (free base)	15
Ethanol (USP, 200 proof)	10
polyoxyl 35 castor oil (Cremophor® EL)	5
Oleic acid, 6321, NF	55
Butylated hydroxy toluene (BHT), NF	0.01

#### Preparation of the above composition

The mixing tank was purged with nitrogen. Oleic acid (549.9 g) and ethanol (100 g) were mixed in the tank. The butylated hydroxytoluene (0.1 g) was charged into the tank and mixed until the solution was clear. The ritonavir (150 g) was slowly charged into the tank and mixed until the solution was clear. Compound Example 2B (150 g) was slowly charged into the tank and mixed until the solution was clear. The polyoxyl 35 castor oil (100 g) was added to the tank and mixed. The resulting solution was filled into soft elastic capsules (1.0 g of solution/SEC) to provide a dosage of 150 mg each of ritonavir and compound Example 2B/SEC.

Component	% By Weight
ritonavir (free base)	15
compound Example 2B (free base)	5
Ethanol (USP, 200 proof)	10
polyoxyl 35 castor oil (Cremophor® EL)	10
Oleic acid, 6321, NF	60
Butylated hydroxy toluene (BHT), NF	0.01

Total daily dose of ritonavir (administered in combination with a compound of this invention) to be administered to a human or other mammal host in single or divided doses may be in amounts, for example, from 0.001 to 300 mg/kg body weight daily and more usually 0.1 to 10 mg of ritonavir. Dosage unit compositions may contain such amounts of submultiples thereof to make up the daily dose.

In the compositions which comprise a mixture of ritonavir and the compound of Example 2B, the ratio (w/w) of ritonavir to the compound of Example 2B ranges from about 1:16 to about 5:1 (preferably, from about 1:6 to about 3:1).

In another most preferred combination, a compound of this invention is administered in combination with ritonavir and one or more reverse transcriptase inhibitors (preferably, one or more compounds selected from the group consisting of AZT (zidovudine), ddI (didanosine), ddC (zalcitabine), d4T (stavudine) and 3TC (lamivudine)). Such a combination is especially useful for inhibiting or treating an HIV infection in a human. When used in such a combination the compound of this invention and ritonavir and one or more reverse transcriptase inhibitors can be administered as separate agents at the same or different times or they can be formulated as compositions comprising two or more of the compounds. A particularly preferred therapeutic combination comprises a compound of the formula I (especially, the

compound of Example 2B) in combination with ritonavir, AZT and 3TC.

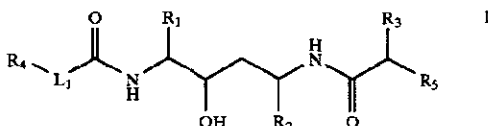
It will be understood that agents which can be combined with the compound of the present invention for the inhibition, treatment or prophylaxis of AIDS or an HIV infection are not limited to those listed above, but include in principle any agents useful for the treatment or prophylaxis of AIDS or an HIV infection.

When administered as a combination, the therapeutic agents can be formulated as separate compositions which are given at the same time or different times, or the therapeutic agents can be given as a single composition.

The foregoing is merely illustrative of the invention and is not intended to limit the invention to the disclosed compounds. Variations and changes which are obvious to one skilled in the art are intended to be within the scope and nature of the invention which are defined in the appended claims.

What is claimed is:

1. A compound of the formula:

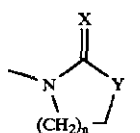


wherein  $R_1$  and  $R_2$  are independently selected from the group consisting of loweralkyl, cycloalkylalkyl and arylalkyl;

$R_3$  is loweralkyl, hydroxyalkyl or cycloalkylalkyl;

$R_4$  is aryl;

$R_5$  is



wherein  $n$  is 1, 2 or 3,  $X$  is O, S or NH and  $Y$  is  $-O-$  or  $-N(R_6)-$  wherein  $R_6$  is hydrogen, loweralkyl, cycloalkyl, cycloalkylalkyl, aryl or arylalkyl;

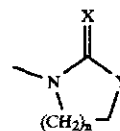
and

$L_1$  is

- a)  $-O-$ ,
- b)  $-S-$ ,
- c)  $-N(R_7)-$  wherein  $R_7$  is hydrogen, loweralkyl, cycloalkyl or cycloalkylalkyl,
- d)  $-O-$ alkylenyl-,
- e)  $-S-$ alkylenyl-,
- f)  $-S(O)$ alkylenyl-,
- g)  $-S(O)_2$ alkylenyl-,
- h)  $-N(R_7)$ alkylenyl- wherein  $R_7$  is defined as above,
- i)  $-alkylenyl-O-$ ,
- j)  $-alkylenyl-S-$ ,
- k)  $alkylenyl-N(R_7)-$  wherein  $R_7$  is defined as above,
- l)  $alkylenyl$  or
- m)  $alkenylenyl$ ;

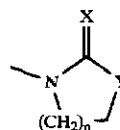
or a pharmaceutically acceptable salt, ester or prodrug thereof.

2. A compound according to claim 1 wherein  $R_1$  and  $R_2$  are arylalkyl,  $R_3$  is loweralkyl,  $R_4$  is aryl,  $R_5$  is



wherein  $X$ ,  $Y$  and  $n$  are defined as therein and  $L_1$  is  $-O-$ alkylenyl.

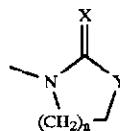
3. A compound according to claim 1 wherein  $R_1$  and  $R_2$  are benzyl or  $R_1$  is benzyl and  $R_2$  is loweralkyl,  $R_3$  is loweralkyl,  $R_4$  is phenyl which is substituted with two loweralkyl groups and which is optionally substituted with a third substituent selected from the group consisting of loweralkyl, hydroxy, amino and halo,  $R_5$  is



wherein  $n$  is 1 or 2,  $X$  is O or S and  $Y$  is  $-NH-$ , and

$L_1$  is  $-O-CH_2-$ .

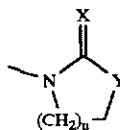
4. A compound according to claim 1 wherein  $R_1$  and  $R_2$  are benzyl or  $R_1$  is benzyl and  $R_2$  is isopropyl,  $R_3$  is loweralkyl,  $R_4$  is 2,6-dimethylphenyl which is optionally substituted with a third substituent selected from the group consisting of loweralkyl and halo,  $R_5$  is



wherein  $n$  is 1 or 2,  $X$  is O or S and  $Y$  is  $-NH-$ , and

$L_1$  is  $-O-CH_2-$ .

5. A compound according to claim 1 wherein  $R_1$  and  $R_2$  are benzyl or  $R_1$  is benzyl and  $R_2$  is isopropyl,  $R_3$  is loweralkyl,  $R_4$  is 2,6-dimethylphenyl which is optionally substituted with a third substituent selected from the group consisting of loweralkyl and halo,  $R_5$  is



wherein  $n$  is 1 or 2,  $X$  is O or S and  $Y$  is  $-NH-$  and

$L_1$  is  $-O-CH_2-$ .

6. A compound selected from the group consisting of:  
 (2S,3S,5S)-2-(2,6-dimethylphenoxyacetyl)amino-3-hydroxy-5-[2S-(1-tetrahydro-pyrimid-2-onyl)-3-methylbutanoyl]amino-1,6-diphenylhexane;  
 (2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-imidazolidin-2-onyl)-3,3dimethylbutanoyl)amino-1,6-diphenylhexane;  
 (2S,3S,5S)-2-(2,6-dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-imidazolidin-2-thionyl)-3-methylbutanoyl)amino-1,6-diphenylhexane;

(2S,3S,5S)-2-(2,4,6-trimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-imidazolidin-2-onyl)-3-methylbutanoyl)amino-1,6-diphenylhexane;

(2S,3S,5S)-2-(4-fluoro-2,6-dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-imidazolidin-2-onyl)-3-methylbutanoyl)amino-1,6-diphenylhexane;

(2S,3S,5S)-2-(trans-3-(2,6-dimethylphenyl)propenoyl)amino-3-hydroxy-5-(2S-1-tetrahydropyrimidin-2-onyl)-3-methylbutanoyl)amino-1,6-diphenylhexane;

(2S,3S,5S)-2-(3-(2,6-dimethylphenyl)propanoyl)amino-3-hydroxy-5-(2S-(1-tetrahydropyrimidin-2-onyl)-3-methylbutanoyl)amino-1,6-diphenylhexane;

(2S,3S,5S)-2-(2,6-Dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-tetrahydro-pyrimid-2-onyl)-3-methylbutanoyl)amino-1-phenyl-6-methylheptane;

or a pharmaceutically acceptable salt, ester or prodrug thereof.

7. The compound (2S,3S,5S)-2-(2,6-dimethylphenoxyacetyl)amino-3-hydroxy-5-(2S-(1-tetrahydro-pyrimid-2-onyl)-3-methyl butanoyl)amino-1,6-diphenylhexane;

or a pharmaceutically acceptable salt, ester or pyodrug thereof.

8. The compound (2S,3S,5S)-2-(2,6-dimethylphenoxyacetyl)amino-3-hydroxy-5-(2-(1-tetrahydro-pyrimid-2-onyl)-3-methyl butanoyl)amino-1,6-diphenylhexane;

or a pharmaceutically acceptable salt thereof.

9. A pharmaceutical composition for inhibiting HIV protease comprising a pharmaceutical carrier and a therapeutically effective amount of the compound of claim 1.

10. A pharmaceutical composition for inhibiting HIV protease comprising a pharmaceutical carrier and a therapeutically effective amount of the compound of claim 7.

11. A pharmaceutical composition for inhibiting HIV protease comprising a pharmaceutical carrier and a therapeutically effective amount of the compound of claim 8.

12. A method for inhibiting HIV protease comprising administering to a human in need of such treatment a therapeutically effective amount of the compound of claim 1.

13. A method for inhibiting HIV protease comprising administering to a human in need of such treatment a therapeutically effective amount of the compound of claim 7.

14. A method for inhibiting an HIV infection comprising administering to a human in need of such treatment a therapeutically effective amount of the compound of claim 1.

15. A method for inhibiting an HIV infection comprising administering to a human in need of such treatment a therapeutically effective amount of the compound of claim 7.

16. A method for inhibiting HIV protease comprising administering to a human in need of such treatment a therapeutically effective amount of the compound of claim 8.

17. A method for inhibiting an HIV infection comprising administering to a human in need of such treatment a therapeutically effective amount of the compound of claim 8.

\* \* \* \* \*

# EXHIBIT 3



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Tel. +49 89 / 2399-0

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Patentamt

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Fax +49 89 / 2399 - 4465

Beschwerdekammern

Boards of Appeal

Chambres de recours



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80538 München  
ALLEMAGNE

Datum/Date

02.02.11

Zeichen/Ref./Réf.  
2397/GM/h

Anmeldung Nr./Application No./Demande n°/Patent No./Brevet n°  
01924250.2 - 2101 / 1268442

Anmelder/Applicant/Demandeur/Patentinhaber/Propriétaire/Titulaire  
ABBOTT LABORATORIES

Appeal number:

T0850/07-3301

Closure of the appeal proceedings  
Rule 100(3) EPC

The above identified European patent application is deemed to be withdrawn  
(Rule 100(3) EPC) because the appellant

- ☒ failed to reply
- ☐ failed to reply in time (date of receipt of observation

to the communication dated 26.02.2010.

The appellant was informed of this loss of rights by a communication dated  
but no reply was received within the time limit set for comment.

The Board has instructed the Registry to notify the appellant that the appeal proceedings  
are closed without a substantive decision.

PROC ADMIN

Mirela Schalow  
The Registrar  
Tel.: 089 / 2399 - 3311

Registered letter

EPO Form 3043 12/07

10 MAR 2011

# Rückschein National



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ALLEMAGNE



Datum/Date  
13.07.10

Zeichen/Ref./Réf. 2397/GM/fh	Anmeldung Nr./Application No./Demande n°/Patent No./Brevet n° 01924250.2 - 2101 /
Anmelder/Applicant/Demandeur/Patentinhaber/Proprietor/Titulaire ABBOTT LABORATORIES	

Appeal number:

T 0850/07-3301

### Noting of loss of rights pursuant to Rule 112(1) EPC

The European patent application identified above is deemed to be withdrawn Rule 100(3) EPC because of the

☒ failure to reply

☐ failure to reply in due time (date of receipt of your observations:

to the invitation to file your observations on the communication dated: 26.02.2010

Accordingly, the appeal proceedings are terminated.

The Registrar *C. Bickhoff*  
Tel.: 089 / 2399 - 3341

PROC ADMI

Annex(es): ☒ Acknowledgement of receipt – EPO Form 2936

Registered letter with advice of delivery





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Modiano, Micaela Nadia  
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For any questions about  
this communication:  
Tel.: +31 (0)70 340 45 00

Date  
06.05.10

Reference 2397/GM/th	Application No./Patent No. 01924250.2 - 2101 / 1268442
Applicant/Proprietor ABBOTT LABORATORIES	

**Notice drawing attention to Rule 51(2) EPC, Article 2 No. 5 of the Rules relating to Fees,  
- Payment of the renewal fee plus additional fee -**

The renewal fee for the 10. year fell due on 31.03.10 unless this date falls within the period covered by an interruption of the proceedings in accordance with Rule 142(1) EPC, or a request for re-establishment of rights is pending (Art. 122, R. 51(4) EPC).

The current rate of the renewal fee amounts to EUR 1420,00 (see current Schedule of fees and costs).

**The renewal fee was not paid by the due date.**

The renewal fee may still be validly paid up to the last day of the sixth calendar month following the due date, provided that the additional fee (50% of the renewal fee) is paid at the same time, see OJ EPO 2008, 5.

Within the above period, which cannot be extended, the following fees are to be paid:

Renewal fee for the 10. year:	EUR	1420,00
Additional fee:	EUR	710,00
		-----
TOTAL AMOUNT	EUR	2.130,00

**If the renewal fee and the additional fee are not paid in due time, the European patent application shall be deemed to be withdrawn (Art. 86(1) EPC).**

**Note to users of the automatic debiting procedure**

The normal time limit for payment of the above renewal fee had already expired when the automatic debit order was received. The renewal fee and the surcharge will be debited automatically on the last day of the six-month period (Supplement to OJ EPO 3/2009).

1 0 MAR 2011

**Important information concerning fee amounts**

Following any amendment to the Rules relating to Fees, the amount(s) mentioned in this communication may be different from the amount(s) **actually due on the date of payment**. The latest version of the Schedule of fees and expenses, published as a Supplement to the Official Journal of the EPO, is also available on the EPO website ([www.epo.org](http://www.epo.org)) and can be found under [www.epoline.org](http://www.epoline.org), which allows the viewing, downloading and searching for individual fee amounts, both current and previous.

Payments by cheque delivered or sent direct to the EPO are no longer accepted as from 1 April 2008 (see OJ EPO 2007, 626).

**For the Examining Division**



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Beschwerdekammern

Boards of Appeal

Chambres de recours

Modiano, Micaela Nadia  
Modiano Josef Pisanty & Staub Ltd  
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ALLEMAGNE



Datum/Date

26.02.10

Zeichen/Ref./Réf.  
2397/GM/th

Anmeldung Nr./Application No./Demande n°/Patent Nr./Patent No./Brevet n°.  
01924250.2 - 2101 /

Anmelder/Applicant/Demandeur/Patentinhaber/Proprietor/Titulaire  
ABBOTT LABORATORIES

Appeal number:

T 0850/07-3301

**Communication of the Board of Appeal  
Rule 100(2) EPC**

Any reply to the communication sent herewith must be filed within a period of  
**three months.**

Failure to comply in due time will result in the application being deemed to  
be withdrawn (Rule 100(3) EPC).

PROC COMM

C. M. Radke

The rapporteur

The Registrar **Beatriz Atienza**  
Tel.: 089 / 2399 - 3311

Annex(es): 2 pages

Registered letter

10 MAR 2011

1. This communication is sent pursuant to Article 15 (1) of the Rules of Procedure of the Boards of Appeal (see Supplement to OJ EPO 1/2009, 41-50). Any opinions expressed herein are provisional and are not binding on the Board in arriving at its decision.
2. The present claims are claims 1 to 9 filed with the letter dated 4 May 2007.
3. Objections under Articles 84 and 123(2) EPC
- 3.1 Claims 1 and 4 require that the form of lopinavir shows certain peaks in the infrared spectrum "and/or" certain peaks in X-ray powder diffraction. It is, however, evident from the application as filed that this form of lopinavir is to show **both** the peaks in the infrared and those in X-ray powder refraction indicated (see page 30, lines 3-7 and page 32, lines 6-10). A form of lopinavir (if existent) which shows the given ir spectrum **or** the indicated XRPD peaks is not supported by the description, contrary to the requirements of Article 84 EPC.

This objection could be overcome by deletion of "/or" in both claims.

- 3.2 Step (a) of claim 1 reads as follows:

"(a) slowly cooling and evaporating a saturated solution of lopinavir in acetonitrile,".

It is not clear if the word "slowly" refers to "cooling" only or also to the word "evaporating". This

renders the claim unclear, contrary to the requirements of Article 84 EPC.

As far as the adverb "slowly" may refer only to the word "cooling", this newly introduced claim has no basis in the application as filed which only discloses "slow cooling and slow evaporation" (see page 34, lines 1-5). In this case, claim 1 contravenes the requirements of Article 123(2) EPC.

This objection could be overcome by replacing in claim 1 the expression "slowly cooling and evaporating" by "slow cooling and slow evaporation".

4. If all the objections mentioned above are overcome in a way not giving rise to further objections, the Board is likely to come to the conclusion that the claims thus amended meet the requirements of the EPC.

In this case it is probable that it will remit the case to the department of first instance with the order to grant a patent based on the amended claims and a description to be adapted thereto. When doing so, the Board might advise the first instance to delete any indications on page 2 of the description that the documents cited there were "hereby incorporated herein by reference" as this raises doubt as to the disclosure of the present application (see the Guidelines for Examination in the EPO, C-II, 4.19).